

**UNIVERSIDADE FEDERAL DO RIO GRANDE DO SUL  
INSTITUTO DE GEOCIÊNCIAS  
PROGRAMA DE PÓS-GRADUAÇÃO EM GEOCIÊNCIAS**

***BRACHYDELPHIS (PONTOPORIIDAE, ODONTOCETI, CETACEA) DO  
NEÓGENO DO PACÍFICO SUL ORIENTAL***

Carolina Simon Gutstein

Orientador: Cesar Leandro Schultz  
Co-orientador: Mario Alberto Cozzuol

Comissão Examinadora: Prof. Dr. Paulo Simões-Lopes  
Prof. Dr. Michel Holz  
Prof. Dr. Jorge Ferigolo

**Dissertação de Mestrado apresentada  
como requisito para obtenção do  
Título de Mestre em Geociências.**

Porto Alegre – 2006

Dedico ao meu companheiro,  
David, pela infinda  
compreensão e apoio.  
A vida é mais bonita a seu lado!

## AGRADECIMENTOS

Este trabalho não se realizaria sem a colaboração das coleções da UFSC (Laboratório de Mamíferos Aquáticos, Dep. Ecologia e Zoologia, Florianópolis, Brasil), SGO-PV (Museo Nacional de História Natural, Sección de Paleontología, Santiago, Chile), MUSM (Museo Nacional de la Universidad Mayor de San Marcos, Lima, Peru) e MPC (Museo Paleontológico de Caldera). E dos seus respectivos curadores (Paulo Simões-Lopes, Daniel Frassinetti, Rodolfo Salas e Mario Suárez) pela gentileza e eficiência.

A Mario Urbina, Marcelo Stuchi, Leonard Brand, Raul Esperante, Orlando e Mario Suárez pela ajuda em campo.

A Dan Omura, Carola Contreras, Sérgio e Paulinho pela ajuda na preparação do material e aquisição dos dados.

Ao CNPq pela bolsa concedida durante o período do mestrado

Aos professores da banca, pela revisão e paciência!

Ao Paulo Simões-Lopes por ter me impulsionado ao estudo da anatomia desses bichos estranhos.

Ao César Drehmer por ser tão prestativo e por suas contribuições e revisões.

Aos professores do pós, em especial a Jorge, Michel e Paulo por se esmerarem tanto em contribuir para a minha formação e a dos demais mestrandos, sempre dispostos a consultorias...

Aos meus orientadores, ao Schultz por me aceitar mesmo em uma área meio exótica... ao Mario por me propor esse desafio e apoiar, incentivar e instigar a minha paixão pela ciência.

Ao pessoal da secretaria, em especial Denise, pela eficiência e simpatia.

Ao grupo estrangeiro (Ana Cris, Adriano, Diana, Dini e Elizete) sempre presente nos melhores e piores momentos da estadia em Porto Alegre.

Aos companheiros da pós pelas discussões nas aulas e churrascos, etc e por sempre deixarem eu falar!! Em especial à Ana, Annie, Carol, Cris, Graci, Léo, Pablo, Téo, por fazerem mais amena a minha estadia em POA.

Aos amigos da graduação (Bá, Mari, Zucco, Carlos, Gissu, Vítor, Carol, Dani, Pimpão, Rodrigo, Rafito, Léo, Fábio, Gabiroba, Paulo, Guilherme, Gustavo, Fabi, entre outros) por participarem da minha formação indispensável para a realização dessa e muitas outras empreitadas.

À Cristina Boeira e Simone pela atenção, amizade e ajuda ao superar o período das dores infinitas.

Aos weres (Mario e Alexander) pelos momentos de descontração e discussões científicas na Neo e Paleohouse. Ao meu were preferido (David Rubilar), pelo apoio logístico e emocional em todas as etapas deste trabalho.

Por último, mas não menos importante agradeço à minha família (Vani, Angelo, Dani, Luís, William e Camaela) pelo apoio incondicional e por sempre acreditarem que eu iria terminar!! Por terem acompanhado mais essa jornada que parecia sem fim..... mas acabou!

## SUMÁRIO

RESUMO	05
ABSTRACT	06
1. OBJETIVOS	07
2. OS CETÁCEOS	08
3. ANTECEDENTES GEOLÓGICOS	10
3.1. Formação Pisco	10
<i>Aspectos tafonômicos da Fm. Pisco</i>	12
3.2. Formação Bahía Inglesa	13
<i>Aspectos tafonômicos da Fm. Bahía Inglesa</i>	16
3.3 Correlações entre as Formações Pisco e Bahía Inglesa.	20
4. PALEOBIOGEOGRAFIA DOS ODONTOCETOS NO NEÓGENO	22
5. A IMPORTÂNCIA DOS MATERIAIS DE <i>B. MAZEAS</i> NO ESTUDO DA VARIAÇÃO MORFOLÓGICA EM ODONTOCETOS	24
6. REFERÊNCIAS BIBLIOGRÁFICAS	26
ARTIGO	34
• SKULL VARIATION OF BRACHYDELPHIS (PONTOPORIIDAE, CETACEA) FROM SOUTHEASTERN PACIFIC COAST.	

## **RESUMO**

*Brachydelphis maseazi* Muizon 1988, da Formação Pisco (Mesomiocene, Peru), corresponde a uma espécie de odontoceto conhecida por dois crânios incompletos e alguns elementos pós-cranianos associados. Uma revisão e estudo comparativo desta espécie foram realizados a partir de novos espécimes referidos a *B. mazeasi* provenientes dos depósitos neógenos da costa Sul-Pacífica do Peru e do Chile (Fms. Pisco e Bahía Inglesia respectivamente). Como dado complementar, nessa comparação, se estabeleceram hipóteses de correlações cronoestratigráficas para ambas as formações. Os fósseis aqui analisados ocorrem em rochas fosfáticas, cuja gênese ocorre sob condições muito específicas e está relacionada a eventos globais de variação de nível eustático. A presença dessas rochas em diversas formações neógenas do Pacífico, enfocando as Fms. Pisco e B. Inglesia, permitiu propor uma correlação cronoestratigráfica preliminar e constitui-se numa boa ferramenta para correlacionar eventos entre essas formações. Por outro lado, a tafonomia das mesmas é distinta, em um plano geral, com a Fm. B. Inglesia apresentando massiva quantidade de fósseis em uma camada retrabalhada de D-fosfato e a Fm. Pisco com amplos depósitos de sedimentos finos e fósseis esparsos. A fauna de vertebrados também já foi sugerida como sendo relacionada já que é notoriamente similar. A família Pontoporiidae está amplamente registrada em ambas as formações. A presença de *B. mazeasi* no Mesomiocene da Fm. Pisco reforçou a proposição da origem Pacífica para a família. Contudo, a paleobiogeografia, bem como a filogenia deste grupo, é confusa, podendo-se apenas precisar uma origem sul-americana para o clado. O estudo da variação morfológica em uma série ontogenética de exemplares de *B. mazeasi*, incluindo materiais provenientes de ambas as formações, contribui para uma visão mais integradora da diagnose da espécie, implicações filogenéticas para clados mais inclusivos e da correlação entre as duas áreas estudadas.

## ABSTRACT

*Brachydelphis maseazi* Muizon 1988, of the Pisco Formation (Mesomiocene, Peru), corresponds to an odontocete known for two incomplete skulls and a few associated post-cranial elements. A revision and comparative study of this specie were carried out with new specimens, referred to *B. mazeasi*, from the Neogene deposits of the South-Pacific coast of Peru and Chile (the Pisco and the Bahía Ingresa Formations respectively). As complementary fact, in that comparison, a hypothesis of chronostratigraphic correlation was established for both formations. The genesis of phosphatic rocks is ruled by specific conditions, however, also is related to global events of eustatic level variation. The presence of those rocks in diverse Neogene formations of the Pacific, focusing the Pisco and B. Ingresa Formations, permitted to propose a preliminary chronostratigraphic correlation for both deposits. The occurrence of phosphatic rocks was converted in a good instrument for correlate events between those formations. On the other hand, the taphonomy of both formations is distinctive, in a general view, with the B. Ingresa F. presenting massive quantity of fossils in a reworked layer of D-Phosphate, and to Pisco F. with broad deposits of thin sediments and scarce fossils. The vertebrate faunas also were suggested as related, since is notoriously similar. The Pontoporiidae family is broadly registered in both formations. The presence of *B. mazeasi* from Mesomiocene of the Pisco F. leads to the proposition of a Pacific origin for the family. However, the paleobiogeography as the phylogeny of this group is unresolved, only being able to designate a South American origin for the clade. The study of morphological variation of an ontogenetic series of specimens of *B. mazeasi* from both formations, contributes for a broader view of the species diagnosis, phylogenetic implications for more inclusive clades and for the correlation of both studied areas.

## **1. OBJETIVOS**

- Revisar a definição da espécie *B. mazeasi* Muizon, 1988a com base em novos espécimes da Fm. Bahía Inglesa, Chile e da Fm. Pisco, Peru;
- Avaliar os caracteres de *B. mazeasi* no contexto dos demais Inioidea, enfatizando os Pontoporiidae;
- Detectar a variação morfológica dos exemplares referidos a *Brachydelphis*, holótipo e parátipo de *B. mazeasi*, tendo como base de comparação a espécie vivente *Pontoporia blainvilliei*;
- Verificar correlação cronológica entre os estratos onde foram coletados os diferentes espécimes aqui estudados.

## 2. OS CETÁCEOS

A Ordem Cetacea é formado pelas baleias antigas, baleias com barbatanas e baleias dentadas (golfinhos e cachalotes), que correspondem às três tradicionais subordens: Archaeoceti, Mysticeti e Odontoceti, respectivamente. (Van Valen, 1968).

Dentro das muitas modificações anatômicas sofridas por esse clado, a partir de um ancestral terrestre (perda das extremidades posteriores, transformação da cauda e membro anterior nem nadadeiras) sem dúvida a mais conspícuia é a telescopização do crânio (Miller, 1923). A telescopização é a sobreposição das suturas ósseas, que ao invés de apresentar um contato simples entre os ossos, apresentam-se em laminas sobrepostas (Miller, 1923). Essa característica permite a extrema modificação observada em crânios de cetáceos, sendo presente ainda que pouco marcada nos arqueocetos e misticetos e notavelmente desenvolvida em odontocetos modernos, como os Delphinida (Muizon, 1988b).

Os Delphinida, concomitantemente com a telescopização, adquirem um alto grau de assimetria e elevação do vertex craniano (Kellogg, 1928). Os nasais também aparecem modificados. Em misticetos, arqueocetos e odontocetos primitivos esses se sobrepõem à narina óssea, diferentemente do que ocorre nos Delphinida, por exemplo, onde os nasais estão posicionados posteriormente, reduzidos e bastante elevados, juntamente com o vertex (por exemplo, Iniidae, Delphinidae e Ziphidae; Heyning e Mead, 1990). Mesmo que em Archaeoceti muitas dessas características não estejam presentes, as modificações na região ótica do crânio (relacionadas à ecolocalização) são as características que sustentam as formas fósseis (desde Pakicetidae) como Cetacea (Mesoeoceno, Gingerich et al., 1983).

As relações filogenéticas de Cetacea dentro de Mammalia, bem como dos clados dentro de Cetacea vêm sendo constantemente debatidas na literatura (Milinkovitch et al. 1993; 1994 e 1995; Geisler e Sanders, 2003; Gatesy et al. 1999; Gingerich et al., 1983; Kellogg, 1928)

Caracteres morfológicos e moleculares já foram interpretados por diferentes autores sugerindo relação entre Archaeoceti (Cetacea) e Mesonychia (condilarctos extintos), como grupos-irmãos relacionados à Artyodactyla; ou entre Archaeoceti e Artyodactyla, como grupos irmãos (Gingerich et al., 1983; O'Leary & Geisler, 1999; Thewissen et al., 1998; Thewissen & Madar, 1999). Gingerich (2001) e Thewissen et al. (2001) parecem ter concordado em considerar Cetacea como um grupo monofilético e irmão de Artyodactyla, devido à estreita relação entre Archaeoceti e *Diacodexis* (um artiodáctilo primitivo). Por outro lado, o clado Hippopotamidae também tem sido proposto (Gatesy et al. 1997, 1999) como grupo irmão de Cetacea, formando o clado Cetartiodactyla e, consequentemente, caracterizando Artyodactyla como parafilético. Essa hipótese foi baseada principalmente em análises filogenéticas moleculares, porém vem sendo discutida, também, com base em caracteres morfológicos (Geisler e Uhen, 2003). Não obstante, Cetacea tem sido considerado um grupo monofilético pela maioria dos autores.

Já a questão filogenética dentro de Cetacea é confusa. Os únicos consensos parecem estar

relacionados aos grupos com grande parte dos seus representantes viventes, como Delphinidae consensualmente considerado derivado e monofilético (Fig. 1).

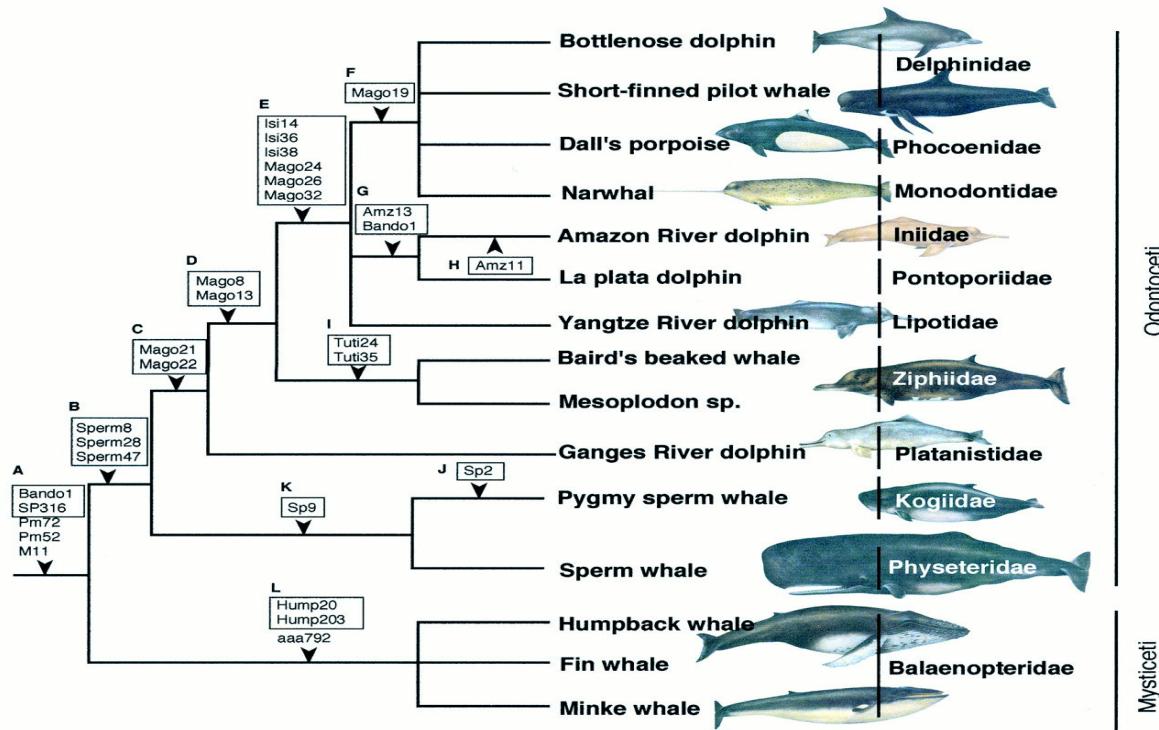


Fig. 1. Relações filogenéticas entre linhagens mais abrangentes de cetáceos. As caixas correspondem aos *loci*. (Extraído de Nikaido et al. 2001)

Os Inioidea formam um grupo com histórico complexo. Inicialmente faziam parte de Platanistidae ou “golfinhos de rio” (*river dolphins*) como eram conhecidas as espécies que atualmente habitam os rios Ganges, Indus e Brahmaputra, na Índia (Platanistidae: *Platanista gangetica* e *P. indica*), rio Yangtzé, na China (Lipotidae: *Lipotes vexillifer*), rios da região Amazônica, em diversos países da América do Sul (Iniidae: *Inia geoffrensis* e *I. boliviensis*) e regiões costeiras-estuarinas da costa Atlântica da América do Sul (Pontoporiidae: *Pontoporia blainvilliei*; Fordyce e Muizon, 2001; Fordyce e Barnes, 1994).

Estudos moleculares recentes (Cassens et al. 2000, Hamilton et al. 2001, Nikaido et al. 2001), entretanto, apontam a polifilia desse grupo. Por outro lado, estudos morfológicos e filogenéticos reconhecem a superfamília Inioidea, que inclui Pontoporiidae e Iniidae (Muizon 1988a, 1991; Arnason e Guldberg, 1996; Yang e Zhou 1999). Porém, a relação dessas com Lipotidae é controversa, podendo ser interpretados como grupos irmãos (Heyning 1989; Yang e Zhou 1999) ou basalmente relacionados à Delphinoidea e Inioidea, conformando Delphinida descrito por Muizon (1988b; corroborado por Messenger e McGuire, 1998), enquanto Platanistidae não possui relação direta com nenhuma das anteriores (Barnes, 1985).

Independente das relações de Inioidea com os demais táxons, essa superfamília vem sendo aceita como um clado monofilético (Muizon, 1988b; Hamilton et al. 2001) constituindo-se, pelo menos, de

Pontoporiidae e Iniidae. Relações de grupo-irmão foram propostas para Lipotidae (*Lipotes vexillifer*) por Cassens *et al.* (2000), com base em filogenia molecular.

### **3. ANTECEDENTES GEOLÓGICOS**

O Neógeno marinho da América do Sul tem sido amplamente estudado sob diferentes enfoques. Estudos envolvendo invertebrados (moluscos), diatomáceas e foraminíferos (DeVries, 1998, DeVries e Frassinetti, 2003, Guzmán, 2000; Ibaraki, 1990; Marchant *et al.*, 2000; Tsuchi, 1992) tem sido usados para desvendar a bioestratigrafia, paleoecologia e correlação cronológica dessas áreas. Registros de mamíferos marinhos também foram amplamente reportados, principalmente na costa do Peru (Muizon, 1983, 1984, 1988a; Muizon e Domming, 2002).

O registro do Neógeno marinho foi altamente influenciado pela variação do nível eustático global e a maioria das formações correspondem a sistemas transgressivo-regressivos como é o caso das Fms. Pisco (Peru; DeVries e Schrader, 1997) e Bahía Ingresa (Chile; Walsh e Hume, 2001; Achurra, 2002). Essas variações, sobretudo durante o Mioceno tem sido consideradas como responsáveis pela presença de camadas de rochas fosfáticas em diferentes localidades do Pacífico (p. ex: Fm. Monte Rey, na Alta Califórnia e na costa offshore do Peru) já que são rochas que se formam somente sob determinadas condições, entre elas formação em zonas profundas (Garrison, 1992).

Os materiais atribuídos ao odontoceto *B. mazaeasi* (Gutstein *et al.*, este volume) são provenientes de diversas localidades da Formação Pisco, no Peru e da localidade de Mina Fosforita da Formação Bahía Ingresa, Caldera, Chile. Sendo associados a rochas fosfáticas no topo da seqüência da localidade de Cerro La Bruja e na localidade de Mina Fosforita, provém somente do “bonebed” (conglomerado ossífero fosfático consolidado).

#### **3.1- Formação Pisco**

A Fm. Pisco é localizada ao Sul de Lima abrange uma área de 350 Km desde Pisco até Yauca no Sul do Peru. Foi primeiramente descrita por Adams (1908, *apud* Muizon e DeVries, 1985) e já foi posicionada em diferentes amplitudes temporais, desde totalmente Plioceno a totalmente Mioceno. Nos últimos 20 anos, porém, vem sendo revisada e geralmente é atribuída ao intervalo Mesomioceno-Plioceno (Muizon e DeVries, 1985; DeVries, 1998).

Litologicamente, é caracterizada pela presença de arenitos e diatomitos, intercalados com cinzas vulcânicas de tons amarelo a branco. Representa um grande sistema transgressivo (Muizon e DeVries, 1985). Sendo que DeVries e Schrader (1997) propõem que a base da Fm. Pisco corresponde a uma transgressão mesomiocênica, começando entre 16 a 14 Ma, o que resultou na deposição de 150m de sedimento na porção norte da Bacia de Pisco, tendo apenas metade dessa espessura na porção central da mesma. Por isso, a base da Fm. Pisco é considerada diacrônica ao longo da Bacia de Pisco, variando de 14Ma no Norte a 10Ma no Sul.

Pela sua grande extensão e, em alguns casos, pouca exposição dos sedimentos, torna-se difícil correlacionar as camadas nas diferentes localidades expostas. O zoneamento com moluscos obteve menor resolução que as datações radiométricas (Muizon e Belon, 1980; 1986), já que camadas de cinzas vulcânicas são abundantes nessa Formação (Muizon e DeVries, 1985). Em uma tentativa de ordenar bioestratigraficamente as camadas de localidades afastadas, Muizon e DeVries (1985) criaram níveis de vertebrados dentro das localidades estudadas até aquele momento (Sacaco, Sudsacaco, Montemar, Aguada de Lomas, El Jahuay e Cerro la Bruja). Esses níveis teriam o papel de ordenar camadas isoladas dentro da mesma formação e foram propostos com base na presença/ausência de restos de tubarões, peixes ósseos (Teleósteos), quelônios, crocodilos, aves e mamíferos, incluindo cetáceos (odontocetos e Mysticetos), focas e edentados (Tab. 1).

Muizon e DeVries (1985) identificaram um táxon endêmico, cf. Pontoporiidae nov. gen. nov. sp.1, para a localidade de Cerro la Bruja (CLB), que seria portanto, fóssil guia para o nível vertebrado CLB, identificado a partir dessa localidade, que corresponderia a Mioceno. Essa nova espécie foi posteriormente descrita como *B. mazeasi* por Muizon (1988a). Essa localidade não foi incluída no perfil composto de Muizon e DeVries (1985; Fig. 2), mas estaria abaixo da coluna já que, na opinião dos autores, seria a localidade mais antiga, correspondendo ao Meso-Neomioceno. Posteriormente, DeVries e Schrader (1997) atribuíram essa localidade ao Mesomioceno e descreveram uma camada fosfática consolidada (hardgrounds) que poderia corresponder ao episódio de baixo nível eustático e compressão regional ocorridos entorno dos 10Ma. Essa hipótese é contrária ao observado por Garrison (1992) que relaciona a deposição de rochas fosfáticas com os períodos de alto nível eustático.

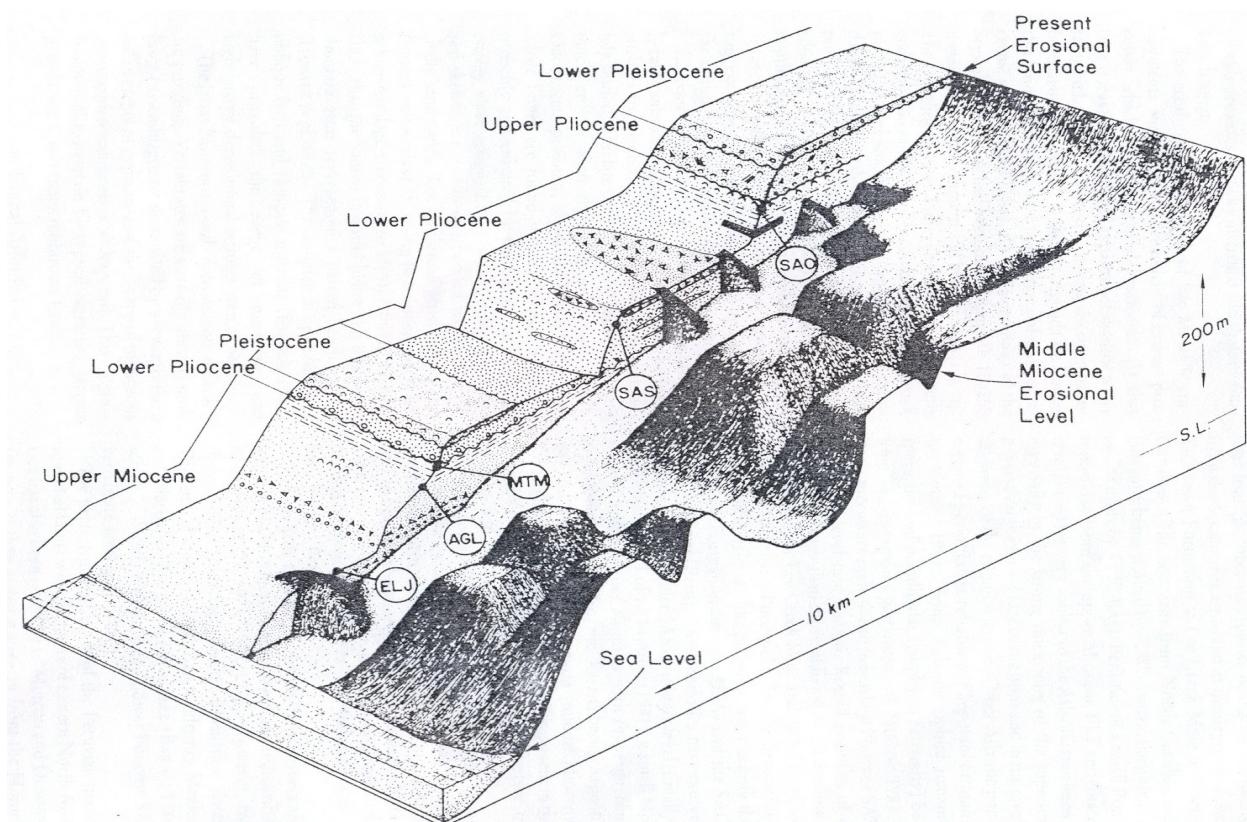


Fig. 2 – Reconstrução generalizada da topografia da Formação Pisco. Litologias principais e inconformidades são indicadas, com uma posição estratigráfica aproximada dos níveis vertebrados. Extraído de Muizon e DeVries (1985). ELJ, El Jahuay; AGL, Aguada de Lomas; MTM, Montemar; SAS, Sud-Sacaco; SAO, Sacaco. O nível de vertebrados Cerro la Bruja (CLB) não foi incluído, porém estaria abaixo de El Jahuay (ELJ) segundo os autores.

Pelo menos a localidade de Corre Viento (Ocuaje, Ica), onde alguns dos materiais estudados aqui foram encontrados, seria aproximadamente correlato a base do Cerro la Bruja (L. Brand com. pess., 05/2005), porém estudos mais detalhados de correlação das camadas e afloramentos são necessários para corroborar as correlações com as demais localidades.

*Aspectos tafonômicos da Fm. Pisco.* - Brand et al. (2004) estudaram a tafonomia de níveis mais recentes (do que os referidos em Gutstein et al., este volume) para a Fm. Pisco. Para os níveis miocênicos, somente se pode observar o estado de preservação dos materiais estudados, apresentando tanto a excelente preservação de fósseis de algumas localidades, como a total deformação de outros pela precipitação de sais quando esses entram em contato com a umidade e ficam expostos aos intempéries do deserto (R. Salas, com. pess.). Essa reação deforma a superfície externa dos materiais ósseos, tornando-a

rugosa, com nódulos e protuberâncias (Ver Gutstein et al., este volume: Fig. 7D). Um dos espécimes de *B. mazaeasi* (MUSM 887), da localidade de Corre Viento (Ica) está articulado e apresenta fossilização semelhante ao observado por Brand et al. (2004) para as baleias da localidade de Cerro Blanco (Ocuaje, Ica) que corresponde a níveis mais recentes (Mioceno-Plioceno).

Os diatomitos claros, abundantes nessa área (Cerro Blanco), bem como na maior parte da Formação Pisco, sugerem um mar raso e com grande aporte de nutrientes, com eventuais tempestades (estruturas hummocky), que traziam um massiva quantidade de plâncton (diatomáceas) que se depositava em uma taxa extremamente elevada (Brand et al., 2004). A deposição desses diatomitos possibilitou, por exemplo, a extrema preservação de baleias (*Baleonoptera sp.*) em Cerro Blanco, Ica; inclusive com um caso de conservação de tecido de barbatana (baleen) de baleia (Brand et al., 2004).

### **3.2- Formação Bahía Inglesa**

A Fm. B. Inglesa foi primeiramente descrita por Rojo (1985) quem designou que sua seção tipo corresponde à área em frente à praia de Bahía Inglesa, próxima à cidade de Caldera (localidade “Mina fosforita”). Foi recentemente revisada e emendada por Marquardt et al. (2000), correspondendo a sedimentos marinhos depositados durante o Neógeno. A gênese e o sistema deposicional da Fm. B. Inglesa foram estudadas recentemente por Achurra (2004) que propôs 3 seqüências transgressivo-regressivas desde o MesoMioceno até o Neoplioceno.

Achurra (2004) descreveu 9 unidades litológicas para o Neógeno da Bacia de Caldera, das quais oito (1-8) seriam parte da FBI e a nona corresponderia aos estratos de Caldera (Pleistoceno) descrita por Marquardt (1999). A seqüência 2 pôde ser observada na localidade de “Mina Fosforita”, já que apresenta uma sucessão de fácies bem marcadas: coquinas intercaladas por arenitos, seguidos por arenitos fosfáticos, conglomerados fosfáticos não consolidados e conglomerados fosfáticos cimentados com nódulos, formados em profundidades entre 45 e 180m (Blatt et al. 1972 apud Achurra, 2004), também seguidas de sedimentos finos (siltitos, diatomitos) (Gutstein et al, este volume:Fig.1).

Garrison (1992) classificou as rochas fosfáticas em três tipos: F-, P- e D-fosfato, de acordo com a sua origem e processo diagenético. O “bone-bed” ou “fosforita” da Fm. B. Inglesa (Walsh and Hume, 2001) teria três tipos de fosfatos, como descrito acima. Dois dos tipos descritos por Garrison (1992), P- e D-fosfatos, foram identificados para a esses níveis na F. B. Inglesa (Achurra, 2004). Esses foram identificados para a localidade “Mina Fosforita” (Unidade 5 de Achurra, 2004) e corresponderiam ao conglomerado não consolidado e ao conglomerado cimentado com nódulos, respectivamente (Gutstein et al, este volume:Fig.1). O arenito fosfático possui características intermediárias aos tipos F- e P-fosfatos, sendo mais semelhante ao F-fosfato. Entretanto, existe a possibilidade de que alguns elementos tenham sofrido transporte, já que ocorrem bioclastos e não ocorre nenhuma estrutura sedimentar bem definida. Portanto, não se exclui a possibilidade de que essa camada corresponda a algum tipo litológico diferente, do qual não se poderia precisar a história genética.

É importante ressaltar que o D-fosfato, em geral, é formado por outros tipos de fosfatos retrabalhados, o que é corroborado por características (p. ex., o tipo de fraturas) que sugerem retrabalhamento dos fósseis, tanto nos observados em campo quanto nas coleções científicas.

Cabe ressaltar que o ciclo de fosfogênese de Garrison (1992) foi montado com base em materiais de poços de perfurações da costa do Peru e da Alta Califórnia, podendo estar geneticamente ligados aos fosfatos da Fm. B. Inglesa, tendo em vista a singularidade das condições para formar esse tipo de rocha. Além disso, essas provavelmente foram formados em ciclos transgressivo-regressivos que acompanham níveis eustáticos globais (com algumas variações; Achurra, 2004) e as rochas fosfáticas da Fm. B. Inglesa parecem ser sincrônicas com o pico de formação de D-Fosfato na Formação Monte Rey (Messiniano-Tortoniano; Garrison, 1992).

A antiguidade dos sedimentos em questão tem sido discutida recentemente. Marquardt (1999) realizou uma datação, através do método de K-Ar, numa camada de cinza retrabalhada acima do “bone-bed”, na localidade Morro Copiapó (Gutstein et al, este volume: Fig.1) de  $7,6 \pm 1,3$ . Achurra (2004) considera essa idade inválida porque corresponderia à idade de camadas abaixo dessa, já que as cinzas utilizadas para a datação seriam retrabalhadas. Achurra (2004) também propôs uma série de datações, com o método de isótopos de Sr, que utiliza curvas de concentrações de Sr nos oceanos durante o Neógeno (ver

Achurra, 2004). A unidade 5 proposta por aquele autor, corresponde a unidade que abrange a fosforita e foi datada em 6,8 milhões de anos em sua porção médio-superior (Messiniano, Neomioceno), para a localidade Morro Copiapó. Estudos bioestratigráficos com moluscos (Guzmán et al. 2000), e microfósseis (Tsuchi, 1992) parecem concordar com essa idade. As associações de vertebrados indicam que esses níveis correspondam ao Neomioceno, Tortaniano-Messiniano (Walsh e Hume, 2001; Walsh e Naish, 2002; Suárez e Marquardt, 2003; Walsh e Suárez, 2005).

Análises bio e cronoestratigráficas com foraminíferos também foram feitas para a F. B. Inglesa, sendo que Marchant et al. (2000) obtiveram idade Eoplioceno em camadas abaixo do “bone-bed”, correspondente à zona de foraminíferos N19, caracterizada pela presença de *Globigerina calida*, enquanto que Achurra (2004) não obteve zonas muito refinadas para esse intervalo (biozona com amplitude entre 10 e 2 M.a.). Marchant et al. (2000) também propõem apenas uma seqüência transgressivo-regressiva no Neógeno Superior, em desacordo com o proposto como modelo geral para a costa do Chile e do Peru (Marchant et al. 2000), diferente das três seqüências propostas por Achurra (2004).

Walsh e Suárez (2005) propuseram a subdivisão da Fm. B. Inglesa em três membros. Essa divisão foi baseada em 3 unidades descritas anteriormente (Walsh e Hume, 2001) para a localidade do Morro Copiapó: Membro Morro (Unidade 1); membro “bone-bed” (Unidade 2), e Membro Lecheró (Unidade 3), que estaria dentro do Plioceno 4,5 a 2,6 Ma., baseado em estudos de diatomáceas e foraminíferos (Tsuchi et al. 1988 e Ibaraki 1995, apud Walsh e Naish, 2002). Segundo Walsh e Suárez (2005) o “bone-bed” corresponde a uma fosfatita (*sensu* Slansky 1986 *apud* Walsh e Suárez, 2005) com extensão lateral de 4 km, e conforma a base do Membro Bonebed, que apresentaria 77% (em sua maioria fragmentário) dos restos de vertebrados da Fm. Bahía Inglesa. As subdivisões descritas acima, não concordam com o modelo proposto por Achurra (2004), sendo que separam a seqüência transgressivo-regressiva 2 (e principalmente da unidade 5; deste autor). Tendo em vista que o modelo do último autor é mas, foi esse o adotado no presente trabalho para referir-se à Fm. B. Inglesa.

Embora as datações de Achurra (2004) apontem uma idade mais jovem a formação, Neomioceno – Eoplioceno, e tenha datações de 3,7 M.a. na localidade “Mina fosforita”, essa corresponderia a unidade 6. A datação com Sr na unidade 5, que contém as camadas de fosfatos (bone-bed) de 6,8 M.a sugere uma

idade miocênica para os fósseis do “bone-bed” na localidade Morro Copiapó, que é correlato com o “bone-bed” da localidade “Mina Fosforita”. Isso porque o Morro Copiapó foi levantado (soerguido) durante o período de deposição da Fm. B. Inglesa (Walsh e Suárez, 2005, Achurra, 2004), ou seja, a falha localizada na base do Morro Copiapó estava ativa durante o Neomioceno (Fig. 3). Os materiais referidos a *B. mazeasi* e cf. *Brachydelphis* (nova forma; Gutstein et al., este volume) coletados no Chile, bem como todos os taxa citados na Tabela 1 como pertencentes à Fm. B. Inglesa, provém desse “bone-bed”.

*Aspectos tafonômicos da Fm. B. Inglesa.* - Na Fm. B. Inglesa há uma abundância maior de fósseis concentrados em sua maioria em uma camada de 40cm (com grande variação horizontal de espessura). A presença de indivíduos adultos e juvenis poderia ser utilizado para uma argumentação catastrofista, porém indivíduos jovens de *P. blainvilliei* são comumente encontrados mortos nas praias. Além disso, um estudo tafonômico preliminar realizado para o “bone-bed” revelou uma alta taxa de fósseis fraturados (aproximadamente 100% dos fósseis manipulados nesse trabalho possuíam algum grau de fraturamento, nenhum crânio completo foi observado). Esse fato associado a uma “boa” preservação (presença de detalhes anatômicos, como suturas e foramens) na maioria dos espécimes, salvo os casos de fusão do fóssil com a matriz fosfática, torna intrigante a gênese desse depósito. No entanto, o fraturamento observado é marcadamente característico de fratura em material previamente substituído (Reif, 1971 apud Holz, 2002).

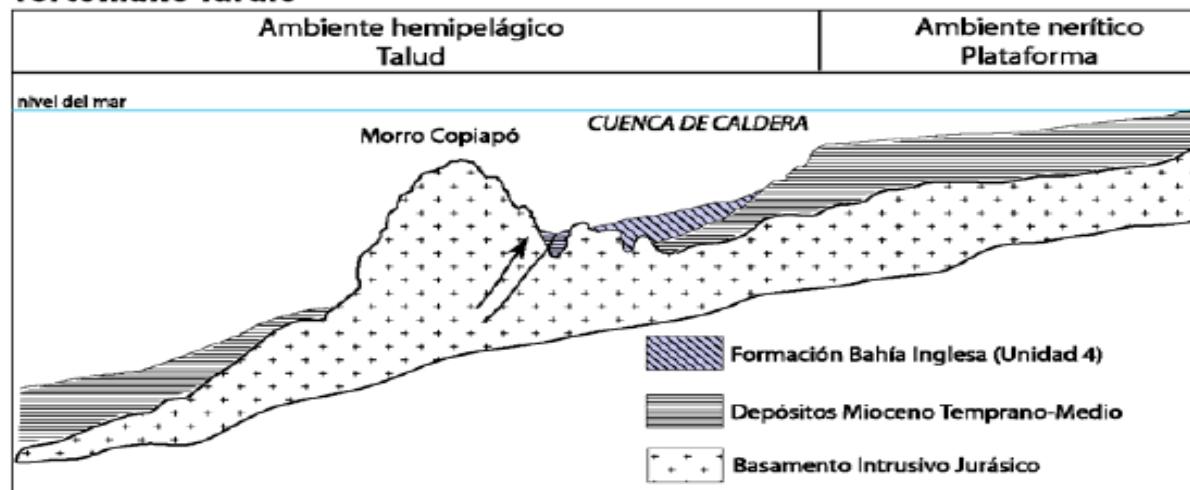
A hipótese de retrabalhamento poderia explicar a grande abundância e diversidade, que seriam então, tafonomicamente criadas, já que há evidências que os fósseis foram transportados depois de exumados do depósito original, sendo redepositados no “bone-bed”.

Uma provável idade para a deposição e soterramento primários seria, pelo menos, do Tortoniano médio (também Neomioceno) quando o nível médio do mar ainda se mantinha alto (fim da seqüência 1; Fig. 4), conservando sua profundidade máxima (MFS – maximal flooding surface), ou o máximo transgressivo. Após esse período, ocorreu uma queda expressiva do nível médio do mar ocasionado por uma taxa de subsidência alta de 0,68 para a Bacia de Caldera (Achurra, 2004), alterando assim o nível de base das ondas e possibilitando o retrabalhamento de depósitos anteriores. Esse período de intenso

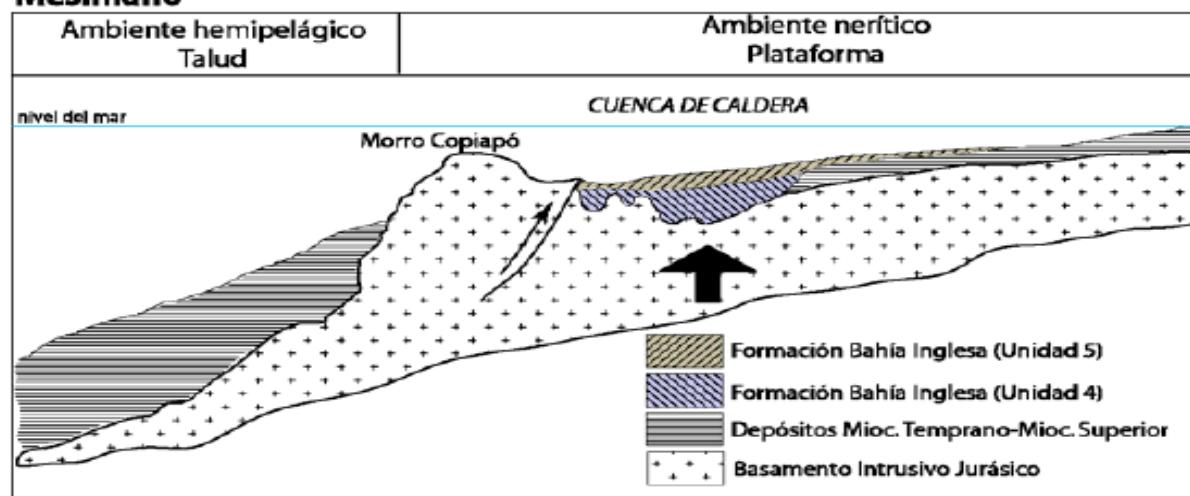
retrabalhamento, no qual o nível médio do mar (NMM) ficou baixo até os 3,7 M.a., proporcionou uma camada muita rica em material fosfático. Uma nova subida do NMM, a partir daquele momento, criou as condições necessárias para a formação dos abundantes nódulos de fosfato que ocorrem acima do “bone-bed”.

O alto grau de retrabalhamento observado poderia indicar que ocorreu um expressivo “time-averaging” na tafocenose, porém, não foram observados diferenças no modo de fossilização entre os fósseis (exceto deterioração por intemperismo atual).

### Tortoniano Tardío



### Mesiniano



### Zancleano

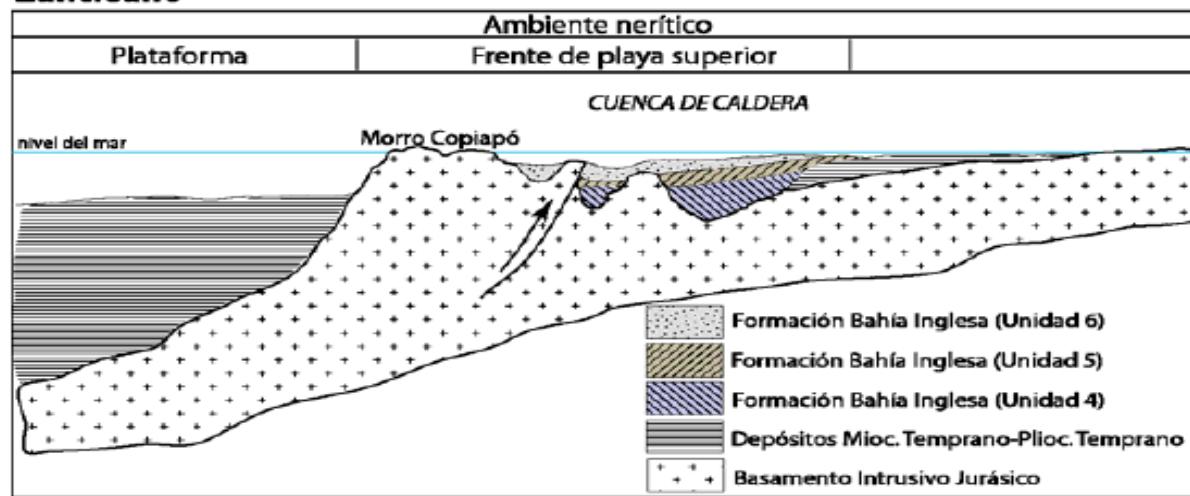


Figura 3. Reconstrução paleogeográfica da zona ocidental da Bacia de Caldera. Estão representadas somente as unidades estratigráficas depositadas sintectonicamente a atividade da falha inversa que levantou o Morro de Copiapó. Extraído de Achurra (2004).

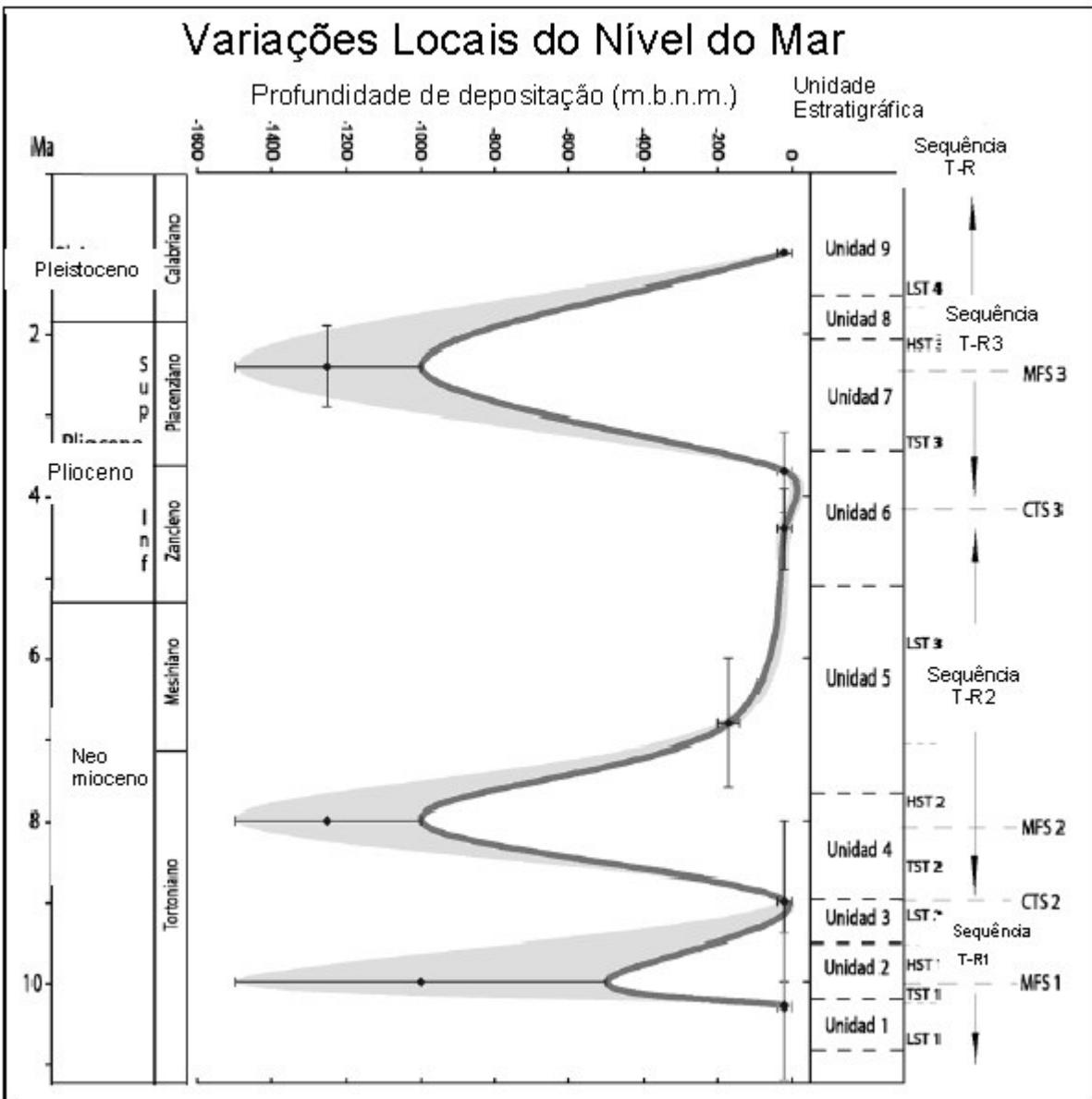


Figura 4. Curva de variações locais do nível do mar na bacia de Caldera. As profundidades obtidas da análise de foraminíferos correspondem ao valor mínimo. Na coluna da direita estão as unidades estratigráficas definidas por Achurra (2004). Os contactos com linha descontínua, entre as unidades, correspondem a aquelas onde não se tem certeza da sua localização temporal. Na última coluna da direita estão representadas as seqüências transgressivo-regressivas definidas por Achurra (2004), com seus contactos respectivos. CTS) Extraído de Achurra (2004).

### **3.3. Correlações bio e cronoestratigráficas entre as Formações B. Inglesa e Pisco.**

Poucos estudos foram realizados com o intuito de correlacionar as duas formações. DeVries e Frassinetti (2003) DeVries e Frassinetti (2003) propuseram a existência de uma barreira climática entre o Chile e o Peru no Neógeno (água quente no Peru e fria no Chile) com base na distribuição (Bioestratigráfica e geográfica) da malacofauna de modo que as semelhanças observadas entre as faunas de moluscos chilena e peruana, observadas por Guzmán et al. (2000) representavam eventos episódicos e se deviam a eventos de El Niño que poderiam transportar larvas de moluscos para as águas chilenas. No entanto, essas barreiras não parecem haver atuado para táxons de tubarões, mamíferos aquáticos e aves marinhas, com formas presentes em ambas as formações (Suárez e Marquardt, 2003, Walsh e Naish, 2002; Walsh e Naish, 2001).

Associações faunísticas entre as duas formações foram propostas informalmente (p. ex., Suárez et al. 2005, Tabela 1), com base em alguns gêneros e espécies comuns, como, por exemplo, a espécie *B. mazeasi* (Gutstein et al., este volume:Fig.1). Porém os “níveis de vertebrados” de Muizon e DeVries (1988) não podem ser caracterizados para a Fm. B. Inglesa, já que há discordâncias nas associações de faunas de alguns níveis, como por exemplo, *P. littoralis* como indicador de Plioceno (Tabela 1, Muizon & DeVries, 1985), que aparece no mesmo nível que os demais Pontoporiidae assignados a Mioceno em todas as formações que foram descritas. Algumas semelhanças marcantes puderam ser observadas e utilizadas para correlacionar as duas formações (Tab. 1).

A presença de *Acrophoca* sp. (Monachinae, Phocidae) abaixo do “bonebed” (FBI), no topo do Membro Morro (Walsh e Naish, 2002) equivalente à porção médio-superior da unidade 5 (Achurra, 2004) indica que essa não pode ser mais antiga que Mesomioceno, já que esta seria a idade para o aparecimento de Monachinae (Muizon, 1982). A primeira ocorrência de *Acrophoca* sp. (Muizon e DeVries, 1985) na Fm. Pisco é no Neomioceno concordando com uma idade Messiniana para o topo do Membro Morro (Walsh e Suárez, 2005; meso unidade 5 de Achurra, 2004). Walsh e Suárez (2005) consideraram que mesmo que a assembléia de tubarões seja dominada por *Cosmopolitodus hastalis*, *Carcharodon carcharias* também está presente (com menor abundância) no “bone-bed”. A presença de dentes dessas espécies tem sido

Tabela 1 – Lista da fauna (parcial) correlata entre as Formações Pisco e Bahía Inglesa. \* registros retirados de Muizon e DeVries (1985), atualizados segundo Muizon (1988); +, presença; (+) presença registrada no presente trabalho.

Taxa	Fm. Pisco*		Fm.B.Inglesa	Autores
	Mioceno	Plioceno	“bonebed”	registros Fm.B. Inglesa
Chondrichthyes				
<i>Cosmopolitodus hastalis</i>	+		+	Suárez e Marquardt, 2003
<i>Charcarodon carcharias</i>		+	+	Suárez e Marquardt, 2003
<i>Charcharocles megalodon</i>	+	+	+	Suárez e Marquardt, 2003
Aves				
Procellariidae				
Procellariidae indet.		+		
<i>Pachyptilla</i> sp.			+	Salaberry et al., no prelo
Sphenicidae				
Sphenicidae indet.			+	Walsh e Hume, 2001
Sphenicidae indet. 1		+		
Sphenicidae cf. <i>Spheniscus</i>	+	+		
<i>Paleospheniscus</i>			+	Hospitaleche e Canto, 2005
Sulidae	+	+	+	Walsh e Hume, 2001
cf. <i>Sula</i> sp.	+	+		
Phalacrocoracidae	+	+	+	Walsh e Hume, 2001
cf. <i>Phalacrocorax</i> sp.	+	+		
Pelagornithidae				
Pelagornithidae indet.			+	Walsh e Hume, 2001
cf. Pelagornithidae indet.	+	+		
Reptilia				
Crocodiliformes	+	+	+	Walsh e Suárez, 2005
Mammalia				
Pinnipedia				
Pinnipedia indet.			+	Walsh e Naish, 2002
Phocidae				
Acrophoca			+	Walsh e Naish, 2002
<i>Piscophoca aff. pacifica</i>			+	Walsh e Naish, 2002
Phocidae indet.			+	Walsh e Naish, 2002
Cetacea				
Pontoporiidae				
<i>Pliopontos littoralis</i>		+		
cf. <i>Pliopontos</i>			+	Canto et al., 2002
<i>Brachydelphis mazeasi</i>	+		(+)	Gutstein et al. no prelo
cf. <i>Brachydelphis</i> n. forma	(+)		(+)	Gutstein et al. no prelo
cf. <i>Brachydelphis</i> indet.	(+)		(+)	Gutstein et al. no prelo
cf. <i>Pontistes</i>			(+)	Observação pessoal
Phocoenidae				
Phocoenidae indet.			+	Quilodrán, 2002
<i>Piscolithax longirostris</i>		+		
<i>Australithax</i>	+			
<i>Lamacetus</i>	+			

utilizadas para diferenciar sedimentos Miocênicos de Pliocênicos na Fm. Pisco e na FBI (e.g., Muizon e DeVries 1985; Walsh e Hume 2001; Walsh e Naish 2002), mas a presença de *C. carcharias* nessas camadas bem datadas como Mioceno sugerem que se deve ter cautela no seu uso para correlações cronoestratigráficas (Walsh e Suárez, 2005; Suárez e Marquardt, 2003).

A assembléia de ambas as formações ainda é subestimada levando-se em conta a grande quantidade de materiais referidos a grupos taxonômicos muito abrangentes (Tabela 1) e a abundância de espécimes inéditos já coletados observada nos museus visitados, necessitando-se de estudo exaustivo para esgotar a descrição e classificação dessa diversa e abundante fauna neógena.

#### 4. PALEOBIOGEOGRAFIA DOS ODONTOCETOS NO NEÓGENO

O registro dos cetáceos fósseis é bastante estudado na América do Norte e Europa (Fordyce e Barnes, 1994). Esse registro comprehende desde o Eoceno do Egito (Gingerich, 1983) e Eoceno a Plioceno do Atlântico Norte (Hulbert e Petkewich, 1991; Kellogg, 1928) até o Neógeno do Mediterrâneo (Pilleri, 1986; Bianucci, 1996; 1997). No Hemisfério Sul, o Pacífico Ocidental (costa Oeste) apresenta amplo registro, do Eoceno ao Pleistoceno, na Nova Zelândia (Fordyce 1992, 1983, 1994, 2002). Na América do Sul, até 1980, esses estudos eram concentrados no Oceano Atlântico, em especial nos depósitos Neógenos (fluvial e marinho) da Patagônia Argentina (Cozzuol, 1996). Na década de 80, a costa Leste do Pacífico Sul foi mais intensivamente estudada, na Formação Pisco, Peru (de Muizon, 1983; 1988b; 1988c).

Os odontocetos modernos em geral pertencentes ao clado Delphinida (Delphinoidea, Inioidea e Lipotoidea; *sensu* Muizon, 1988b) aparecem no registro no fim do Oligoceno e início do Mioceno, tornando-se diversificados durante o Neógeno. Delphinoidea (*sensu* Muizon 1988b) inclui o grupo mais diverso atualmente, os Delphinidae, que apareceram no Mesomioceno e se fizeram dominantes durante o Neomioceno e Plioceno. Esse aumento de diversidade se deu concomitantemente com o declínio de famílias que eram muito diversificadas durante o Oligoceno superior e Eo-Mesomioceno, como por exemplo, Kentriodontidae (Fordyce e Barnes, 1994; Fordyce e Muizon, 2001 Cozzuol, 1996). Lipotoidea apresenta, atualmente, apenas uma espécie *Lipotes vexillifer* e suas relações com Inioidea são confusas, tornando os registros fósseis atribuídos a esse clado também confusos. Inioidea era também mais

diversificado durante o neógeno, contando com apenas dois gêneros atuais (*Pontoporia* e *Inia*).

A família Pontoporiidae apresenta sua maior diversidade no registro fóssil do Meso-Neomioceno. Apresenta 3 gêneros, no intervalo Mesomioceno-Plioceno (Cozzuol, 1996): *Pontistes*, *Brachydelphis* e *Pliopontos*, sendo os dois primeiros restritos ao Mioceno. *Pontistes rectifrons* Burmeister, 1885 foi primeiramente descrito para a Fm. Ituzaingó, Argentina e possui registro duvidoso (material não comparável ao holótipo) no Neomioceno da Formação Solimões no Acre (Negri et al, 1999); *Pliopontos littoralis* Muizon 1983 foi descrito para a FM. Pisco, no Peru, e *Pliopontos* sp. foi registrado para as Fs. Bahía Inglesa e Coquimbo, no Chile (Canto e Cozzuol, 2002; Canto et al., 2002). Um registro recente e ainda inédito indica a presença de uma espécie de pontoporídeo para a Fm. Puerto Madryn (Neomioceno) da Patagônia Argentina (Cozzuol, com. pessoal, 12/2005). Muizon (1988b) agrupou esses dois últimos gêneros, junto com *Pontoporia* (Pleistoceno - Recente), para formar a subfamília Pontoporiinae, caracterizada por possuir rostro longo e vértex simétrico.

*Brachydelphis mazaeasi* Muizon, 1988 foi descrito com espécimes do Mesomioceno da localidade de Cerro la Bruja, na Formação Pisco, Peru. Esse gênero monoespecífico foi alocado em uma nova subfamília, Brachydelphinae (Muizon, 1988a) que seria caracterizada por apresentar um rostro muito curto e vertex assimétrico.

Apesar da predominância de registros na América do Sul, registros mais recentes e novas interpretações, ainda que fragmentários, tem sido reportados para o Atlântico Norte (Whitmore ,1994; Lambert, 2005).

A família Pontoporiidae possui apenas um representante atual, *Pontoporia blainvilliei*, que ocorre nas costas brasileira, uruguaia e argentina (Siciliano, 1994; Crespo et al. 1998) sendo abundante nas regiões costeiras e estuarinas do estado do Rio Grande do Sul (Sechi et al., 2001). Essa espécie também possui registros pleistocênicos no Rio Grande do Sul (Ribeiro et al. 1998), apresentando-se muito similares aos espécimes atuais, porém com assimetria no vertex. Certo grau de assimetria pode ser observado com freqüência em espécimes atuais de *P. blainvilliei*, de modo que essa característica isolada não indica uma diferença com significação taxonômica.

Uma vez que o registro fóssil de Pontoporiidae, durante o Neógeno, é completamente divergente do

atual, a paleobiogeografia desta família torna-se intrigante. O registro de pontoporídeos é mais abundante e mais antigo na América do Sul. O consenso em reconhecer a Pontoporiidae e Iniidae como grupos irmãos e a distribuição dos Iniidae restrita à A. do Sul, sugerem que o ancestral de ambos clados deveria se encontrar no litoral sul-americano, sem poder se precisar se na costa pacífica ou atlântica.

*Brachydelphis mazeasi* é a espécie mais antiga (Mesomioceno) referida à família Pontoporiidae, e tem sido interpretado como indicador da origem Pacífica para a família (Banguera-Hinestrosa et al., 2002). A partir desse registro, foi calibrado o tempo de divergência entre Pontoporiidae e Iniidae para o Mesomioceno (11,2 a 16,4 MAAP), utilizando um relógio molecular baseado na taxa de mutação genética entre *Inia boliviensis*, *I. geoffrensis* e *P. blainvilleyi* que outorgou um intervalo de 8,8 a 17,6 milhões de anos para essas famílias.

Recentes achados e reinterpretações de espécies já descritas têm estendido o registro dos pontoporídeos até o Atlântico Norte (Whitmore, 1994; Lambert, 2005) para o Eoplioceno. A idade destes registros sugere mais uma dispersão tardia do que uma eventual origem naquela área.

## 5. A IMPORTÂNCIA DOS MATERIAIS DE *B. MAZEASI* NO ESTUDO DA VARIAÇÃO MORFOLÓGICA EM ODONTOCETOS

Estudos de variação morfológica em cetáceos estão sendo aplicados com maior frequência para detectar diferenças populacionais, sexuais ou entre espécies viventes de cetáceos (Higa et al., 2002; Monteiro-Filho et al., 2002; Pinedo, 1991). Fósseis de cetáceos em bom estado de conservação são raros e o número de exemplares é reduzido. Assim, assumem extrema importância para o conhecimento das espécies e para a consolidação de caracteres morfológicos que, em uma série de material completo, podem ser comparados e verificados, aumentando a confiabilidade de cada caractere. Isto é particularmente importante para clados nos quais a maioria dos táxons está extinta, como no caso dos Pontoporiidae.

Além disso, a análise da variação morfológica pode informar sobre a amplitude da variação morfológica e permitir a identificação de caracteres taxonômicos que sirvam para diferenciar as espécies

estudadas fornecendo subsídios importantes para a sistemática e filogenia do grupo (Perrin, 1975; Purves e Pilleri, 1986). O material disponível para este estudo constitui-se num raro exemplo de uma série de espécimes fósseis praticamente completos e bem preservados, indispensável para este tipo de análise. O estudo da variação morfológica ganha importância sobretudo, tendo em vista que o material até hoje conhecido para Inioidea da América do Sul é extremamente fragmentário (Cozzuol, 1996).

Esse estudo contribuirá para um melhor conhecimento morfológico e evolutivo dos Pontoporiidae, na medida em que permitirá uma comparação entre uma série de espécimes do Mioceno da Formação Bahía Inglesa e da Formação Pisco, com indivíduos da única espécie vivente deste grupo, que ocorre na costa Oeste do Atlântico Sul, *Pontoporia blainvilliei*.

Paralelamente, a ocorrência de indivíduos da mesma espécie (*B. mazeasi*), em camadas geneticamente similares, dentro das Formações Pisco, no Peru, e Bahia Inglesa, no Chile, contribui para o refinamento bio e cronoestratigráfico entre estas unidades, bem como para um melhor conhecimento do Neógeno do Pacífico Sul Oriental como um todo.

## 6. REFERÊNCIAS BIBLIOGRÁFICAS

- ACHURRA, L., 2004. Cambios del nivel del mar y evolución tectónica de la cuenca Neógena de Caldera, III Región. [Master's thesis]: Santiago, Chile, Departamento de Geología de la Universidad de Chile, 138 p.
- ARNASON, U., GULLBERG, A., 1996. Cytochrome *b* nucleotide sequences and the identification of five primary lineages of extant cetaceans. Mol. Biol. Evol. 13: 407–417.
- BANGUERA-HINESTROZA, E. ; CÁRDENAS, H.; RUIZ-GARCÍA, M.; MARMONTEL, M., GAITÁN, E., VÁZQUEZ, R. AND GARCÍA-VALLEJO, F. 2002. Molecular Identification of Evolutionarily Significant Units in the Amazon River Dolphin *Inia* sp. (Cetacea: Iniidae). The Journal of Heredity 93(5): 312-322.
- BARNES, L.G. 1984. Whales, dolphins and the porpoise: origin and evolution of the Cetacea, in: T.W.B. BROADHEAD (ed.), Mammals: Notes for a short course organized by P.D. GINGERICH and C.E. BADGLEY, pp. 139-158. University of Tennessee Studies in Geology 8.
- BARNES, L.G. 1985. Fossil pontoporiid dolphin (Cetacea: Mammalia) from the Pacific coast of North America. Contributions in Science, Natural History Museum of Los Angeles County 363: 1-34.
- BRAND, L. R., ESPERANTE, R., CHADWICK, A.V., PORRAS, O. P. E ALOMÍA, M. 2004. Fossil whale preservation implies high diatom accumulation rate in the Miocene–Pliocene Pisco Formation of Peru. *Geology*. 32(2):165–168.
- BIANUCCI, G.1996. The Odontoceti (Mammalia, Cetacea) from Italian Pliocene. Systematics and phylogenesis of Delphinidae. *Palaeontographia Italia*. 83, 73-1 67.
- BIANUCCI, G. 1997. The Odontoceti (Mammalia, Cetacea) from Italian Pliocene. The Ziphiidae. *Palaeontographia Italia*. 84, 163-192.
- BURMEISTER, G. 1885. Examen crítico de los mamíferos y reptiles fósiles denominados por D. Augusto Bravard y mencionados en su obra precedente. Anales del Museo Nacional de Buenos Aires 97-174 + 2 plates.
- CANTO, J., COZZUOL, M.A. E YAÑEZ, J. 2002. Mamíferos marinos neógenos de la Formación Bahía Inglesa, Caldera1, III Región, Chile. I Congreso Latinoamericano de Paleontología de Vertebrados. p. 22-23.
- CANTO, J.H., CROVETTO, A. E COVACECHI, V. 2002 . Hallazgo de Pilopontos sp. (Cetacea: Pontoporiidae) en

el Neógeno de Chile. *Noticiario Mensual del Museo Nacional de Historia Natural de Santiago de Chile*. 350:28-37.

- CASSENS, I., VICARIO, S., WADDELL, V.G., BALCHOWSKY, H., VAN BELLE, D., DING, W., FAN, C., LAL MOHAN, R.S., SIMÓES-LOPES, P.C., BASTIDA, R., MEYER, A., STANHOPE, M.J., MILINKOVITCH, M.C., 2000. Independent adaptation to riverine habitats allowed survival of ancient cetacean lineages. *Proc. Natl. Acad. Sci. USA* 97: 11343–11347.
- CIONE, A.L.; AZPELICUETA, M.M.; BOND, M.; CARLINI, A.A.; CACCIOTA, J.R; COZZUOL, M.A.; DE LA FUENTE, M.; GASPARINI, Z.; NORIEGA, J.I.; SCILLATO-YANÉ, G.J.; SOIBELSON, L.; TONNI, E.P.; VERZI, D.; VUCETICH, M.G., 2000. Miocene vertebrates from Entre Ríos, eastern Argentina. *Insugeo Série de Correlación Geológica*, 14:191- 237.
- COZZUOL, M.A. 1996. The records of the aquatic mammals in Southern South America. *Münchener Geowiss. Abhandlungen.*, (A)30: 321-342.
- CRESPO, E.A., HARRIS, G. AND GONZÁLEZ, R. 1998. Group size and distributional range of the Franciscana, *Pontoporia blainvilliei*. *Marine Mammal Science* 14: 845-849.
- DEVRIES, T.J. 1998. Oligocene deposition and Cenozoic sequence boundaries in the Pisco Basin (Peru). *Journal of South American Earth Sciences*. 11(3):217-231.
- DEVRIES, T.J. AND FRASSINETTI , D. 2003. Range extensions and biogeographic implications of Chilean Neogene mollusks found in Peru. *Boletín del Museo Nacional de Historia Natural* 52:141-157.
- DEVRIES T. AND SCHRADER, H. 1997. Middle Miocene marine sediments in the Pisco Basin (Peru). *Boletín de la Sociedad Geológica del Perú* 87: 1-13.
- FORDYCE, R. E. 1983. Rhabdosteid dolphins (Mammalia: Cetacea) from the middle Miocene, Lake Frome area, South Australia. *Alcheringa* 7: 27–40.
- FORDYCE, R. E. 1992. Cetacean evolution and Eocene/Oligocene environments. In Prothero., & Berggren.,A ., eds. *Eocene-Oligocene climatic and biotic evolution*. Princeton, NJ: Princeton University Press, 368-38 1
- FORDYCE, R.E. 1994. *Waipatia maerewhenua*, new genus and species (Waipatiidae, New Family), an archaic Late Oligocene dolphin (Cetacea: Odontoceti: Platanistoidea) from New Zealand. Pp. 147-176 in

Contributions in Marine Mammal Paleontology honoring Frank C. Whitmore, Jr. (A. Berta and T. Deméré, eds.). Proceedings of the San Diego Society of Natural History 29.

FORDYCE, R. E. 2002. *Simocetus rayi* (Odontoceti: Simocetidae, New Family): A bizarre new archaic Oligocene dolphin from the Eastern Pacific. In: *Cenozoic Mammals of Land and Sea, Tributes to the Career of Clayton E. Ray*, R. E. Emry, ed., pp. 185–222, Smithson. Contrib. Paleobiology 93.

FORDYCE, R.E., MUIZON, C., 2001. Evolutionary history of Cetaceans: a review. Pp. 163–233 in Secondary Adaptation to Life in the Water (deBuVrenil, V. and J.M. Mazin, eds.). Pfeil Verlag, Munich.

FORDYCE, R.E. E BARNES, L.G. 1994. The evolutionary history of whales and dolphins. *Annual Review of Earth Planetary Sciences*. 22:419-455.

GARRISON (1992). Neogene Phosphogenesis allong the Eastern margin of the Pacific Ocean. *Revista Geológica de Chile*. 19(1):91-111.

GATESY, J. 1997. More DNA support for a Cetacea/Hippopotamidae clade: the blood-clotting protein gene Y-Fibrinogen. *Molecular Biology and Evolution* 14(5):537-543.

GATESY, J. 1999. Stability of cladistic relatioshps between Cetacea and higher Artiodactyla taxa. *Systematic Biology* 48(1):6-20.

GEISLER, J.H., AND SANDERS, A.E. 2003. Morphological evidence for the phylogeny of Cetacea. *J. Mamm. Evol.* 10, 23–129.

GEISLER, J.H. E UHEN, M. D. 2003. Morphological Support for a close relantioship between Hippos and Whales. *Journal of Vertebrate Paleontology* 23(4):991–996.

GINGERICH, P.D., WELLS, N. A., RUSSELL, D. E., SHAH, S. M. I. 1983. Origin of whales in epicontinental remnant seas: new evidances from the early eocene of Pakistan. *Science*. 220:403-406.

GINGERICH, P.D. 2001. Origin of whales form early Artiodactyls: hands and feet of Eocen Protocetid from Pakistan. *Science*. 293:2239-2242.

GUZMÁN, N., MARQUARDT, C., ORTLIEB, L. AND FRASSINETTI, D. 2000. La malacofauna neógena y cuaternaria del área de Caldera (27°-28°S) especies y rangos bioestratigráficos. In: *Actas IX Congreso Geológico Chileno*, Pto. Varas, No. 3, Vol. 1, p. 476 - 481.

HAMILTON, H., CABALLERO, S., COLLINS, A. AND BROWNELL, R. L. JR. 2001. Evolution of river dolphins. *Proc.*

- R. Soc. London B. 268: 549-556.
- HEYNING, J.E. 1989. Comparative facial anatomy of beaked whales (Ziphiidae) and a systematic revision among the families of extant Odontoceti. Contributions in Science Natural History Museum of Los Angeles County 405: 1-64.
- HEYNING, J. E., AND MEAD, J. G. 1990. Evolution of the nasal anatomy of cetaceans. In: Sensory Abilities of Cetaceans, J. Thomas and R. Kastelein, eds., pp. 67–79, Plenum Press, New York.
- HIGA, A., HINGST-ZAHER, E. E DE VIVO, M. 2002. Size and shape variability in the skull of Pontoporia blainvilliei (Cetacea: Pontoporidae) from the Brazilian Coast. Latin American Journal of Aquatic Mammals (special issue) 1:145-152.
- HOLZ, M. ; SIMÕES, M. G. 2002. Elementos fundamentais de Tafonomia. EdiUFRGS-Editora da Universidade. Porto Alegre. 231p
- ACOSTA-HOSPITALECHE, C. E CANTO, J. Primer registro de cráneos asignados a Palaeospheniscus (Aves, Spheniscidae) procedentes de la Formación Bahía Inglesa (Mioceno Medio-tardío), Chile. Rev. chil. hist. nat., Set 2005, vol.78, no.3, p.489-495.
- HULBERT, R. C. JR., PETKEWICH, R. M., BISHOP, G. A., BUKRY, D. E ALESHIRE, D. P. 1998. A new Middle Eocene protocetid whale (Mammalia: Cetacea: Archaeoceti) and associated biota from Georgia. Journal of Paleontology 72(5):907-927.
- IBARAKI, M., 1990. Geologic age of biosiliceous sediments in Peru and Chile based upon planktonic foraminifera. Rev. Geol. Cile 19, 61-66.
- KELLOGG, R. 1928. The history of whales - their adaptation to life in the water. *Quarterly Review of Biology* 3:29-76.
- LAMBERT, O. 2005. Long-snouted dolphins and beaked whales from the Neogene of the Antwerp area: systematics, phylogeny, palaeoecology and palaeobiogeography Doctorat en sciences, Spécialisation biologie animal.
- MARCHANT, M. MARQUARDT, C., BLANCO, N. AND GODOY, E. 2000. Foraminíferos del área de Caldera (26° 45'-28°S) y su utilización como indicadores cronoestratigráficos del Neógeno In: Actas IX Congreso Geológico Chileno, Pto. Varas, No. 9, Vol. 2, p. 499 - 503.

MARQUARDT, C. 1999. Neotectónica de la franja costera y aportes a la geología regional entre Caldera y Caleta Pajonal (27°00'-27°45'S), III Región de Atacama. Tesis Ms. Cs. (inédito). Universidad de Chile, Departamento de Geología, 297 p.

MARQUARDT, C., BLANCO, N., GODOY, E., LAVENU, A., ORTLIEB, L., MARCHANT, M. Y GUZMÁN, N. 2000a. Estratigrafía del Cenozoico Superior en el área de Caldera (26°45'-28°S). In: Actas IX Congreso Geológico Chileno, Pto. Varas, No. 9, Vol. 2, p. 504 - 508.

MESSENGER, S.L. AND MCGUIRE, J.A. 1998. Morphology, molecules, and the phylogenetics of cetaceans. Systematic Biology 47: 90–124.

MILINKOVITCH, M. C., ORTI, G., AND MEYER, A. 1993. Revised phylogeny of whales suggested by mitochondrial ribosomal DNA sequences. Science 12: 346–348.

MILINKOVITCH, M. C., MEYER, A., AND POWELL, J. R. 1994. Phylogeny of all major groups of cetaceans based on DNA sequences from three mitochondrial genes. Mol. Biol. Evol. 11: 939–948.

MILINKOVITCH, M. C., ORTI, G., AND MEYER, A. 1995. Novel phylogeny of whales revisited but not revised. Mol. Biol. Evol. 12: 518–520.

MILLER, G. S., JR. 1923. The telescoping of the cetacean skull. Smithson. Misc. Coll. 75: 1–55.

MONTEIRO-FILHO, E.L. DE A., MONTEIRO, L.R. AND REIS, S.F. 2002. Skull shape and size divergence in dolphins of the genus *Sotalia*: a tridimensional morphometric analysis. Journal of Mammalogy 83 (1): 125-134.

MUIZON, C. DE. 1982. Phocid phylogeny and dispersal. *Annals of the South African Museum* 89: 175–213.

MUIZON, C. DE. 1983. Pliopontos littoralis un nouveau Platanistidae Cetacea du Pliocène de la côte péruvienne. Comptes Rendus de l'Academie de Sciences de Paris. Sér. II. 296:1203-1206.

MUIZON, C. DE. 1984. Les vertébrés fossiles de la Formation Pisco (Pérou) II. Les odontocètes (Cetacea, Mammalia) du Pliocène inférieur de Sud-Sacaco. Travaux de l'Institut Français d'Études Andines 27: 1-188.

MUIZON, C. DE. 1988a. Vertebrés fossiles de la Formation Pisco (Pérou) III: Les Odontocètes (Cetacea: Mammalia) du Miocène. Recherche sur les Civilizations, Institut Français d'Études Andines, Memoire. 78:1- 244.

- MUIZON, C. DE. 1988b. Les relations phylogénétiques dea Delphinida (Cetacea; Mammalia). Annales de Paleontologie (Vert.-Invert.), 74(4):159-227.
- MUIZON, C. DE. 1991. A new ziphiid (Odontoceti, Mammalia) from the Early Miocene of Washington state (USA) and a phylogenetical analysis of the major groups of odontocetes. Bulletin du Muséum national d'Histoire naturelle, 4e sér., Sect. C 12: 279–326.
- MUIZON, C. DE , BELLON H. 1980. L'âge mio-pliocène de la Formation Pisco (Pérou). Comptes Rendus de l'Académie des Sciences, sér. D 290: 1063–1066.
- MUIZON, C. DE, BELLON H. 1986. Nouvelles données sur l'âge de la Formation Pisco (Pérou). Comptes Rendus des Séances de l'Académie des Sciences, Sér. II (303): 1401–1404.
- MUIZON, C. DE. AND DEVRIES, T. J. 1985. Geology and paleontology of late Cenozoic marine deposits in the Sacaco area (Peru). Geologische Rundschau 74(3), 547-563.
- MUIZON, C. DE. E DOMMING,D. P. 2002.The anatomy of *Odobenocetops* (Delphinoidea, Mammalia), the walrus-like dolphin from the Pliocene of Peru and its palaeobiological implications. *Zoological Journal of the Linnean Society*, 2002, 134, 423–452.
- NEGRI, F. E BOCQUENTIN, J. 2000. cf. *Pontistes rectifrons* Burmeister, 1891 (Cetacea, Odontoceti, Pontoporiidae) no Miocene superior-Pliocene, Estado do Acre, Brasil. In: XVI JORNADAS ARGENTINAS DE PALEONTOLOGIA DE VERTEBRADOS. San Luis. 43-43.
- NIKAIDO, M., MATSUNO, F. , HAMILTON,H., BROWNELL, R. L. JR., CAO,Y., DING, W., ZUOYAN, Z., SHEDLOCK, A. M., FORDYCE, R. E., HASEGAWA, M. E OKADA, N. 2001. Retroposon analysis of major cetacean lineages: The monophyly of toothed whales and the paraphyly of river dolphins. PNAS | 98(13)384-7389.
- O'LEARY, M. A. & GEISLER, J. H. 1999. The position of Cetacea within Mammalia: phylogenetic analysis of morphological data from extinct and extant taxa. Systematic Biology 48(3): 455-490.
- PERRIN, W. F. (1975) Variation of spotted and spinner porpoise (genus *Stenella*) in the eastern Pacific and Hawaii. Bulletin Scripps Institute of Oceanography 21:1-206.
- PILLERI, G., 1986. The Cetacea of the Italian Pliocene with a descriptive catalogue of the specimens in the Florence Museum of Paleontology. Berne. 4to. Pp. 160, 69 plates.
- PINEDO, M.C. 1991. Development and variation of the franciscana *Pontoporia blainvilliei*. Ph.D. thesis,

University of California, Santa Cruz.

- PURVES, P. E. AND PILLERI, G. 1978. The functional anatomy and general biology of *Pseudorca crassidens* (OWEN) with a review of the hydrodynamics and acoustics. *Investigations on Cetacea.*; 9:67-227.
- QUILODRÁN, P. V. 2002. Una nueva especie de marsopa fósil (Phocoeninae, Phocoenidae, Odontoceti, Cetacea) para el Neógeno de la Formación Bahía Inglesa, III Región, Norte de Chile. I Congreso Latinoamericano de Paleontología de Vertebrados. p. 46-47.
- RIBEIRO, A.M., DREHMER, C.J., BUCHMANN, F.S.C., SIMÕES-LOPES, P.C. 1998. Pleistocene skull remains of *Pontoporia blainvilliei* (Cetacea, Pontoporiidae) from the coastal plain of Rio Grande do Sul State, Brazil, and the relationships of pontoporids. *Revista da Universidade de Guarulhos.* 3(6):71 – 77.
- ROJO, M. A. 1985. Un aporte al conocimiento del Terciario marino: Formación Bahía Inglesa. *Actas IV Congreso Geológico Chileno* 1.514-1.533.
- SALLABERRY, M. ,RUBILAR-ROGERS, D., SUÁREZ, M. AND GUTSTEIN, C.S. In Press. The skull of a procellariid (Aves: Procellariidae) from the Neogene (Late Miocene) of northern Chile. *Revista Geológica de Chile.*
- SECCHI, E. R.; OTT, P. H.; CRESPO, E. A.; KINAS, P. G., PEDRAZA, S. N. E BORDINO, P. 2001. A first estimate of franciscana (*Pontoporia blainvilliei*) abundance off southern Brazil. *J. Cetacean Res. Manage.* 3(1):95–100.
- SICILIANO, S. 1994. Review of small cetaceans and fishery interactions in coastal waters of Brazil. Report of the International Whaling Comission, Cambridge, 158:241-250.
- SUÁREZ, M. E. Y MARQUARDT, C. 2003. Revisión preliminar de las faunas de peces elasmobranquios del Mesozoico y Cenozoico de Chile: Su valor como indicadores cronoestratigráficos. In: *Actas X Congreso Geológico Chileno*, Concepción.
- THEWISSEN, J.G.M. & MADAR, S.I., HUSSAIN, S.T. 1998. Whales ankles and evolutionary relationships. *Nature.* 395:452.
- THEWISSEN, J.G.M. & MADAR, S.I. 1999. Ankle morphology of the earliest cetaceans and its implications for the phylogenetic relations among Ungulates. *Systematic Biology* 48(1): 21-30.
- THEWISSEN, J.G.M.; WILLIAMS, E. M.; ROE, L.J. & HUSSAIN, S.T. 2001. Skeletons of terrestrial cetaceans and the relationship of whales and artiodactyls. *Nature* 413:277-281.

- TSUCHI, R. KOJZUMI, I., IBARAKI, M. ALDANDA-A, M. E VILLAVICENCIO, E. 1992. Fundamental data on Cenozoic biostratigraphy of the Pacific coast of Peru. Report on Andean Studies Shizuoka University, Special Volume 4, 33-40.
- TSUCHI, R. 2002. Neogene evolution of surface marine climate in the Pacific and notes on related events. Revista Msueencias Geológicas. 19(3) :260-270
- VAN VALEN, LEIGH M. 1968. Monophyly or diphyle in the origin of whales. Evolution. 22(1):37-41
- WALSH, S. Y HUME, J. 2001. A new Neogene marine avian assemblage from north-central Chile. Journal of Vertebrate Paleontology. No. 21, p. 484-491.
- WALSH, S. Y NAISH, D. 2002. Fossil seals from Late Neogene deposits in South America: a new pinniped (carnivora, mammalia) assemblage from Chile. Paleontology. No. 45, Vol. 4, p. 821-842.
- WALSH, S.A. AND SUÁREZ, M. 2005. First post-Mesozoic record of Crocodyliformes from Chile. Acta Palaeontologica Polonica 50 (3): 595–600.
- WHITMORE, F.C. 1994. Neogene climatic changes and the emergence the modern whale fauna of the North Atlantic Ocean. Pp. 221-227 in Contributions in Marine Mammal Paleontology honoring Frank C. Whitmore, Jr. (A. Berta and T. Deméré, eds.). Proceedings of the San Diego Society of Natural History 29.
- YANG, G. AND ZHOU, K., 1999. A study on the molecular phylogeny of river dolphins. Acta Theriol. Sinica 19: 1–9.

**Artigo Científico submetido à revista *Journal of Mammalogy***

**SKULL VARIATION OF *BRACHYDELPHIS* (CETACEA, ODONTOCETI,  
PONTOPORIIDAE) FROM SOUTH-EASTERN PACIFIC NEogene.**

**VARIAÇÃO DO CRÂNIO DE *BRACHYDELPHIS* (CETACEA, ODONTOCETI,  
PONTOPORIIDAE) DO NEÓGENO DO PACÍFICO SUL ORIENTAL.**

Carolina Simon Gutstein

Mario Alberto Cozzuol

Mario Suárez

Cesar Leandro Schultz



Carolina Simon Gutstein <sgcarolina@gmail.com>

---

## Fwd: 06-MAMM-A-050 Receipt of New Paper by Journal of Mammalogy

Mario A. Cozzuol <[mario.cozzuol@pucrs.br](mailto:mario.cozzuol@pucrs.br)>  
Para: Carolina Simon Gutstein <[sgcarolina@gmail.com](mailto:sgcarolina@gmail.com)>

22 de Fevereiro de 2006 12:13

----- Forwarded message -----

From: [bhblake@uncg.edu](mailto:bhblake@uncg.edu)  
To: [mario.cozzuol@pucrs.br](mailto:mario.cozzuol@pucrs.br)  
Cc:  
Subject: 06-MAMM-A-050 Receipt of New Paper by Journal of Mammalogy  
Date: Wed, 22 Feb 2006 11:52:20 -0300

Dear Dr. Cozzuol,

Thank you for submitting your manuscript, "SKULL VARIATION OF BRACHYDELPHIS (CETACEA, ODONTOCETI, PONTOPORIIDAE) FROM SOUTH-EASTERN PACIFIC NEogene.," to the Journal of Mammalogy. It has been assigned number 06-MAMM-A-050. Please use that number in any correspondence.

You may check on the status of this manuscript by selecting the "Check Manuscript Status" link.

Sincerely,

Barbara H. Blake  
Editor, Journal of Mammalogy

--  
Dr. Mario A. Cozzuol  
Laboratório de Paleontologia  
Museu de Ciência e Tecnologia - PUCRS  
Porto Alegre, RS, Brasil

Tel. 55-51-3320-3500 ramal 4412

e-mail: [mario.cozzuol@pucrs.br](mailto:mario.cozzuol@pucrs.br)



# Journal of Mammalogy

ONLINE MANUSCRIPT SUBMISSION & PEER REVIEW



To ensure proper functionality of this site, both JavaScript and Cookies must be enabled.

[Home](#)

## Detailed Status Information

<b>Manuscript #</b>	06-MAMM-A-050
<b>Current Revision #</b>	0
<b>Submission Date</b>	2006-02-20 16:06:49
<b>Current Stage</b>	Review Process Started
<b>Title</b>	SKULL VARIATION OF BRACHYDELPHIS (CETACEA, ODONTOCETOPODORIIDAE) FROM SOUTH-EASTERN PACIFIC NEOGEN
<b>Running Title</b>	Skull variation of Brachydelphis
<b>Manuscript Type</b>	Feature Article
<b>Special Section</b>	N/A
<b>Corresponding Author</b>	Mario Cozzuol (MCT-PUCRS)
<b>Coauthors</b>	Carolina Gutstein , Mario Suárez , Cesar Schultz
<b>Abstract</b>	<p><i>Brachydelphis mazeasi</i> Muizon 1988, from Pisco Formation (Miocene Peru), is an odontocete originally known by two incomplete skulls associated postcranial elements, assigned to the family pontoporiidae, new subfamily, Bachydelphinae. The holotype is a juvenile because of alveolar septa and open cranial sutures. This is important since diagnostic features are actually juvenile features and not taxon specific traits. Here we offer a more comprehensive view of the morphology of these taxon with new specimens from both Pisco and Bahía Inglesa (Chile). A revision of the description of Muizon (1988), comparing the taxa of Inioidea clade and a morphometric (geometric and traditional) analysis compared to <i>Pontoporia blainvilleyi</i> (living Pontoporiidae), are a study of shape variation of fetuses, young and adult specimens of <i>P. blainvilleyi</i> compared to juvenile and adult specimens of <i>B. mazeasi</i> to evaluate individual, intra and interespecific variation.</p>
<b>Associate Editor</b>	Not Assigned
<b>Keywords</b>	Brachydelphis mazeasi, skull variation, Bahía Inglesa Formation, Neogene, Pontoporiidae, Inioidea, anatomy

Stage	Start Date
Review Process Started	2006-02-21 15:17:49
Manuscript Submission	2006-02-21 12:09:03

For assistance, please contact the editorial office. E-mail: [bhblake@uncg.edu](mailto:bhblake@uncg.edu)

1 Mario A. Cozzuol  
2 Laboratório de Paleontologia  
3 Museu de Ciência e Tecnologia, PUCRS  
4 Av. Ipiranga 6681,  
5 90619-900 Porto Alegre, RS, Brazil  
6 Phone 55 51 3320-3500 ext. 4412  
7 [mario.cozzuol@pucrs.br](mailto:mario.cozzuol@pucrs.br)  
8  
9 Skull variation of *Brachydelphis*  
10 **SKULL VARIATION OF BRACHYDELPHIS (CETACEA, ODONTOCETI, PONTOPORIIDAE)**  
11 **FROM SOUTH-EASTERN PACIFIC NEogene.**  
12 Carolina Simon Gutstein<sup>1</sup>, Mario A. Cozzuol<sup>2</sup>, Mario Suárez<sup>3</sup>, Cesar L. Schultz<sup>4</sup>  
13 <sup>1</sup> Programa de Pós Graduação em Geociências – Paleontologia, Instituto de Geociências,  
14 Universidade Federal do Rio Grande do Sul – UFRGS, Av. Bento Gonçalves, 9500, 91.540-000,  
15 Porto Alegre, RS, Brazil [sgcarolina@gmail.com](mailto:sgcarolina@gmail.com)  
16 <sup>2</sup> Laboratório de Biologia Evolutiva e da Conservação, Universidade Federal de Rondônia – UNIR,  
17 BR 364, Km 9,5. 78900-000 Porto Velho, Brazil; Present Adress: Laboratório de Paleontologia,  
18 Museu de Ciência e Tecnologia, PUCRS, Av. Ipiranga 6681, 90619-900 Porto Alegre, RS, Brazil  
19 [mario.cozzuol@pucr.br](mailto:mario.cozzuol@pucr.br)  
20 <sup>3</sup> Museo Paleontológico de Caldera, Av. Wheelright, Caldera, Chile.  
21 [museopaleontologicocaldera@yahoo.com](mailto:museopaleontologicocaldera@yahoo.com)  
22 <sup>4</sup>Departamento de Paleontologia e Estratigrafia, Instituto de Geociências, Universidade Federal do  
23 Rio Grande do Sul – UFRGS, Av. Bento Gonçalves, 9500, 91540-000, Porto Alegre, RS, Brazil  
24 [cesar.schultz@ufrgs.br](mailto:cesar.schultz@ufrgs.br)

25

26

## ABSTRACT

27     *Brachydelphis maseazi* Muizon 1988, from Pisco Formation (Middle Miocene, Peru), is an  
28     odontocete originally known by two incomplete skulls and a few associated postcranial elements,  
29     assigned to the family pontoporiidae and to a new subfamily, Bachydelphinae. The holotype is a  
30     juvenile because it has no alveolar septa and open cranial sutures. This is important since some of  
31     the diagnostic features are actually juvenile features and not taxonomic significant traits. Here we  
32     offer a more comprehensive view of the morphology and variation of these taxon with new  
33     specimens from both Pisco and Bahía Ingresa Formation (Chile). A revision of the description of  
34     Muizon (1988), comparatively with other taxa of Inioidea clade and a morphometric (geometric and  
35     traditional) analysis, compared to *Pontoporia blainvilliei* (living Pontoporiidae), are also provided.  
36     The shape variation of fetuses, young and adult specimens of *P. blainvilliei* were compared to  
37     juvenile and adult specimens of *B. maseazi* to evaluate ontogenetic, individual, intra and  
38     interespecific variation.

39

40     Key words: *Brachydelphis maseazi*; skull variation; Bahía Ingresa Formation; Pisco Formation;  
41     Neogene; Pontoporiidae; Inioidea

42

43       *Brachydelphis maseazi* is a fossil odontocete with a short rostrum found in the Middle  
44 Miocene levels of the Pisco Formation of Peru. This species was described based in two partial  
45 skulls, associated bulla, periotic and a few post cranial remains. This taxon was referred to a new  
46 subfamily by Muizon (1988a) mainly due to its short rostrum. Muizon (1988b) considered this taxa  
47 as a basal Pontoporiidae within the subfamily Brachydelphinae, differing of the other by the  
48 absence of the reversal conditions of two characters in the remaining Pontoporiidae (subfamily  
49 Pontoporiinae, *sensu* Muizon, 1988b): reappearance of lateral lamina of pterygoid and acquisition  
50 of symmetrical vertex.

51       The record of Pontoporiidae ranges from Middle Miocene to Recent and is mostly restricted  
52 to South America. However, North Atlantic fragmentary records have been assigned to the family  
53 more recently, sucha as: cf. *Pontoporia* from the Pliocene of Yorktown Formation (USA) and  
54 *Protophocaena minima* from Europe (Whitmore, 1994; Lambert, 2005). The oldest record is *B.*  
55 *mazeasi*, from Pisco Formation (Muizon, 1988a), followed by *Pontistes rectifrons* from early Late  
56 Miocene, Paraná F. (Argentina; Burmeister, 1885; Cione et al., 2000), and *Pliopontos littoralis*  
57 Muizon, 1983, from the Pliocene levels of Pisco F., Peru, recently reported also for Coquimbo F.,  
58 Chile (Canto et al. 2002). New records of *Pontistes*, *Pliopontos* and *Brachydelphis* have been  
59 mentioned for the Late Miocene Bahía Ingresa Formation, Chile (P. Quilodrán and J. Yañez, in litt;  
60 J. Canto and M. A. Cozzuol, in litt; C. S. Gutstein, in litt.). The Recent genus, *Pontoporia*, is  
61 recorded with doubts for the Late Miocene in Argentina (Cozzuol, 1985) and the living species for  
62 Pleistocene units from Argentina and Southern Brazil (Cozzuol, 1996; Ribeiro et al. 1998).  
63 Presently, the family is restricted to Southern Atlantic Ocean from Espírito Santo (Brazil) to  
64 Península de Valdés, (Argentina) coasts, with a single species, *Pontoporia blainvilliei* (Siciliano,  
65 1994; Crespo et al. 1998).

66       The phylogenetic relationships of Pontoporiidae are controversial. Initially this clade was put  
67 together with the so called “river dolphins”, (Iniidae, Platanistidae and Lipotidae) considered as  
68 Platanistoidea or Platanistidae (for a revision see Barnes, 1985). But several further analysis have  
69 found different afinities between those taxa. Different additional analysis conclude that this clade is

70 not a monophyletic group, and its external similarities were considered convergences (but see  
71 Geisler & Sanders, 2003). There are consensus about the monophyly of Inioidea (Pontoporiidae +  
72 Iniidae; Muizon 1988a, 1991; Arnason & Guldberg, 1996; Yang and Zhou 1999). Sometimes  
73 Lipotidae (*Parapontoporia* + *Lipotes*) appears within this clade or as sister group of the latter  
74 (Barnes, 1985, Heyning 1989; Yang and Zhou 1999); or also as sister group of the Inioidea +  
75 Delphinoidea clade, called Delphinida by Muizon (1988b; Messenger and McGuire, 1998).  
76 Molecular studies (Cassens et al. 2000, Hamilton et al. 2001) confirm the poliphylly of “river  
77 dolphins” but also suggest the sister-group relationship of *Lipotes vexillifer* (Lipotidae) with Inioidea  
78 and Delphinoidea.

79 The variation within *P. blainvilliei* has been the subject of several works based on skull  
80 morphometrics and molecular analysis (Pinedo, 1991; Sechi et al., 1998; Ramos et al. 2002) and  
81 was commonly justified by Northern-Southern geographic distribution disjunction, thus sub-  
82 populations organization. Geometric Morphometric studies also were carry on and two different  
83 sub-populations from the South and North Brazil were recognized (Higa et al., 2002). However,  
84 distribution hiatus often mentioned for Santa Catarina state (Brazil), between Northern and  
85 Southern sub-populations, was not confirmed since regular records and a resident population  
86 within the Babitonga bay was recently reported (Cremer and Simões-Lopes, 2005).

87 Fossil specimens from the bonebed, Late Miocene of “Mina Fosforita” locality (Bahía  
88 Inglesa F., Nothern Chile), are here described together with the holotype and paratype from Pisco  
89 F. (Peru), plus additional material from the same formation, but from different sites. Due to a  
90 carefully morphologic and morphometric analysis, it was possible to observe the variation of  
91 characters within this fossil species, comparatively to the living species, *P. blainvilliei*. A new form  
92 of cf. *Brachydelphis* also could be recognized. It has the characters that usually designate *B.*  
93 *mazeasi* together with a distinctive large rostrum. A discussion of characters polarity and definition  
94 is also provided, since individual variation was also recognized.

95  
96

97                           **ABBREVIATIONS AND TERMINOLOGY.**

98     SGO-PV, Sección Paleontología, Museo Nacional de Historia Natural, Santiago, Chile; MNHN PPI,  
99     Museum National d'Histoire Naturelle, Paris, France; MPC, Museo Paleontológico de Caldera,  
100    Municipalidad de Caldera, III Región, Chile; MUSM, Museo Nacional de la Universidad Mayor de  
101    San Marcos; UFSC, Laboratório de Mamíferos Aquáticos, Departamento de Ecologia e Zoologia,  
102    Universidade federal de Santa Catarina, Santa Catarina, Brasil. Measurements are in milimeters  
103    (mm), \* means digital measures (tpsDIG; Rohlf , 2004). Terminology for description follows Barnes  
104    (1985) and Fordyce (1994, 2002).

105

106                           **LOCALITIES, GEOLOGY, AGE AND CORRELATION OF PISCO AND B. INGLESA F.**

107       The materials come from neogene marine sediments belonging to the Pisco Formation in  
108    Peru and Bahía Ingresa F. in Chile. Both formations were formed by marine transgressive-  
109    regressive systems (Muizon and DeVries, 1985; Achurra, 2004) and present large neogene marine  
110    vertebrate assemblage, including fish, birds, pinnipeds and cetaceans (Muizon, 1981, 1983, 1984,  
111    1988a; Stuchi, 2004; Walsh and Hume, 2001; Walsh and Naish, 2002; Suárez et al. 2003; Suárez  
112    and Marquardt, 2003; Sallaberry et al., in press).

113       The Pisco F., located Southern from Lima, ranges 350 km from Pisco to Yauca (Fig. 1;  
114    Muizon & DeVries, 1985). It has already been assigned to different temporal ranges but following  
115    DeVries and Shrader (1997) it is ranged from Middle Miocene to Early Pliocene. It presents  
116    hundreds of meters of sandstone and diatomite intercalated with volcanic ash, as a result of  
117    upwelling and increasing of diatom sedimentation rates, presenting cross-bedding hummocky  
118    structures (Brand et al. 2004). Also has been reported a phosphatic pebble-laden hardground  
119    (DeVries and Shrader, 1997).

120       Muizon and De Vries (1985) recognized vertebrate based levels for the Pisco F. (Sacaco,  
121    Sudsacaco, Montemar, Aguada de Lomas, El Jahuay and Cerro la Bruja) tha could correlate  
122    isolate strata from different localities by presence/absence and association of vertebrate taxa,  
123    including sharks, bony fishes, turtles, crocodiles, birds and mammals (cetaceans, seals and

124 edentates).

125 From Cerro la Bruja (CLB) locality was described *B. mazeasi*, and following DeVries and  
126 Shrader (1997) and DeVries (1998) it would represent Middle Miocene or even 10mya old  
127 sediments since presents the phosphatic bed that was interpreted to correspond to the great global  
128 lowering of eustatic level occurred at this age. This observation differs from the study of Garrison  
129 (1992) that postulates that the phosphate preferential deposition occurs at high stands intervals.  
130 However, following this affirmative not compromises the Middle Miocene assignation of DeVries  
131 and Shrader (1997), once this sequence (CLB) was probably older than 10mya. There are many  
132 other localities in Pisco Formation that has no confirmed correlation with the vertebrate levels  
133 ordination, but trough observation of distinguishable layers it is possible that at least the Corre-  
134 Viento locality is correlated to the base of Cerro la Bruja, (L. Brand pers. comm.), however more  
135 study is needed.

136 The Bahía Ingresa F. was primarily described by Rojo (1985) who has designated the “Mina  
137 fosforita” locality (in front of Bahía Ingresa beach) as the type locality. Later it was emended by  
138 Marquardt et al. (2000a), corresponding to coquina intercalated with sandstones and roofed by  
139 phosphatic beds, that vary from phosphatic sandstone (below) to hardgrounds of cemented  
140 phosphate with nodules (above) passing by non cemented phosphatic conglomerates (Fig.1).  
141 Achurra (2004) has proposed three transgressive-regressive sequences, from Late Miocene to  
142 Pleistocene divided in nine lithologic units, eight of them belonging to B. Ingresa F.

143 The second transgressive-regressive sequence is observed in “Mina Fosforita” locality with  
144 well marked facies succession cited above, the phosphatic beds corresponds to P- and D-  
145 phosphates (Achurra, 2004), that were formed in deepness, between 45 to 180m (Blatt et al.  
146 1972 after Achurra, 2004), they are followed by siltstone and diatomites in this site (Fig. 1).

147 The D-phosphate has an important taphonomic implication; it is mostly reworked and  
148 occurs preferentially at unconformities, as a consequence of extended periods of non-  
149 sedimentation in the marine environment (Garrison, 1992). This contributes to the interpretation of  
150 the genesis of the bonebed and is consistent to explain the great abundance, not only of taxa but

151 also of fossil specimens. Additionally, the high degree of post fossilization fractures on fossils, once  
152 practically all specimens are broken in some degree, reasonable explained by the rework-  
153 redeposition hypothesis.

154 The sea-level local variation reconstruction of Achurra (2004) to Caldera basin crossed with  
155 the transgressive-regressive model proposed and Sr series dating, gave a 6.8mya antiquity to unit  
156 5 (containing the bonebed) in its medial-upper portion, which corresponds to a Messinian age (Late  
157 Miocene). This Sr series dating differs from the K-Ar dating done by Marquardt (1999), of  $7,6 \pm 1,3$   
158 which was interpreted to correspond to reworked ash layer, thus would not correspond to the  
159 deposition time (Achurra, 2004). Considering that unit 5 lies on the lower stand of sequence 2, a  
160 reasonable hypothesis to the bonebed genesis would be that the first deposition of these fossils  
161 should correspond at least to the maximum flooding surface (MFS) of sequence two, occurred at  
162 Tortanian stage (8mya, Miocene). This hypothesis is corroborate by biostratigraphic mollusk data  
163 (Guzmán et al. 2000) and vertebrate association studies (Walsh and Hume, 2001; Walsh and  
164 Naish, 2002; Suárez and Marquardt, 2003; Walsh and Suárez, 2005). Other transgressive-  
165 regressive models already have been suggested (Marchant et al. 2000, Walsh and Suárez, 2005)  
166 but these models are not described in detail.

167 Another interesting fact is that the Cerro la Bruja locality from Pisco F. also presents a  
168 phosphatic hardground at the top of the sequence, mentioned above (DeVries and Shrader, 1997;  
169 DeVries, 1998). Considering that the model for phosphogeneis was proposed by Garrison (1992)  
170 based in samples from perforation offshore in Peru and Alta California, and that the transgressive-  
171 regressive cycles result from global eustatic levels, with some local variation (Achurra, 2004). The  
172 phosphatic rocks of B. Inglesa F. seems to be synchronize with D-phosphate of MonteRey F.  
173 (Messinian-Tortonian; Garrison, 1992). Thus, is reasonable a provisional correlation to Cerro la  
174 Bruja and the bonebed by phosphatic occurrence and faunal assemblage. This is relevant since  
175 DeVries and Frassinetti (2003) studying Chilean and Peruvian mollusks faunas have conclude that  
176 there was a climate barrier separating them, thus making difficult the correlation by mollusks and  
177 potentially to other invertebrate susceptible fauna as well.

Different of the postulate by Muizon and DeVries (1985), Walsh and Suárez (2005) have considered that even the shark assemblage *Cosmopolitodus hastalis* is more abundant, *Carcharodon carcharias* is present in the bonebed (B. Inglesa F.). This species had been used to guide about Miocene-Pliocene boundary but would be used carefully (Walsh e Suárez, 2005; Suárez & Marquardt, 2003). In Pontoporiidae records of Pisco F., *P. littoralis* and *B. Mazeasi*, were proposed to indicate Pliocene and Miocene strata, it could not be extended to B. Inglesa F. since they are found in the same layer (bonebed) that even if it is reworked, it is not with Pliocene sediments.

Despite it was not possible to use the approach of Muizon and DeVries (1985) for correlation the Pisco and the B. Inglesa F. by the vertebrate levels, it was possible to find several similarities in faunal assemblage. Also were found geological and chronological evidences, such as the phosphogenesis cycle that could be used in this purpose.

## MATERIAL AND METHODS

193 The fossil materials were examined, and in some cases prepared mechanically with a vibro-  
194 tool, in collections from Chile, at the Museo Nacional de Historia Natural, Santiago and Museo  
195 Paleontológico de Caldera, Caldera (SGO-PV 746-750, 757, 758b, 759, 966, 968, 972, 1109 and  
196 MPC 202, 377s, 391s, ); and from Peru at the Museo Nacional de la Universidad Mayor de San  
197 Marcos, Lima (MUSM 565, 590, 591, 593, 884, 885, 886, 887). Additionally the holotype (MNHN  
198 PPI 121) and paratype (MNHN PPI 124) through photos, were also included in the analysis  
199 (morphometric and morphological). Field trips were carried on to B. Inglesa Formation, Caldera  
200 town during the first semester of 2005 and to Pisco F. at Ica, in May of 2005.

201 Geometric morphometric analysis was carried on with the landmarks (Fig. 2) plotted on the  
202 dorsally complete skulls of *Pontoporia blainvilliei* (UFSC 1004, 1022, 1023, 1037-1039, 1058, 1059,  
203 1066, 1070, 1091, 1092, 1121, 1214, 1217, 1221, 1262, 1284, 1288, 1290, 1310, 1314),  
204 *Brachydelphis mazeasi* holotype and the most complete specimens (SGO-PV 746, 750, 1109;

205 MPC 391s and 377s; MUSM 565, 887; MNHN PPI 121). Two analysis were run, one with only  
206 confirmed adults (excluding MNHN PPI 121, SGOPV 746 and 748) of both species and the other  
207 including all set of ontogenetic level available for *P. blainvilleyi* and all complete specimens of *B.*  
208 *mazeasi*, since we think that one of the main causes of variation within the specimens the  
209 difference of their respective ontogenetic levels. As ontogenetic ordination criteria we compared  
210 the curve growth of Ramos (2000a) with rostral groove presence and or number of ossified alveoli,  
211 because it was observed to non mature (approximate 3 years old, estimate by curve of total body  
212 length; Ramos, 2000a) and also to some fossil specimens.

213 The landmarks were digitized on tpsDig 2.04, 2005 (Rohlf , 2004) on dorsal skull photos  
214 (Fig. 2a). The data matrix with geometrical coordinates was transferred to PAST 1.4, 2006  
215 (Hammer et al., 2001) and then transformed to Procrustes coordinates to remove size tendencies  
216 and rotate to major axis. PCA shape (2D; principal components analysis for landmarks data) was  
217 run to visualize the specimens distribution, and for significance test was used NPMANOVA (non  
218 parametric multivariate analysis of variance), since the samples were not of equal size neither  
219 multivariate normally distributed. An exploratory cluster analysis was also run, it was used as  
220 similarity measure the non parametric correlation (Rho), for the same reason explained above,  
221 comparing the results of both single and paired linkage index to identify more robust groups.

222 For identification of rostrum type (short or long) was done a traditional morphometric study,  
223 with centimeter to centimeter width measures from the base (given by a line between the antorbital  
224 notches) to the anterior extremity. This measures were plotted in a log scale chart to maximize  
225 curve tendencies of width decreasing across the rostrum. These measurements were taken  
226 through tpsDig 2.04, 2005 (Rohlf , 2004) to minimize error, because some specimens only could  
227 be measure by photo (e.g. holotype) and only relative values were used to rostrum morphometry.  
228 The deformed specimens were not measured. Other descriptive traditional measurements (Fig.  
229 2b) made with caliper (precision 0.05mm) followed Muizon (1988b) with smaller modifications, the  
230 mean and SD (standard deviation) values are shown.

231

232

233

## RESULTS

234

Infra-Order Delphinida Muizon, 1984

235

Super-family Inioidea Gray, 1846

236

Family Pontoporiidae Burmeister, 1885

237

Genus *Brachydelphis* Muizon, 1988a

238

*Brachydelphis mazeasi* Muizon, 1988a

239

Figures 3-6

240        *Referred materials.* - Dorsal portions of skulls (SGO-PV 746, 748, 750 and MPC 377s) and  
241        ventral portions (SGOPV 749, 966 and MPC 202) from Bahía Inglesa Formation, Chile. From Peru,  
242        at Pisco F., besides the holotype and paratype, three partial skull: MUSM 593, MUSM 885, with  
243        both periotics and humerus associated; MUSM 886, with mandibles. There are, also, two virtually  
244        complete skulls: MUSM 565, skull with almost complete mandibles, a partial tympanic and cervical  
245        vertebra; and MUSM 887, complete skull with articulated mandibles and skeleton, still in the matrix.

246        *Locality and age.* – (Fig. 1) The peruvian specimens are from different localities of Pisco F.  
247        (MUSM 885-887 from Correviento locality, Ica; MUSM 565 from Cerro Colorado, Ica; MUSM 593  
248        and are here referred to Middle to Late Miocene (Muizon, 1988b, Muizon and DeVries, 1985,  
249        DeVries and Shrader, 1997) and the Chilean specimens (SGO-PV 746, 748, 750, 749 and 966;  
250        MPC 202, 377s) are all from Mina Fosforita, Caldera to Messinian-Tortanian (Late Miocene;  
251        Achurra, 2004, Marquardt, 1999, Walsh and Suárez, 2005).

252        *Measurements.* – TL, 220.5 (46.21, n=5); LB, 108.57 (21.2, n=5); LR, 116.17 (27.01, n=6);  
253        WR, 57.52 (9.57, n=12); WPo, 99.06 (10.66, n=11); WPx, 55.44 (9.63, n=8); WN, 26.19 (2.99,  
254        n=9); LN, 30.28 (5.94, n=6); WNh, 7.77 (1.71, n=6); WM, 109.09 (21.46, n=10); LO, 48.63 (5.6,  
255        n=10); LZ, 51.03 (6.54, n=2); SH, 86.33 (14.6, n=2); WB, 56.77 (6.75, n=2); WF, 26.8 (4.94, n=2).  
256        See figure 2 for measurements descriptions.

257        *General description.* - The preservation vary from well preserved partial (SGO-PV 748, 749,  
258      750 and MPC 202, 377s) or complete skulls (MUSM565, MUSM 887) to deteriorated partial skulls.  
259      Some specimens from both formations (MUSM 593, 885, 886; SGO-PV 966) seems to be  
260      deformed, but when compared with the others the main characters can be observed. The rostrum  
261      is curved upwards, and it is here confirmed that this is not a post-mortem deformation, since it  
262      appears in all specimens being less accentuated in the ones deformed by dorso-ventral  
263      compression. The same is true for the cranial asymmetry, but with a significant variation.

264            Additionally the MUSM 887 (which presents a curved upwards rostrum) is particularly well  
265      preserved with the skeleton articulated still in the matrix presenting a contour that seems to  
266      correspond to the living animal soft tissue or simply a decomposition capsule, and also presents  
267      the curved upwards rostrum. MUSM 565 and 887 have well preserved mandibles that follow, at  
268      same degree, the curve of the rostrum.

269        *Cranium.* - The cranium (*sensu* Fordyce, 1994) has width and length sub equals and is  
270      telescoped, having anteroposterior compression (*sensu* Barnes, 1985a). The premaxilla reaches a  
271      posterior position over the supraorbital process of the maxilla, contacting the nasals in most of the  
272      cases. The vertex is slightly asymmetric, curved to the right which differs from *Pliopontos*,  
273      *Pontistes* and *Pontoporia*. It is also elevated, in a slender plate formed by the narrow curved  
274      frontals and a maxillary crest on maxilla medial edge, similarly to other Pontoporiidae, Iniidae and  
275      *P. sternbergi* (Lipotidae, Muizon, 1988b), though not as accentuated as in the latter species. The  
276      vertex decays posteriorly to this plate, in the supraoccipital crest contacting the frontal.

277            The holotype, SGO-PV 746 and 748 have wide opened sutures (e.g. maxilla-frontal-lacrimal  
278      suture on lateral view); these materials plus MUSM 593, have big lacrimals, not covered by maxilla  
279      and smooth maxillary crests. The other specimens (SGOPV 750, MPC 202, 377s, MUSM 565,  
280      885-887) have at least some individualized alveoli, cranial sutures visible but not open, maxillary  
281      crests well developed, lacrimal mostly covered by maxilla in dorsal view. In all, the vertex is slightly  
282      elevated and asymmetric, with an inclined supraorbital process of maxilla, being convex at vertex  
283      and concave laterally.

284        *Rostrum* - All specimens like the holotype (MNHN PPI 121) and paratype (MNHN PPI 124)  
285        have a short triangular rostrum (1.24 to 1.29 times the braincase length, for MUSM 565 and 887).  
286        Muizon (1988a) originally described the species as having a rostrum shorter than the brain-case  
287        length. This was observed to SGO-PV 746 and 748 (0.8 to 0.99 times the braincase length).  
288        However, these specimens, as well as MUSM 593, have no individual alveoli but an alveolar or  
289        dental groove. In other specimens, the rostrum is slightly longer than the cranium. The base of the  
290        rostrum is flattened, but most of the specimens do not present a medial basin as observed in  
291        *Parapontoporia sternbergi* (Barnes, 1985) and in SGO-PV 746, 748 and the holotype (MNHN PPI  
292        121). The mesorostral groove at dorsal view is wide opened along its extension, after rostrum base  
293        (posteriorly) appears the vomer within it in almost all specimens, the latter has a variable extension.

294            The maxilla-premaxilla suture is not visible, having a deep longitudinal groove across the  
295        rostrum as in other Pontoporiidae and *Parapontoporia*. In ventral view, the palate is flat to concave  
296        and becomes narrower anteriorly, at the beginning of the longitudinal groove where the premaxilla  
297        appears. The vomer and palatine form a deep narrow keel posteriorly to the antorbital notches,  
298        between the internal nares, but not as deep as in *Parapontoporia*. The tooth rows are ventrally  
299        oriented at the extremity and become more lateralized at its posterior end, where it curves upward,  
300        before the antorbital notches. The alveoli, when defined, are circular and more lateral near the  
301        base of the rostrum (SGO-PV 966, MUSM 565, 886 and 887).

302            *Premaxilla*. - The premaxilla is constricted (more concave) at lateral border at the level of  
303        antorbital notches (Muizon, 1988a), actually this feature corresponds to the medial position  
304        of anterior dorsal infraorbital foramina (for trigeminal nerve) and the convexity of maxilla at this  
305        portion, that lies more laterally and present a deep groove in all other genera observed. Anteriorly  
306        to the premaxillary foramina lies, in each premaxilla, a rough triangular surface, commonly  
307        interpreted as the *nasal plug muscle* attachment that is also laterally limited by the associated sulci  
308        of these foramina (anteromedial groove for premaxillary vein). This structure is inconspicuous in  
309        this species, as in *P. blainvilliei*. The posterolateral groove of this foramen is curved in an open  
310        angle, it does not reach the posterior part of premaxilla, like in *P. blainvilliei* and *P. littoralis*,

311 instead, opens laterally at the maximum width portion of premaxilla, similarly to *P. rectifrons*,  
312 *Parapontoporia* and *Lipotes*. The posteromedial groove of this foramen is not well marked in *B.*  
313 *mazeasi* but is not roofed by bone as it is in *Parapontoporia*.

314 The dorso-lateral edge of premaxilla is flattened and not markedly elevated as in  
315 *Pontoporia*. At orbit level, the premaxilla ranges the maximum width, corresponding to half of skull  
316 maximum width, like the holotype. The prominent premaxilla plate (=spiracular plate, *sensu*  
317 Barnes, 1985), the region that had supported the premaxillary sacs (diverticula of the nasal  
318 passages, Mead, 1975), is a prominent portion of premaxilla between the nasal passage and the  
319 premaxillary foramen, delimited anterolaterally by the posterolateral groove for premaxillary vein  
320 mentioned above. The premaxilla posterior portion contacts medially the nasals in the vertex,  
321 through a posteromedial process. This configuration is unique among the Pontoporiidae and  
322 Lipotidae, although a posteromedial process is present in *P. rectifrons* and *Parapontoporia*  
323 together with a posterolateral one, and in *Lipotes* it appears alone but do not contacts the nasals,  
324 once they are highly elevated.

325 *Maxilla*. - The maxilla is inclined posterolaterally, reach the highest portion on the elevated  
326 vertex where partially overlies the frontal in its suture. The lowest portion is at postorbital process  
327 of frontal, also at lateral border of the cranium. *B. mazeasi* does not present a temporal crest at  
328 temporal fossa roof, instead, the maxilla and frontal are fused in a smooth surface, differing from *P.*  
329 *blainvilieei* adults and similar to the fetus (UFSC 1059).

330 The posterior dorsal infraorbital foramen that has supplied the maxillary branch of trigeminal  
331 nerve (or blood vessels and nerves to the facial muscles, *sensu* Fordyce, 1994), lies more  
332 posteriorly than in *P. blainvilieei* and *P. littoralis*, at the postorbital process level. In *Pontoporia*,  
333 between maxillary crest and premaxilla border, lies a deep channel that extends backward well  
334 posterior to the nares. In *B. mazeasi* this channel does not exists and the region between posterior  
335 and anterior dorsal infraorbital foramina is elevated. Different from the concavity of *P. blainvilieei*  
336 and *P. littoralis* or from the flattened surface of *P. rectifrons*. The anterior dorsal infraorbital  
337 foramen is medially placed only in *B. mazeasi*. The anterior maxillary foramina dorsal opening of  
338 the infraorbital channel is medially placed. The maxillary crests above the orbit reach the maximum

339 development at the preorbital process of frontal and are asymmetric, similar to *P. blainvillici* and  
340 differing from other genera. In ventral view, the maxilla posterior extremity is not so well defined,  
341 but the palatine suture is visible in MUSM 565 and the holotype (*sensu* Muizon, 1988a) similarly to  
342 *Pliopontos*, *Lipotes* and *Parapontoporia* and differing from *P. blainvillici* and *Inia*. It also seems to  
343 articulate with lacrimal, jugal and palatine, without participation on orbit. Medially it contacts the  
344 palatines and vomer anteriorly to the ventral keel formed by palatine, vomer and pterygoid  
345 (hamular process), differing from *P. blainvillici*, where it have a process within this keel, between  
346 the pterygoid hamular process and palatines.

347         *Lacrimal and Jugal*. - The lacrimals are robust and the antorbital notches are deep,  
348 delimiting the maxillary branch of trigeminal nerves (V2) trajectory. Only in SGO-PV 746, 748 the  
349 lacrimals are almost its extension exposed dorsally along almost its extension. A small portion of  
350 the jugal is preserved ventrally at rostrum base (SGO-PV 966), and it is articulated with lacrimal at  
351 the antorbital notch and at rostrum base, lying within antorbital notch, but in a more posterior  
352 position, similar to *Parapontoporia*.

353         *Frontals*. - The frontals in the vertex are very thin and short (more than 3 times shorter than  
354 the nasals), curved to the right (SGO-PV 746, MUSM 565, 887, MPC 203), being asymmetric and  
355 so differing from all other Pontoporiidae. Anteriorly they are inserted between the nasals, in a V-  
356 shaped (posteriorly opened) suture, like in Pontoporiidae, but more similar to *Pontistes*. The  
357 supraoccipital crest is only slightly projected anteriorly differing substantially from *Pliopontos*. The  
358 frontals are covered by maxilla in almost all its dorsal extension. Only appear above the orbits,  
359 laterally to the maxillary crest, less apparent than Pontoporiidae, similar to *Inia*, *Lipotes* and  
360 *Parapontoporia*. In lateral view, there is a visible suture with lacrimal and maxilla at anterorbital  
361 process. The postorbital process is triangular in shape and touches the zygomatic process of  
362 squamosal (MUSM 565) on its extremity, since it is strongly inclined upwars. In ventral view, lies  
363 the optic infundibulum limited at postorbital level by the postorbital ridge, well marked in MUSM  
364 565. Anteriorly should appear the optic foramina and the preorbital ridge between this foramen and  
365 the infraorbital foramina but these features are only poorly marked, since on MUSM 565 the details  
366 can be confused due to the presence of incrusted sediments. But in SGO-PV 966 it can be seen

367 an anterolaterally foramen (at the left side) in front of the preorbital ridge, here interpreted as the  
368 anterior infrorbital foramen, differing from *Parapontoporia* and *Pontoporia*. The orbit and the  
369 postorbital sinus are markedly bigger than in adults of *Pontoporia*, being similar to the fetus  
370 condition in the latter. The fronto-parietal suture was not observed.

371       *Nasals*. - The nasals are long, narrow, mostly curved to the right and triangular to  
372 rectangular shaped. In MUSM 565 and MUSM 887 the distal portion of the nasals that contacts  
373 the frontals are less than 1/3 thick from its own proximal portion. In MPC 377s the nasals are  
374 markedly rectangular shaped. The alignment of the naso-frontal suture gives a triangular or  
375 rectangular aspect of nasal, being this feature also variable in *P. blainvilliei*. In most of the cases,  
376 the nasals are cut on anterolateral corner, by the posterior processes of the premaxillas that  
377 contact the nasals as in *P. rectifrons*. In *P. blainvilliei* it was only observed in juvenile specimens  
378 that were still developing the nasals. The elevation and deflection of the nasals are more similar to  
379 *Lipotes* and *Parapontoporia*, but still in a smaller degree. This slightly elevation on face at nasal  
380 level indicates a moderate development of maxillo-naso-labialis muscle.

381       *Mesethmoid*. - Within the nasal passage, the mesethmoid appears inclined but not as  
382 inclined as the nasals, like in *Parapontoporia*. It definitively does not support and elevates the  
383 nasals as in Delphinidae, Ziphidae and other modern dolphins. The fossa for olfactory nerve was  
384 not observed. The mesethmoid septum has an irregular dorsal end that could indicate a cartilage  
385 continuation (*sensu* Fordyce, 1994). Its septum has a little deviated to the right, given an  
386 asymmetric profile to the nasal passage, differing of *P. blainvilliei* and *P. littoralis*, but similar to *P.*  
387 *sternbergi*.

388       The nasal passage has a variable form, from broad, with rounded premaxilla suture (MPC  
389 391s, SGO-PV 748, 1109) to slender, with V-shaped premaxilla suture (MPC 377s, SGO-PV 746,  
390 750). This variation seems to be related to whether the premaxilla is highly elevated (inflated) on  
391 spiracular plate or not, respectively. MUSM 887 presents an intermediate pattern regarding both  
392 structures.

393       *Palatine, vomer, pterygoid and pterygoid sinus*. - In the basicranium, the lateral lamina of  
394 the palatine bone is preserved, but only in its proximal portion, thus its real extension and shape

395 are unknown. The same is observed for pterygoid lateral lamina, differing from the original  
396 interpretation of *B. mazaeasi* (Muizon, 1998a, figure 53). In the holotype and paratype a thin  
397 posterior portion of palatine and pterygoid lateral lamina is preserved, that reaches the end of  
398 nasals passage; see Muizon (1988a, figure 59).

399 MUSM 565 has the best preserved basicranium, with the hamuli process of pterygoid  
400 almost complete. It articulates in the base with vomer and basioccipital, as in the holotype.  
401 Anteriorly, the palatine articulates with maxilla in a rounded shape, as an antero-lateral process.  
402 Medially the palatine appears as a thin lamina between pterygoid hamuli of each side in contact  
403 with the latter and the vomer between them posteriorly. Anteriorly, a maxillary process is inserted  
404 between palatines and petrygoids, all this complex sutures form a keel that is thiner than in *P.*  
405 *blainvilliei*, and the hamuli pterygoid process almost contact each other, even being broken in its  
406 posterior portion.

407 The pterygoid sinuses are well developed on this species. The fossa for anterior lobe are  
408 very well marked over the palatine, having an elongate shape and extends from just after  
409 the palatine-maxilla suture to just after the antorbital notch (MUSM 565, 887 and SGOPV 966).  
410 The fossa for pre and postorbital lobe lies anteriorly and posteriorly of postorbital ridge of frontal  
411 (Fordyce, 1994), they are rounded and vary from deeply marked on MUSM 565, to slightly marked  
412 on SGOPV 966, that is broken after postorbital ridge. The fossa for middle lobe of pterygoid sinus  
413 is observed above the falciform process and glenoid fossa (MUSM 565, 887 and holotype). There  
414 are also excavations on paraoccipital bone, for posterior lobe, and on the lateral border of  
415 basioccipital crest, a fossa for the sinus pterygoid in alisphenoid-basisphenoid bones (=peribullary  
416 lobe of sinus pterygoid). It was not observed a subcircular fossa (*sensu* Muizon, 1987) or periotic  
417 fossa (*sensu* Fordyce, 1994). The jugular notch is wide separated from basioccipital and the  
418 foramen hypoglossal is large and lies within this notch (MUSM 565).

419 The vomer forms the nasal passage septum medially, contacts posteriorly the basioccipital  
420 and laterally the pterygoids medial laminas that are robust and also articulate with basioccipital,  
421 posteriorly.

422 *Squamosal*.- In dorsal view, the zygomatic process of squamosal is barely seem on MUSM

423 565, only specimen with squamosal complete. It is strongly upward oriented as *Parapontoporia*  
424 and *Pontoporia*, but in higher degree, and not laterally projected as in *Lipotes*, very apparent in  
425 dorsal view. Its suture with parietal is visible, but its dorsal extension is lower than in *Pliopontos*  
426 and *Pontoporia*, being more similar to that of *Parapontoporia*. On its anteroventral edge seems to  
427 have a facet for jugal articulation. The postglenoid process is robust and pointed ventrally projected  
428 similar to *Parapontoporia* and not rounded as in *Pontoporia*. The lambdoid crest comes from the  
429 posterior portion of this fossa, it gets more posterior than occipital condyle giving an elongate  
430 aspect for temporal fossa. This fossa is very high and roofed by the maxilla and frontal expansions,  
431 this also is excavated by the postorbital lobe of pterygoid sinus (MUSM 565 and 887) differing from  
432 *Lipotes*.

433 In ventral view, above the external auditory meatus and post-tympanic process, the  
434 zygomatic process has a fossa, where should have attached the *sternomastoideus*, *scalenus*  
435 *ventralis*, *longus capitis* and *mastohumeralis* muscles (Schulte & Smith, 1918).

436 Present a falciform process barely projected medially with a posterior spiny process (*sensu*  
437 Muizon, 1987) not accented, but it can be broken. Even though, there is a shallow fossa for medial  
438 sinus below the falciform process. The glenoid fossa is wide and delimited by a lateral and a  
439 posterior ridge, the last one marks the beginning of post-glenoid process. The external auditive  
440 meatus is deep between the latter and a roughly surface that must correspond to post-tympanic  
441 process (Fordyce, 1994), where the tympanic articulates with squamosal.

442 *Alisphenoid, basisphenoid, orbitosphenoid and parietal*. - In lateral view, through the fossa  
443 temporal appears the parietal, with a visible suture squamosal-parietal. The other sutures are  
444 barely delimited since the only specimen that has the basicranium well preserved (MUSM 565)  
445 seems to be an old specimen that has had all the bones fused. In ventrolateral view, the bones  
446 suture and foramina seems to be fused or combined, it is only present the *cranial hiatus* (Fraser &  
447 Purves, 1960) as in many other derivate odontocets, with all foramina unified. The oval foramen is  
448 not well defined as well, so that the possible location of the further is in front of the *cranial hiatus*  
449 and above the bony bridge that should correspond to alisphenoid-basisphenoid bones that are  
450 fused and broken. Therefore, the oval foramen is not separated from the cranial hiatus but it is

451 possible that it correspond to non preservation of this thin bony lamina. Laterally the parietal  
452 articulates with alisphenoid-basisphenoid. The orbitoesphenoid was not recognized. The path for  
453 mandibular nerve (branch of trigeminal nerve) is not evident, but seems to be present in a bony  
454 bridge that may correspond to the alisphenoid and the path for anterior branch of trigeminal nerve  
455 (V3), it is visible and runs parallel to medial lamina of pterygoid to just below the postorbital ridge.

456 Dorsally, at cranium posterior edge the parietal appears between the maxilla and the  
457 supraoccipital only in MUSM 887.

458 *Basioccipital*. - The basioccipital has a high and relatively thin crest (=falcate process),  
459 anteriorly continued by pterygoid medial lamina. The suture of this bones with basisphenoid-  
460 alisphenoid can not be securely recognized, therefore continuous to this crest together with  
461 basisphenoid-alisphenoid bones are very excavated for the fossa for peribullary sinus (*sensu*  
462 Fraser & Purves, 1960) or alisphenoid-basisphenoid sinus (*sensu* Fordyce, 1994). The basioccipital-  
463 vomer and basioccipital-pterygoid lateral lamina sutures are evident medially, laterally the carotid  
464 foramen seems to appear in the level of oval foramen, but it is not well preserved.

465 *Supraoccipital, exoccipital*. - The occipital plate is almost vertical and present longitudinal  
466 and oblique sulci, separating the two marked cerebral bulbous and the occipital condyle,  
467 respectively, differing substancially from the globoid aspect of *Pontoporia* and *Pliopontos*. It has a  
468 longitudinal sulcus separating the cerebral bulbous and a mediolateral sulcus, that splits the  
469 paraoccipital from supraoccipital. The exoccipital is larger and more robust than in *Pontoporia* and  
470 *Pliopontos* and similar to *Parapontoporia*, the paraoccipital process of this bone is ventrally  
471 projected and is longer than basioccipital. The jugular notch is broad and profound; it splits the  
472 paraoccipital from exoccipital ventrally.

473 *Teeth* - The teeth are present in variable number in the different specimens. Its shape is  
474 similar to other Pontoporiidae only with smaller size (9,91mm length), but the root was not  
475 observed since the teeth are all articulated (MUSM 886 and 887). In MUSM 886 was observed  
476 some variation of shape with some narrower and some more conical, with a broad base (width:  
477 3,30mm conical and 2,25mm narrower). On this specimen the teeth seems to be all laterally  
478 oriented in some degree, from the anterior extremity to rostrum base.

479        *Mandible*. - The mandibles are short, lateromedially compressed and curved upwards, as  
480        the rostrum. There is no symphysis only a contact region in the anterior extremity from where the  
481        bony axis deviates in posterolateral direction, in contrast to the long symphysis present in all other  
482        Pontoporiidae, Iniidae and Lipotidae. The mandibular foramen or fossa is wide and occupies most  
483        of posterior end of each bone, between the condyle and the anterior end of coronoid (dorsally) and  
484        angular (ventrally) process. The condyle is only preserved in MUSM 887 and it is articulated, so it  
485        is only possible to know that is very rounded. The teeth row ends in the base of coronoid process  
486        that is very high. In the anterior extremity there is only a shallow sulcus, not accepted as in all other  
487        Pontoporiidae. Approximately 18 alveoli are present in MUSM565. These mandibles are  
488        completely different from all Pontoporiidae mandibles known.

489

490                          *cf. Brachydelphis* new form

491                          Figure 7

492        *Referred materials*. – Incomplete ventral and dorsal views of skull (SGO-PV 972 and  
493        MUSM 884), a dorsal portion of skull (SGO-PV 1109) and a rostrum fragment (SGO-PV 759).

494        *Locality and age*. – A provisory age is referred to MUSM 884 from Pisco F. near Ocucaje ,  
495        Ica (Peru) from Middle to Late Miocene (Muizon and DeVries, 1985; DeVries and Shrader, 1997)  
496        and the others, from Mina Fosforita, Caldera (Chile) to Messinian-Tortonian (Late Miocene;  
497        Achurra, 2004, Marquardt, 1999, Walsh and Suárez, 2005).

498        *Measurements*. – TL, 290a; LB, 101,78; LR, 176,9a; WR, 60,3; WPo, 106,8; WPx,  
499        60,75b; WN, 30,05; LN, 25,65b; WNH, 6,5b; WM, 117,6b; LO, 53,95. (a, only SGOPV 972; b, only  
500        SGOPV 1109). See Fig. 2b for measurements descriptions.

501        *General description*. – These specimens have basically the same features observed above  
502        for *B. mazeasi*, therefore only the differing features will be summarized here.

503        *Rostrum*. - They differ basically in the rostrum that is: longer (more than 1.63 times bigger  
504        than the cranium length, in SGO-PV 972 that is not complete), slender, not curved upward, has a  
505        thinner base and higher premaxilla in lateral view. In ventral view, the palate is also thinner and has

506 a continuous width decreasing instead of the abrupt decreasing that gives the triangular shape of  
507 the rostrum in *B. mazeasi*. A more detailed morphometric analysis of rostrum types can be seen  
508 bellow. The vomer and palatine forms the keel between internal nares, but less accentuated than  
509 in *B. mazeasi* described above, since the palatine fossae is also poorly marked.

510        *Cranium*. - There are some other characteristics that can be confused when comparing to  
511 all ontogenetic and individual variation showed above to *B. mazeasi*. They are: preorbital process  
512 more robust; more marked concavity in supraorbital process (cranial portion) of maxilla that is  
513 rather dorsolaterally oriented than laterally, as in *B. mazeasi*; vertex almost symmetric and more  
514 elevated, triangular nasals in SGO-PV1109 and MUSM 884, but never so narrow as described for  
515 some specimens of *B. mazeasi* (MUSM 565 and MUSM 887). SGO-PV 972 presents more than  
516 26 circular to squared alveoli that marks a polydony comparing to *B. mazeasi*, but not with  
517 *Lipotes*, and less with *Pontoporia* and *Parapontoporia*. The real extension of the rostrum is not  
518 known, but one can estimates a rostrum 1.63 times longer than the cranium length, based in SGO-  
519 PV 972, that has almost all the rostrum preserved.

520        In ventral view, the anterior, pre and postorbital lobes of pterygoid sinus are less marked  
521 than observed in *B. mazeasi*. The infraorbital foramina on ventral portion of frontal, has a different  
522 conformation, the fossa bellow the palatine lateral lamina is elongated in SGO-PV 972 while it is  
523 more circular in *B. mazeasi* (SGO-PV 966). The optic infundibulum, on frontal, is barely marked  
524 compared to *B. mazeasi* and, at postorbital level, the postorbital ridge of frontal (Fordyce, 1994) is  
525 more anterior having a small and narrow orbit and fossa for pre and postorbital sinus than *B.*  
526 *mazeasi*.

527        The specimen SGO-PV 747, despite of having some of these characters more similar to cf.  
528 *Brachydelphis* new form, was classified as long-rostrum type through the morphometric analysis of  
529 the rostrum (see bellow), see bellow. Since the rostrum shape and basicranial sinus disposition  
530 are the main characters of this form.

531        The squamosal, occipitals, parietals, and other bones and structures of basicranium are not  
532 known, once all the specimens referred to this new form are broken on this portion or have only

533 dorsal preservation.

534       *Mandibles*. - The specimen MUSM 884, presents a fragment of mandible that is very  
535 deteriorated and do not present the strong upwards curve, but presents a long symphysis. Differing  
536 from *B. mazeasi* and similar to the other pontoporids, *Lipotes* and *Parapontoporia*.

537

538                  *cf. Brachydelphis* indet.

539        *Referred materials*. – Dorsal portions (SGO-PV 747, 968, MPC 203 and 391s) and ventral  
540 portion of skulls (MUSM 590) and a partial rostrum (MUSM 590).

541        *Locality and age*. – The Peruvian specimens come from Pisco F. from Cerro los Huesos  
542 (MUSM 590) and Cerro Tres Piramides, Ica (MUSM 591) we referred to a provisory age of Middle  
543 to Late Miocene (following Muizon and DeVries, 1985; DeVries and Shrader, 1997). The Chilean  
544 specimens are from Mina Fosforita, Caldera and are referred to a Messinian-Tortonian age (Late  
545 Miocene; Achurra, 2004, Marquardt, 1999, Walsh and Suárez, 2005).

546        *Measurements*. – TL, 145.65i; LB, 91.5; LR, 52.45i; WR, 57.15; WPo, 106.3; WPx,  
547 57.3; WN, 27.3; WNh, 9.0; WM, 116.1; LO, 48.55 (only based on SGO-PV 747; i, incomplete)

548        *Description*. – These are other specimens that we could not determine whether they have  
549 long or short rostrum, but definitively are near to *Brachydelphis*, by presenting deep antorbital  
550 notches, with big lacrimals and a thick antorbital process, elevated and asymmetric to near  
551 symmetric vertex, large and narrow nasals and slender frontals, flat palate and triangular  
552 postorbital process.

553                  *Ontogenetic comparisons*

554       Comparing the relative size structures between fetus and adults of *P. blainvilleanus* and the  
555 juveniles and adults of some specimens of *B. mazeasi* we could note that there are some features  
556 observed to this fossil species that resemble much more the feti and juveniles than the adults of  
557 the living species (Fig. 8). Such as: relatively broader cranium; slightly bigger size of orbit, that is  
558 even bigger in holotype, SGO-PV 746 and 748; a more posterior position of the nasals; higher

559 vertex; markedly shorter and curved upwards rostrum; longer paraoccipital process (MUSM565);  
560 thicker zygomatic process in MUSM 565 and slender in MUSM 887, but both shorter, remembering  
561 the fetus condition in *P. blainvilliei*; anterior position of squamosal in its base and well marked  
562 fossae for musculature (*m. sternomastoideus* and *brachiocephalicus*). These characteristics could  
563 suggest heterocronic trends in the family.

## *Morphometry*

565 *Geometric Morphometrics.* - A Geometric morphometry analysis was carry on with the  
566 dorsally complete skulls of *Pontoporia blainvilliei*, *Brachydelphis mazeasi* (the holotype and the  
567 specimens referred here). Since the holotype described by Muizon 1988a (PPI 121) and other two  
568 specimens (SGOPV 746 and 748) have some characteristics here observed for *P. blainvilliei*  
569 juveniles as explained above.

The shape PCA (principal component analysis) of the landmarks recognize two groups in the dataset, differentiating *P. blainvilliei* and *B. mazeasi*, with some variation within both groups (Fig. 9). The NPMANOVA gives a significant value to this PCA shape ( $p=0$ ). The first component that explains 60,1% splits the two species in negative (*B. mazeasi*) and positive values (*P. blainvilliei*). The first component should not reflect size, since the data matrix was transformed to Procrustes coordinates 2D before the analysis to eliminate size-effect (Monteiro and Reis, 1999), instead have important (approximately -0,5) participation of landmarks 6 and 32, corresponding to maximum premaxilla width on cranial portion, with higher values in *B. mazeasi*, that confirms the character for the species: premaxilla maximum width on postorbital process level constituting half of cranium maximum width, this landmark also corresponds to the lateral ending of posterolateral groove for premaxillary foramina, that is posterior in *P. blainvilliei* where this species has the premaxilla maximum width but never so accentuated as in *B. mazeasi*, as shows this analysis.

582 The landmarks 4 and 34 also contribute to this axis (approximate 0.20), reflecting major  
583 difference between the species as well, the posterolateral process of premaxilla in *P. blainvilliei*,  
584 instead of posteromedial as in *B. mazeasi*. Landmarks 8 and 30 (0.17<x<0.20), corresponding to  
585 medial position of anterior maxillary foramen in *B. mazeasi* and more laterally position in *P.*

586 *blainvilliei*, respectively. This confirms the diagnostic character to the species: concave premaxilla  
587 at antorbital notch level caused by a medial position of anterior maxillary foramen. The other higher  
588 landmarks (0,19) are 3 and 38 that correspond to the naso-frontal medial suture and 10 and 11  
589 (0,15-0,16) that corresponds to width of maxilla and premaxilla at most anterior point of rostrum.

590 An important variation in the components 2 and 3 for the fossil specimens could reflect the  
591 existence of the two forms described above. Due to this variation it was not possible to differentiate  
592 both species through components 2 and 3, but this components explain only 18% of total variation  
593 (9,6% and 7,3% respectively). Since the cf. *Brachydelphis* new form and *B. mazeasi* specimens  
594 were put all together independently of its locality and whether they have long or short rostrum for  
595 all multivariate analysis, constantly this group shows more variation than *P. blainvilliei*. Both fossil  
596 groups has not split from each other on this analysis, this could be explained by the fact that main  
597 difference lies on rostrum morphology after 7-9mm of its base, landmarks that were not added,  
598 because of sample size. The differences between *P. blainvilliei* and the fossil species is due to an  
599 interaction of almost all points in component 2: 6, 10, 11, 14, 16, 17, 21, 22, 24, 27, 28, 39 (0,19-  
600 0,29). In this component, the points 4, 33 and 34 (0,23 – 0,40) present the highest negative values,  
601 and 4, 6, 10, 11, 17, 28, 32 with the highest positive values, although they are smaller than the  
602 negative ones (0,15 – 0,19). They represent: posterior process of premaxilla (4 and 34, lateral  
603 position; 4 and 34, regular growth), maximum width of premaxilla (6 and 32), width and shape of  
604 rostrum (10, 11, 28), orbit anterior process (17) and position of infraorbital foramen (33). *P.*  
605 *blainvilliei* shows almost no variation within this component.

606 The juvenile specimens SGO-PV 746 and UFSC1059 (fetus) are distant from the rest of the  
607 specimens (in both species) mainly by landmarks 4 and 34 that corresponds to posterior process  
608 of premaxilla on both sides of skull. This process get more posterior with regular animal growing in  
609 *P. blainvilliei* specimens with relative ontogenetic sequence known. This observation lead us to  
610 mantain the specimen SGO-PV 746 in the analysis even we are not shure it is not broken on this  
611 portion as interpreted for the holotype (MNHN PPI 121) by Muizon (1988a). Additionally the PCA  
612 shape run without it showed no difference on others specimens position. The specimens holotype  
613 (MNHN PPI 121) and SGO-PV 746 are not separated by components 1 and 2, but lie on opposite

614 extremes of variation on component 3 by the same landmarks influence cite above (4 and 34),  
615 since the holotype has (following Muizon, 1988a reconstruction) the posterior process of premaxilla  
616 reaching the nasals as observed in other adult specimens. The specimen MUSM 887 considered  
617 and adult with all alveoli formed also lies together with these juvenile specimens.

618 An only adult specimens analysis was also carry on, given the same results with more  
619 confidence (first component explains 66,8% of variation and splits the two specimens with 95%  
620 probability ellipses).

621 In the relative warps scores the two species also were split in a 95% confidence ellipse and  
622 *P. blainvilliei* was bounded to negative values in warp one (or score one) and *B. mazeasi* were all  
623 highly positives (values >1).

624 A cluster analysis was also done and showed two groups, one composed only by *P.*  
625 *blainvilliei* specimens and the other with *B. mazeasi* and cf. *Brachydelphis* specimens (indet. and  
626 new form). When keeping all ontogenetic series available the fetus and juveniles appeared on the  
627 base of both groups. The new form cf. *Brachydelphis* lies also in a basal position of the cluster in  
628 both algorithm utilized (single and paired linkage) between the juveniles of *B. mazeasi* (SGO-PV  
629 746 and MNHN PPI 121, holotype).

630 *Traditional morphometrics.* - The two forms, short an long rostrum (*B. mazeasi* and cf.  
631 *Brachydelphis* new form) were not well differentiate by simply morphology observation or by the  
632 geometric morphometrics because there are few specimens with complete rostrum and virtually  
633 complete cranium at the same time. To elucidate the rostrum morphology an its variability we  
634 measure the rostrum width across it. It was observed that the main differences of the rostrum in  
635 size and shape is markedly present after the region of 7 to 9 cm after the base of rostrum (that is  
636 mostly broken in dorsally complete skulls used to geometric analysis; Fig. 10).

637 Were recognized two main tendency lines of width loss in each rostrum type (short and long  
638 known a priori; Fig. 10a-b). This analysis allowed us to define better what is a short and long  
639 rostrum specimen considering its shape variation. The MPC391, 377, MUSM 887 and SGO-PV  
640 749 were classified posteriorly to this analysis as short rostrum type. And the SGO-PV 747 lies as

641 a possible longrostrum type, it was not possible define better because it is broken near its base.  
642 Some ontogenetic variation was also analyzed here since the fetus of *P. blainvilliei* is very similar to  
643 short rostrum tendency, actually it has a sort of transitional form (Fig. 10c).

644

645 **DISCUSSION**

646 The comparison of different specimens of *B. mazeasi* with specimens of known ontogenetic  
647 level of *P. blainvilliei* allowed us to asses the ontogenetic variation for that species. Some  
648 similarities, for example the late (delayed) ossification of the teeth alveoli in rostrum was observed  
649 in fetus and juveniles (from postnatal stage to before the sexual maturity, Ramos, 2000a) of *P.*  
650 *blainvilliei*, led us to conclude that the holotype (MNHN PPI 121) of *B. mazeasi* is a juvenile. Other  
651 characters such as the wide open suture of maxilla, frontal and lacrimal in lateral view are also  
652 observed in juvenile individuals of *P. blainvilliei*. Additionally the low maxillary crests and  
653 proportionally large orbits compared with temporal fossa are observed in fetuses of *P. blainvilliei*,  
654 indication of it young age. The rostrum length shorter than the braincase length (Muizon, 1988a)  
655 and only slightly curved upwards were than also interpreted here like juvenile characters for *B.*  
656 *mazeasi*, once there is a major growth of the rostrum from the fetuses to juvenile and adult  
657 specimens of *P. blainvilliei* too. Therefore, these morphological characters described for the  
658 holotype of *B. mazeasi* by Muizon (1988a), are not usefull as diagnostic for the species.

659 Other characters such as the discontinue lamina lateral of pterygoid ranging well posterior  
660 to level of the postorbital process and the small paraoccipital process of exoccipital were not  
661 confirmed here, since they were not observed in any of the new specimens. Actually they cannot  
662 either be confirmed in the holotype and the paratype (Muizon, 1988a: Figs. 52 and 59). The  
663 constriction of the premaxilla at the antorbital level was found to be variable in our larger sample.  
664 Consequently the diagnosis provided by Muizon (1988a) for *B. mazeasi* must be modified.

665 The following characters are maintained from the original diagnosis: posterior process of  
666 premaxilla contacting nasals on vertex, vertex slightly asymmetric, deep antorbital notch with big  
667 lacrimal, thick antorbital process with high maxillary crests and paraoccipital process excavated by  
668 fossa for posterior pterygoid sinus. In addition to those original features, the following ones are

669 considered important to define the species: rostrum short (compared to other Inioidea), triangular  
670 in dorsal view and curved upwards; cranium length and width subequals; premaxilla with maximum  
671 width corresponding to half of cranium width at orbit level; prominent premaxilla between the  
672 premaxillary foramen in the nasal passage. In ventral view the sinus fossa is well developed,  
673 with the anterior, pre and postorbital lobe of pterygoid sinus present, as the middle and peribullary  
674 sinus fossa; the pterygoid hamular process complete; high occipital plate and temporal fossa;  
675 postorbital process triangular in shape; visible palatine lateral lamina-maxilla suture, laterally in the  
676 base of rostrum; plane to concave palate near the base the rostrum, with a medial groove that  
677 shows the premaxilla, more anteriorly; circular alveoli; cranium width and length sub equals, at  
678 rostrum base, palatine-maxilla suture visible observed in old specimens, conical teeth, mandibles  
679 with small (less than half of the mandible length) not fused symphysis deflected upwards; nasals  
680 and frontals elevated on vertex and laterally covered by maxilla; convex maxilla at antorbital notch  
681 level.

682 After redefining *B. mazeasi*, it was possible to distinguish a second form, called here cf.  
683 *Brachydelphis* (*new form*), from specimens from both, Pisco and B. Inglesa Formations. (SGO-PV  
684 759, 972,1109, MUSM 884). This form was characterized by having a longer rostrum (more than  
685 1.63 times the cranium length) with a long mandibular symphysis (probably more than a half of the  
686 mandible total length). Other characters observed are: more concave supraorbital process of  
687 maxilla, more rectangular nasals, less marked fossa for anterior sinus of pterygoid and narrower  
688 cranium. They could be in part due to individual variation, but the amount of differences support a  
689 taxonomical distinction. There is not much evidence for this feature to be a sexual dimorphism as  
690 well. In *P. blainvilleyi* it has been observed that the female has a bigger size (reflecting in skull and  
691 teeth size, Ramos et al. 2000b, Higa et al. 2002) but was not registered any case of rostral size  
692 difference as sexual dimorphism in odontocetes.

693 Additionally, long and short rostrum could be interpret to have different food habits, but still  
694 this inferences are difficult to delimit to living species and may be less crucial in the animal life than  
695 it has been generally thought, Goodall and Galeazzi (1985) has pointed that the diets thought to be

696 specifical of some species and higher groups (e.g. family) of cetaceans have been found variable  
697 according to food supplies and geographic displacement. More simply the different rostrum types  
698 could be regarded to the greater diversity of the hole clade Inioidea during Middle to Late Miocene  
699 showed in the fossil record, so far regarded to South American coast records (Cozzuol, 1985,  
700 1996; Muizon, 1983; Muizon; 1988a; Fordyce and Muizon, 2001; Hamilton et al., 2001; Banguera-  
701 Hineztroza, 2002).

702 We decide not to describe a new taxon untill better specimens and a phylogenetic  
703 background be available.

704 In the proper variation study, interestingly some characters described as diagnostic to  
705 different groups within Delphinida (Muizon, 1988b: 23) are found to be variable in *B. mazaeasi* and  
706 in *P. blainvilliei*, such as: nasal passage shape, from broad with premaxilla suture semi-circular  
707 (*sensu* Muizon, 1988b; in MPC391s, SGOPV 748, 1109) to slender with premaxilla suture V-  
708 shaped or cordiform (*sensu* Muizon, 1988b; in MPC377s, SGOPV 746, 750) utilized to differentiate  
709 Lipotidae (Parapontoporia + Lipotes) that should have a rounded curve between premaxilla, and  
710 Pontoporiidae that should have a 90 degrees corner, the cordiform condition (Muizon, 1988b).

711 The morphometric study has reveled a higher variation of *B. mazaeasi* that can be  
712 interpreted as ontogenetic variation in shape (the juveniles are generally far from the medial region  
713 of distribution of specimens on PCA shape). But also, was due to the appearance of the new form  
714 of cf. *Brachydelphis*, visible on cluster analysis when the new form specimen (SGO-PV 1109) lies  
715 near the base of fossil specimens cluster, together with the juveniles. This analysis also has shown  
716 a higher similarity of fetus and juveniles from *P. blainvilliei* and *B. mazaeasi*, respectively, with each  
717 other, being both in the base of its correspondent cluster. The geometric morphometrics has been  
718 used to elucidate confuse cases of existence of living species or subspecies of Delphinidae  
719 (Monteiro-Filho et al. 2002) and of the existence of sub-populations to *P. blainvilliei* (Higa et al.  
720 2002), in any of this cases the morphological background must be corroborated to define better  
721 this less inclusive clades or groups. Nevertheless, if there are problems to determine and validate  
722 living species we are far from solve the problems with fossil taxa, but crossing data (living+fossil

723 records) is a fundamental path to begin.

724         *Evolutionary implications*.-The presence of a short rostrum was cited as an autapomorphic  
725 character that has defined the subfamily Brachydelphinae (Muizon, 1988b). The occurrence of cf.  
726 *Brachydelphis new form*, with long rostrum, implies that the validity of this subfamily might be re-  
727 evaluated and a new phylogenetic approach should be provided. The short rostrum is probably a  
728 consequence of a pedomorphic trend which is shown also by the brachycephalic skull. In other  
729 Inioidae and Lipotidae, the trend lead to a peramorphic condition, like in *Inia*, *Lipotes*, in lesser  
730 degree, *Pontoporia*.

731         In the phylogenetic analysis of Muizon (1988b: Fig. 1, pag. 164-166) the characters that  
732 define Pontoporiinae are the reappearance of lateral lamina of pterygoid (character 33) and  
733 acquisition of symmetrical vertex (character 34). However, the first character is not well known to  
734 *Pontistes*, *Pliopontos* and *Brachydelphis*, to support this hypothesis. On the other hand, the  
735 asymmetry found in the vertex of *Brachydelphis* is variable and specimens of Pleistocene and  
736 living *P. blainvillici* (Ribeiro et al. 1998 and personal observation) have slightly asymmetrical vertex,  
737 making of this feature a confuse character that should be used carefully. Heyning (1989) studying  
738 soft tissue anatomy of living odontocetes, has said that all odontocetes, including *Pontoporia*, have  
739 the left side more developed and so asymmetric at least in soft tissue, this is used to consider the  
740 symmetry of Pontoporiidae as a derivative character.

741         The Inioidae clade was defined by Muizon (1988b:164-166, figure 1) by presenting the  
742 following cranial characters: lateral lamina of palatine fused to maxilla (character 21); prominent  
743 premaxillary plate (=inflated spiracular plate; 22); premaxilla nearly in contact medially with medial  
744 borders equidistant along all their length (23), dorsal erection of lateral border of maxilla above  
745 temporal fossa and orbit and reduction of the roof of temporal fossa (24), narial fossa semi-circular  
746 and not cordiform (25). From these characters the only one that is visible and undoubtfull present  
747 in *B. mazaeasi* is the character 22. The character 21 is absent, because it was observed a visible  
748 suture of maxilla and palatine even in an old specimen; the characters 23 and 25 were found to be  
749 variable, actually the character 23 is essentially not well known because is often broken; and the

750 character 24 is also absent, by presenting a wide roof for fossa temporal and not the erection of  
751 maxilla border. Therefore, strictly using the same features of Muizon (1988b) the position of  
752 *Brachydelphis* seems to be more likely a basal position within Inioidea than the position within  
753 Pontoporiidae. This agrees with recent phylogenetic propositions placing *Brachydelphis* as the  
754 sister group of Inioidea + Lipotoidea + Platanistoidea.

755 Banguera-Hinestrosa et al. (2002) calculate the time of divergence of Pontoporiidae and  
756 Iniidae to middle Miocene (17my). They calibrated it using *B. mazeasi*. If *B. mazesi* be more basal  
757 the molecular clock would now point to a divergence time of Delphinida for this period, considering  
758 the Muizon's (1988b) phylogenetic hypothesis. This lead us to the conclusion that more molecular,  
759 morphological and as consequence, phylogenetic studies are needed.

760

## 761 CONCLUSIONS

762 The holotype of *B. mazeasi* was referred to a juvenile specimen. In this context a  
763 redefinition of the species was provided, adding more specimens and anatomical characters, which  
764 extends the diagnosis of this taxon. A new form of long rostrum was recognized, probably the  
765 same genus, suggesting a greater diversity for the group.

766 The variability of some characters was evaluated and thus, some characters in the clades  
767 related to *B. mazeasi* and *P. blainvilleyi* were found to be confuse. Consequently, all this new  
768 information lead us to question the phylogenetic position of this taxon and its use for the calibration  
769 of molecular clocks.

770 Both taxa are present in the bonebed of Mina Fosforita locality from Late Miocene of the  
771 Bahía Inglesa Formation (Chile) and from different localities assigned to Middle and Late Miocene  
772 of Pisco Formation (Peru).

773

## 774 ACKNOWLEDGMENTS

775 We thank to Paulo Simões-Lopes, Rodolfo Salas and Daniel Frassinetti for the access to the  
776 collection under they care. Leonard Brand, Marcelo Stuchi, Mario Urbina and Raul Esperante for

777 help during the field trip at Pisco. Oyvind Hammer for help in statictics. Dan Omura, David  
778 Rubilar-Rogers and Sérgio Hilebrandt for help in different aspects of this work. This work was  
779 financed mainly by CNPq.

780

## 780 LITERATURE CITED

781

782 ACHURRA, L., 2004, Cambios del nivel del mar y evolución tectónica de la cuenca Neógena de  
783 Caldera, III Región. M.Sc. thesis, Departamento de Geología, Universidad de Chile, 138 p.

784 ARNASON, U., GULLBERG, A., 1996. Cytochrome *b* nucleotide sequences and the identification of  
785 five primary lineages of extant cetaceans. Mol. Biol. Evol. 13: 407–417.

786 BANGUERA-HINESTROZA, E. ; CÁRDENAS, H.; RUIZ-GARCÍA, M.; MARMONTEL, M., GAITÁN, E.,  
787 VÁZQUEZ, R. AND GARCÍA-VALLEJO, F. 2002. Molecular Identification of Evolutionarily Significant  
788 Units in the Amazon River Dolphin/*Inia* sp. (Cetacea: Iniidae). The Journal of Heredity 93(5):  
789 312-322.

790 BARNES, L.G. 1985. Fossil pontoporiid dolphin (Cetacea: Mammalia) from the Pacific coast of North  
791 America. Contributions in Science, Natural History Museum of Los Angeles County 363: 1-34.

792 BRAND, L. R., ESPERANTE, R., CHADWICK, A.V., PORRAS, O. P. AND ALOMÍA, M. 2004. Fossil whale  
793 preservation implies high diatom accumulation rate in the Miocene–Pliocene Pisco Formation of  
794 Peru. Geology 32(2):165–168.

795 BURMEISTER, G. 1885. Examen crítico de los mamíferos y reptiles fósiles denominados por D.  
796 Augusto Bravard y mencionados en su obra precedente. Anales del Museo Nacional de  
797 Buenos Aires 97-174 + 2 plates.

798 CANTO, J.H., CROVETTO, A. AND COVACECHI, V. 2002 . Hallazgo de Pilopontos sp. (Cetacea:  
799 Pontoporiidae) en el Neógeno de Chile. *Noticiario Mensual del Museo Nacional de Historia  
800 Natural* 350: 28-37.

801 CASSENS, I., VICARIO, S., WADDELL, V.G., BALCHOWSKY, H., VAN BELLE, D., DING, W., FAN, C., LAL  
802 MOHAN, R.S., SIMÕES-LOPES, P.C., BASTIDA, R., MEYER, A., STANHOPE, M.J., MILINKOVITCH,  
803 M.C., 2000. Independent adaptation to riverine habitats allowed survival of ancient cetacean  
804 lineages. Proc. Natl. Acad. Sci. USA 97: 11343–11347.

805 CIONE, A.L.; AZPELICUETA, M.M.; BOND, M.; CARLINI, A.A.; CASCIOTA, J.R; COZZUOL, M.A.; DE LA  
806 FUENTE, M.; GASPARINI, Z.; NORIEGA, J.I.; SCILLATO-YANÉ, G.J.; SOIBELSON, L.; TONNI, E.P.;  
807 VERZI, D.; VUCETICH, M.G., 2000. Miocene vertebrates from Entre Ríos, eastern Argentina.

- 808 Insugeo Série de Correlación Geológica, 14:191- 237.
- 809 Cozzuol, M.A. 1985. The Odontoceti of the Mesopotamiense of the Parana river ravines.
- 810 Systematic review. Pp. 39-52 (+ 2 plates) in Investigations on Cetacea (G. Pilleri, ed).
- 811 Cozzuol, M.A. 1996. The records of the aquatic mammals in Southern South America. Münchner
- 812 Geowiss. Abhandlungen. (A)30: 321-342.
- 813 CREMER, M.J. AND SIMÕES-LOPES, P.C. 2005. The occurrence of *P. blainvilliei* (Gervais &
- 814 d'Orbigny) in a estuarine in southern Brazil. Revista Brasileira de Zoologia 22(3): 717-723.
- 815 CRESPO, E.A., HARRIS, G. AND GONZÁLEZ, R. 1998. Group size and distributional range of the
- 816 Franciscana, *Pontoporia blainvilliei*. Marine Mammal Science 14: 845-849.
- 817 DEVRIES, T.J. 1998. Oligocene deposition and Cenozoic sequence boundaries in the Pisco Basin
- 818 (Peru). Journal of South American Earthe Sciences. 11(3):217-231.
- 819 DEVRIES, T.J. AND FRASSINETTI , D. 2003. Range extensions and biogeographic implications of
- 820 Chilean Neogene mollusks found in Peru. Boletín del Museo Nacional de Historia Natural
- 821 52:141-157.
- 822 DEVRIES T. AND SCHRADER, H. 1997. Middle Miocene marine sediments in the Pisco Basin (Peru).
- 823 Boletín de la Sociedad Geológica del Perú 87: 1-13.
- 824 FORDYCE, R.E. 1994. *Waipatia maerewhenua*, new genus and species (Waipatiidae, New Family),
- 825 an archaic Late Oligocene dolphin (Cetacea: Odontoceti: Platanistoidea) from New Zealand.
- 826 Pp. 147-176 in Contributions in Marine Mammal Paleontology honoring Frank C. Whitmore, Jr.
- 827 (A. Berta and T. Deméré, eds.). Proceedings of the San Diego Society of Natural History 29.
- 828 FORDYCE, R.E. 2002. *Simocetus rayi* (Odontoceti: Simocetidae, New Family): A bizarre new
- 829 archaic Oligocene dolphin from the eastern North Pacific. Pp. 185-222 in Cenozoic Mammals of
- 830 land and sea: tributes to the carrer of Clayton E. Ray (Robert J. Emry, ed.). Smithsonian
- 831 Institution Press, Washington DC.
- 832 FORDYCE, R.E., MUIZON, C., 2001. Evolutionary history of Cetaceans: a review. Pp. 163–233 in
- 833 Secondary Adaptation to Life in the Water (deBuVrenil, V. and J.M. Mazin, eds.). Pfeil Verlag,
- 834 Munich.
- 835 FRASER, F.C. PURVES, T.E. 1960. Hearing in Cetaceans. Evolution of the Accessory air sacs and

- 836 the structure and function of the outer and middle ear in recent cetaceans. Bulletin of the British  
837 Museum (Natural History) Zoology Vol 7 no 1, 140 p. London.
- 838 GARRISON, R.E.1992. Neogene Phosphogenesis allong the Eastern margin of the Pacific Ocean.
- 839 Revista Geológica de Chile 19(1): 91-111.
- 840 GEISLER, J.H., AND SANDERS, A.E. 2003. Morphological evidence for the phylogeny of Cetacea. J.  
841 Mamm. Evol. 10, 23–129.
- 842 GOODDALL, R.N.P. AND GALEAZZI, A.R. 1985. A review of the food habitats of the small cetaceans  
843 of the Antarctic and Sub-Antarctic. Pp. 566-572 in Antarctic nutrients cycles and food webs  
844 (W.S. Siegfried, P.R. Condy, and R.M. Laws, eds.). Springer-Verlag Berlin Heidelberg.
- 845 GUZMÁN, N., MARQUARDT, C., ORTLIEB, L. AND FRASSINETTI, D. 2000. La malacofauna neógena y  
846 cuaternaria del área de Caldera (27°-28°S) especies y rangos bioestratigráficos. In: Actas IX  
847 Congreso Geológico Chileno, Pto. Varas, No. 3, Vol. 1, p. 476 - 481.
- 848 HAMILTON, H., CABALLERO, S., COLLINS, A. AND BROWNELL, R. L. JR. 2001. Evolution of river  
849 dolphins. Proc. R. Soc. London B. 268: 549-556.
- 850 HAMMER, Ø. 1998. Computer simulation of the evolution of foraging strategies: Application to the  
851 ichnological record. *Palaeontologia Electronica* 1(2):21p. [http://www-](http://www-opd.tamu.edu/paleo/1998_2/hammer/issue2.htm)  
852 [odp.tamu.edu/paleo/1998\\_2/hammer/issue2.htm](http://www-opd.tamu.edu/paleo/1998_2/hammer/issue2.htm)
- 853 HEYNING, J.E. 1989. Comparative facial anatomy of beaked whales (Ziphiidae) and a systematic  
854 revision among the families of extant Odontoceti. Contributions in Science Natural History  
855 Museum of Los Angeles County 405: 1-64.
- 856 HIGA, A., HINGST-ZAHER, E. AND DE VIVO, M. (2002) Size and shape variability in the skull of  
857 *Pontoporia blainvilleyi* (Cetacea: Pontoporiidae) from the Brazilian Coast. Latin American Journal  
858 of Aquatic Mammals (special issue) 1:145-152.
- 859 LAMBERT, O. 2005. Long-snouted dolphins and beaked whales from the Neogene of the Antwerp  
860 area: systematics, phylogeny, palaeoecology and palaeobiogeography Doctorat en sciences,  
861 Spécialisation biologie animal.
- 862 MARCHANT, M. MARQUARDT, C., BLANCO, N. AND GODOY, E. 2000. Foraminíferos del área de  
863 Caldera (26° 45'-28°S) y su utilización como indicadores cronoestratigráficos del Neógeno In:

- 864       Actas IX Congreso Geológico Chileno, Pto. Varas, No. 9, Vol. 2, p. 499 - 503.
- 865       MARQUARDT, C. 1999. Neotectónica de la franja costera y aportes a la geología regional entre
- 866       Caldera y Caleta Pajonal (27°00'-27°45'S), III Región de Atacama. M.Sc. thesis, Departamento
- 867       de Geología, Universidad de Chile
- 868       MARQUARDT, C., ORTLIEB, L., LAVENU, A. AND GUZMÁN N. 1999. Recent vertical motion and
- 869       quaternary marine terraces in the Caldera area, northern Chile (27°S). *In:* Fourth International
- 870       Symposium of Andean Geodynamics (ISAG), Göttingen, p. 482-486.
- 871       MARQUARDT, C., BLANCO, N., GODOY, E., LAVENU, A., ORTLIEB, L., MARCHANT, M. AND GUZMÁN, N.
- 872       2000. Estratigrafía del Cenozoico Superior en el área de Caldera (26°45'-28°S). *In:* Actas IX
- 873       Congreso Geológico Chileno, Pto. Varas, No. 9, Vol. 2, p. 504 - 508.
- 874       MEAD, J.G. 1975. Anatomy of the external nasal passages and facial complex in the Delphinidae
- 875       (Mammalia:Cetacea). Smithson. Contr. Zool. 207: 1-72.
- 876       MESSENGER, S.L. AND MC GUIRE, J.A. 1998. Morphology, molecules, and the phylogenetics of
- 877       cetaceans. Systematic Biology 47: 90-124.
- 878       MONTEIRO-FILHO, E.L. DE A., MONTEIRO, L.R. AND REIS, S.F. 2002. Skull shape and size divergence
- 879       in dolphins of the genus *Sotalia*: a tridimensional morphometric analysis. Journal of Mammalogy
- 880       83 (1): 125-134.
- 881       MONTEIRO, L.R. AND REIS, S.F. 1999. *Princípios de Morfometria Geométrica*. 1º ed. São Paulo,
- 882       Holos Ed. 188p.
- 883       MUIZON, C. DE. 1981. Les vertébrés fossiles de la Formation Pisco (Pérou). Première partie.
- 884       Travaux de l'Institut Français d'Études Andines 22: 1-161.
- 885       MUIZON, C. DE. 1983. *Pliopontos littoralis* un nouveau Platanistidae Cetacea du Pliocène de la côte
- 886       péruvienne. Comptes Rendus de l'Academie de Sciences de Paris. Sér. II. 296:1203-1206.
- 887       MUIZON, C. DE. 1984. Les vertébrés fossiles de la Formation Pisco (Pérou) II. Les odontocètes
- 888       (Cetacea, Mammalia) du Pliocène inférieur de Sud-Sacaco. Travaux de l'Institut Français
- 889       d'Études Andines 27: 1-188.
- 890       MUIZON, C. DE. 1987. The affinities of *Notocetus vanbenedeni*, an Early Miocene Platanistoid
- 891       (Cetacea, Mammalia) from Patagonia, southern Argentina. American Museum Novitates 2904:

- 892 1-20.
- 893 MUIZON, C. DE. 1988a. Vertebrés fossiles de la Formation Pisco (Pérou) III: Les Odontocètes
- 894 (Cetacea: Mammalia) du Miocène. Recherche sur les Civilizations, Institut Français d'Études
- 895 Andines, Memoire 78:1- 244.
- 896 Muizon, C. de. 1988b. Les relations phylogénétiques dea Delphinida (Cetacea; Mammalia).
- 897 Annales de Paleontologie (Vert.-Invert.) 74(4):159-227.
- 898 MUIZON, C. DE. AND DEVRIES, T. J. 1985. Geology and paleontology of late Cenozoic marine
- 899 deposits in the Sacaco area (Peru). Geologische Rundschau 74(3), 547-563.
- 900 PINEDO, M.C. 1991. Development and variation of the franciscana *Pontoporia blainvilliei*. Ph.D.
- 901 thesis, University of California, Santa Cruz.
- 902 RAMOS, R. M. A., DI BENEDITTO, A. P. M. AND LIMA, N. R. W. 2000a Growth parameters of
- 903 *Pontoporia blainvilliei* and *Sotalia fluviatilis* (Cetacea) in northern Rio de Janeiro, Brazil. Aquatic
- 904 Mammals 26(1): 65-75.
- 905 RAMOS, R. M. A., DI BENEDITTO, A. P. M. AND LIMA, N. R. W. 2000b Relationship between dental
- 906 morphology, sex, body length and age in *Pontoporia blainvilliei* and *Sotalia fluviatilis* (Cetacea)
- 907 in Northern Rio de Janeiro, Brazil. Rev. Bras. Biol.60(2): 283-290.
- 908 RAMOS, R. M. A., DI BENEDITTO, A. P. M., SICILIANO, S., SANTOS, M.C.O., ZERBINI, A.N., BERTOZZI,
- 909 C., VICENTE, A.F.C., ZAMPIROLI, E., ALVARENGA, F.S.A. AND LIMA, N.R.W. 2002 Morpholgy of
- 910 the franciscana (*Pontoporia blainvilliei*) off Southeastern Brazil: sexual dimorphism, growth and
- 911 geographical variation. Latin American Journal of Aquatic Mammals (special issue) 1:129-144.
- 912 RIBEIRO, A.M., DREHMER, C.J., BUCHMANN, F.S.C. AND SIMÕES-LOPES, P.C. 1998. Pleistocene skull
- 913 remains of *Pontoporia blainvilliei* (Cetacea, Pontoporiidae) from the coastal plain of Rio Grande
- 914 do Sul State, Brazil, and the relationships of pontoporids. Revista da Universidade de
- 915 Guarulhos 3(6):71 – 77.
- 916 ROHLF, F. J. 2004. tpsDig, digitize landmarks and outlines, version 2.0. Department of Ecology and
- 917 Evolution, State University of New York at Stony Brook.
- 918 ROJO, M. A. 1985. Un aporte al conocimiento del Terciario marino: Formación Bahía Ingresa. Actas
- 919 IV Congreso Geologico Chileno 1.514-1.533.

- 920 SALLABERRY, M. ,RUBILAR-ROGERS, D., SUÁREZ, M. AND GUTSTEIN, C.S. In Press. The skull of a  
921 procellariid (Aves: Procellariidae) from the Neogene (Late Miocene) of northern Chile. Revista  
922 Geológica de Chile.
- 923 SECCHI, E.R., WANG, J.Y., MURRAY, B.W., ROCHA-CAMPOS, C.C. AND WHITE, B.N. 1998. Population  
924 differentiation in the franciscana (*Pontoporia blainvilliei*) from two geographic locations in Brazil  
925 as determined from mitochondrial DNA control region sequences. *Can. J. Zool.* **76**:1,622-7.
- 926 SCHULTE, H. VON W. AND SMITH, M.F. 1918. External charachters, skeletal muscles, and peripheral  
927 nerves of *Kogia breviceps* (Blainville). Bulletin American Museum of Natural History XXXVIII:7-  
928 72.
- 929 SICILIANO, S. 1994. Review of small cetaceans and fishery interactions in coastal waters of Brazil.  
930 Report of the International Whaling Comission, Cambridge, 158:241-250.
- 931 SUÁREZ, M. E. AND MARQUARDT, C. 2003. Revisión preliminar de las faunas de peces  
932 elasmobranquios del Mesozoico y Cenozoico de Chile: Su valor como indicadores  
933 cronoestratigráficos. *In:* Actas X Congreso Geológico Chileno, Concepción.
- 934 SUÁREZ, M. E., MARQUARDT, C., LAMILLA, J., FRITIS, O. AND VALENZUELA, J. 2003. Faunas de  
935 vertebrados marinos del Mioceno de Caldera. *In:* Actas X Congreso Geológico Chileno,  
936 Concepción.
- 937 STUCCHI, M. AND URBINA, M. 2004. *Ramphastosula* (Aves, Sulidae): a new genus from the early  
938 Pliocene of the Pisco Formation, Peru. *Journal of Vertebrate Paleontology* 24(4): 974-978.
- 939 WALSH, S. AND HUME, J. 2001. A new Neogene marine avian assemblage from north-central Chile.  
940 *Journal of Vertebrate Paleontology* 21: 484-491.
- 941 WALSH, S. AND NAISH, D. 2002. Fossil seals from Late Neogene deposits in South America: a new  
942 pinniped (carnivora, mammalia) assemblage from Chile. *Paleontology* 45(4): 821-842.
- 943 Walsh, S.A. and Suárez, M. 2005. First post-Mesozoic record of Crocodyliformes from Chile. *Acta  
944 Palaeontologica Polonica* 50 (3): 595–600.
- 945 WHITMORE, F.C. 1994. Neogene climatic changes and the emergence the modern whale fauna of  
946 the North Atlantic Ocean. Pp. 221-227 in Contributions in Marine Mammal Paleontology  
947 honoring Frank C. Whitmore, Jr. (A. Berta and T. Deméré, eds.). Proceedings of the San Diego

- 948 Society of Natural History 29.
- 949 YANG, G. AND ZHOU, K., 1999. A study on the molecular phylogeny of river dolphins. *Acta Theriol.*
- 950 *Sinica* 19: 1–9.

951 FIGURE LEGENDS

952 FIG. 1. - Location map of Pisco and Bahía Inglesa Formations and geological section of the later.

953 A) South American map with both Pisco and Bahía Inglesa F. indicated. B) Location of Mina

954 Fosforita locality, from where *B. mazeasi* new specimens were recovered in Chile. C) Geological

955 section of "Mina Fosforita" locality.

956

957 FIG. 2. - Schematic drawing of *B. mazeasi* skull with the measurements and landmarks used. A)

958 Landmarks are marked with black circles. B) Measurements according to Muizon, 1988 are given

959 by letters as follows: TL) total lenght of skull, LC) lenght of cranium from antorbital notch to

960 supraoccipital crest\*, LR) lenght of rostrum, WR) width of rostrum at the base, Wpo) width between

961 preorbital processes, Wpx) maximum width of premaxillaries, WN) maximum width of nasal fossa,

962 LN) lenght of right nasal, WNh) width of right nasal in half lenght, WM) width of maxillaries in

963 postorbital process level, LO) lenght of orbit: from postorbital process apex to lacrimal-frontal

964 suture at lateral border of skull\*, LZ) lenght of zygomatic process of squamosal, SH)supraoccipital

965 high, WB) Bicondyle width, WFm) foramen magnum width. \*modified from Muizon, 1988.

966

967 FIG. 3. - MUSM 565, most complete specimen (old individual) of *Brachydelphis mazeasi*. In a)

968 dorsal, b) ventral, c) occipital and d) lateral view. (Black bar=50mm)

969

970 FIG. 4. - A) Dorsal reconstruction of a mean shape for *B. mazeasi*. B) Ventral view drawing from

971 the most complete specimen (MUSM 565). Oblique lines are broken portions (Black bar=50mm).

972

973 FIG. 5. - Ventro-lateral view of right side of skull (MUSM 565). A) Photo with pterygoid sinus

974 indicated. B) Drawing with structures indicated, dashed lines are for reconstruction based on left

975 side. Oblique lines are broken portions (Black bar=50mm).

976

977 FIG. 6. - Mandibles of MUSM 565 referred to *Brachydelphis mazeasi*. A) Lateral view. B) Medial

978 view. The black arrow indicates the end of teeth row and beginning of deflection of coronoid

979 process (Black bar=50mm).

980

981 FIG. 7. - Specimens referred to cf. *Brachydelphis* new form. A) Dorsal view of SGO-PV 1109). B)  
982 Ventral view of SGO-PV 972. C) Dorsal view of rostrum (SGO-PV 759). D) Mandibles of MUSM  
983 884 in dorsal view. (Black bar=50mm) .

984

985 FIG. 8. - Comparison between skulls of *Pontoporia blainvilliei* and *Brachydelphis mazeasi*. A) adult  
986 form of *P. blainvilliei* (UFSC 1037). B) Juvenile form of *P. blainvilliei* (UFSC 1059). C) Juvenile form  
987 of *B. mazeasi* (SGO-PV 746), D) adult form of *B. mazeasi* (MUSM 887). Note the similarity  
988 between the juvenile skulls of both species rather than the adult ones. (Black bar=50mm)

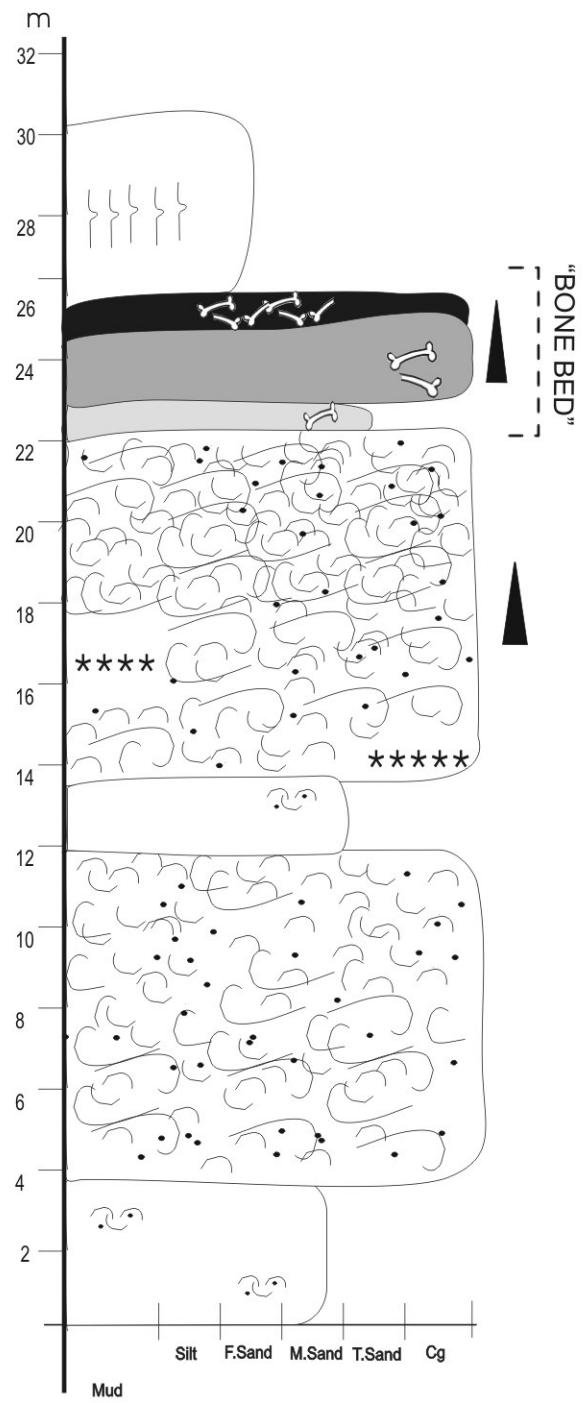
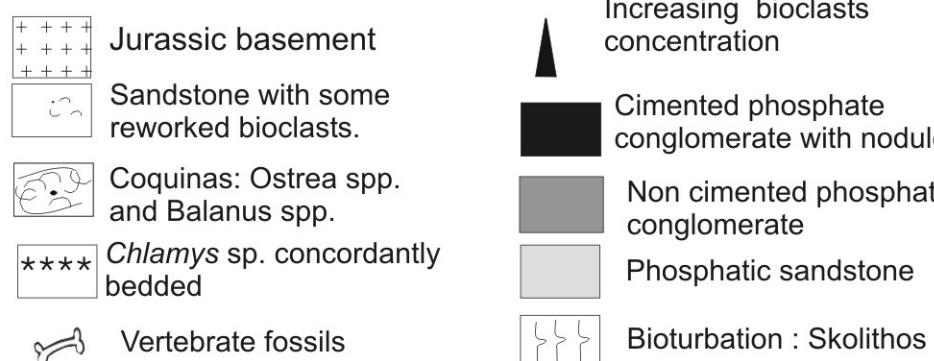
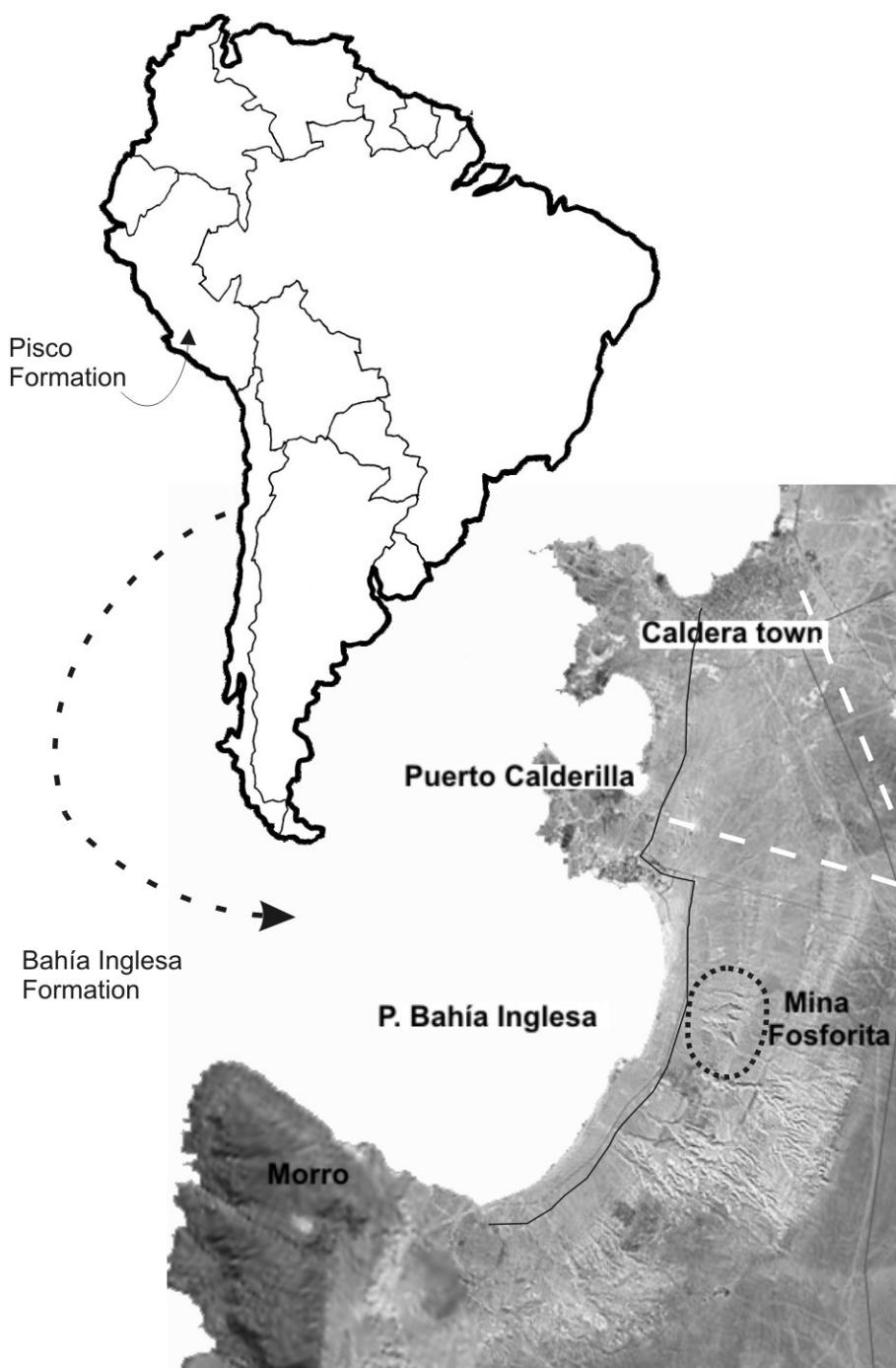
989

990 FIG. 9. - PCA shape analysis scatter diagram from landmarks 2D of fossil specimens (+) and  
991 specimens of *Pontoporia blainvilliei* (closed circles). Note the greater variation within the fossil  
992 group and significant differentiation from *P. blainvilliei* ( $p=0$ ). A) PCA shape scatter with  
993 components 1 and 2. B) 3D plot landmarks from three main components rotated in component 1  
994 and 2 axis (X and Y).

995

996 FIG. 10. - Log scaled charts of rostrum with in each centimeter from the base of a) known short-  
997 rostrum specimens, b) known long-rostrum specimens and c) dubious fossil specimens and fetus  
998 of *P. blainvilliei*. Note that MUSM 887and MPC377s have short-rostrum tendencies.

999



Mud: mudstone  
 Silt: Siltstone  
 F. Sand: fine sandstone  
 M. Sand: medium sandstone  
 T. Sand: thick sandstone  
 Cg: conglomerate

