UNIVERSIDADE FEDERAL DO RIO GRANDE DO SUL CENTRO DE BIOTECNOLOGIA PROGRAMA DE PÓS-GRADUAÇÃO EM BIOLOGIA CELULAR E MOLECULAR

AVALIAÇÃO DO PAPEL DE PROTEÍNAS Hsp12 NA VIRULÊNCIA E RESPOSTA AO ESTRESSE DE *Cryptococcus gattii*

Dissertação de Mestrado

Heryk Motta de Souza

Porto Alegre, Outubro de 2019

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Dissertação submetida ao Programa de Pós-Graduação em Biologia Celular e Molecular do Centro de Biotecnologia da Universidade Federal do Rio Grande do Sul como requisito parcial para obtenção do Grau de Mestre.

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LISTA DE ABREVIATURAS, SÍMBOLOS E UNIDADES

%	Por cento
°C	Graus Celsius
μg	Micrograma
μL	Microlitro
μM	Micromolar
AIDS	Síndrome da imunodeficiência adquirida (do inglês Acquired immunodeficiency syndrome)
cDNA	Ácido desoxirribonucleico complementar
DNA	Ácido desoxirribonucleico
DNase	Desoxirribonuclease
dNTP	Desoxirribonucleotídeo trifosfatado
g	Grama
h	Hora
HIV	Vírus da <i>imunodeficiência humana (</i> do inglês Human immunodeficiency virus)
Hsp	Proteina de choque térmico (do inglês Heat shock protein)
Kg	Quilograma
mg	Miligrama
MIC	Concentração inibitória mínima (do inglês <i>Minimum inhibitory concentration</i>)
min	Minuto
mL	Mililitro
mM	Milimolar
mRNA	Ácido ribonucleico mensageiro
nM	Nanomolar
OD	Densidade ótica (do inglês optical density)
PAMP	Padrões moleculares associados ao patógeno (do inglês <i>Pathogen-associated molecular patterns</i>)
PBS	Tampão fosfato-salino (do inglês Phosphate buffered saline)
PCR	Reação em cadeia da polimerase (do inglês <i>Polymerase chain reaction</i>)

pmol	Picomol
PRR	Receptores de reconhecimento de padrão (do inglês <i>Pattern recognition receptors</i>)
qPCR	Reação em cadeia da polimerase quantitativo em tempo real (do inglês <i>quantitative Polymerase chain reaction</i>)
RNA	Ácido ribonucleico
S	Segundo
sHsp	Pequena proteína de choque térmico (do inglês <i>Small heat shock protein</i>)

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RESUMO

O estabelecimento de infecções de leveduras patogênicas do gênero Cryptococcus depende da expressão de características que permitem a sua adaptação ao ambiente do hospedeiro. Os principais determinantes de patogenicidade são: a sua capacidade de se desenvolver na temperatura do hospedeiro (37 °C) e a produção de melanina na superfície celular e de capsula polissacarídica. Outros determinantes são importantes para o estabelecimento da infecção, entre eles, a capacidade de suplantar a resposta de estresse desenvolvida pelas defesas do hospedeiro. Para tal, ocorrem alterações importantes no perfil de expressão gênica na levedura. Foi demonstrado que as proteínas de choque térmico tem atividade fundamental na viabilidade das leveduras no ambiente do hospedeiro, pois modulam determinantes de patogenicidade e a própria resposta imunológica do hospedeiro. Neste contexto, caracterizamos duas proteínas Hsp12 (genes parálogos HSP12.1 e HSP12.2) de choque térmico de C. gattii. Mostramos que a expressão do gene HSP12.1 está aumentada durante o choque térmico e que a sua inativação, por construção de mutantes, resultou em fenótipo de sensibilidade ao composto FK506, um inibidor da via da calcineurina. Esta via é fundamental para a resposta e adaptação da levedura a diferentes tipos de estresse celular. O mutante de HSP12.1 é mais sensível ao estressor H₂O₂ e ocorre acumulo intracelular de ROS. Estes mutantes mostram também um fenótipo de sensibilidade ao SDS que é um estressor de membrana plasmática, sugerindo que a proteína HSP12.1 é provavelmente uma chaperona na membrana plasmática. Mostramos também que a inativação do outro gene HSP12.2 não resulta em alterações fenotípicas detectáveis, indicando que este parálogo ou é inativo na levedura selvagem ou

que a sua inativação provoca algum efeito compensatório. O resultado mais importante, do ponto de vista da relação *C. gattii* com o hospedeiro é que a inativação de *HSP12.1* resulta na redução da taxa de fagocitose por macrófagos murinos que resulta em hipovirulência do mutante em modelo de infecção sistêmica em modelo invertebrado. Assim, os resultados contribuem para o entendimento das relações *C. gattii* com seus hospedeiros e mostram a importância do gene *HSP12.1* para a sua adaptação ao estresse durante a infecção.

ABSTRACT

The establishment of pathogenic yeast infections of the genus Cryptococcus relies on the expression of determinants of pathogenicity that allow its adaptation to the host environment. The main determinants of pathogenicity are its ability to grow at host temperature (37 °C), the production of melanin on the cell surface, and a polysaccharide capsule. Other determinants are important for the establishment of the infection, including the ability to overcome the stress response developed by the host's defenses. For this purpose, significant changes occur in the gene expression profile in yeast. Heat shock proteins (HSP) have been shown to play a fundamental role in the viability of yeasts in the host environment as they modulate determinants of pathogenicity and the host's immune response. In this context, we characterized two Hsp12 proteins (genes HSP12.1 and HSP12.2) in C. gattii. We demonstrated that HSP12.1 gene expression is increased during heat shock, and its inactivation, through the construction of a null mutant, resulted in a sensitivity phenotype to the compound FK506, an inhibitor of the calcineurin pathway. This pathway is essential for the response and adaptation of yeast to different types of cellular stress. The HSP12.1 mutant also showed increased sensitivity to the H₂O₂ stressor and intracellular accumulation of ROS. These mutants also showed an SDS-sensitive phenotype, which acts by destabilizing the plasma membrane, suggesting that Hsp12.1 acts as a chaperone in the plasma membrane. We also demonstrated that the inactivation of the other HSP12.2 gene does not result in detectable phenotypic changes, indicating that this paralog is either inactive in wild C. gattii or that its inactivation causes some compensatory effect. The most important result, from the point of view of the C. gattii interaction with the host, is that the

inactivation of *HSP12.1* leads to a reduction in the rate of phagocytosis by murine macrophages associated with a hypovirulence phenotype in a systemic infection model in an invertebrate model. Thus, these results contribute to the understanding of *C. gattii*'s relations with its hosts and demonstrate the importance of the HSP12.1 gene for its adaptation to stress during infection.

1. Introdução

Cryptococcus gattii é uma levedura basidiomicética e um dos agentes causais da cryptococose humana, juntamente com *Cryptococcus neoformans*. Essa infecção fúngica invasiva é adquirida pela inalação de esporos ou mesmo células dessecadas encontradas no ambiente. A progressão da doença se dá pela colonização do tecido pulmonar, podendo ocorrer evasão das defesas do hospedeiro, disseminação pelo sistema circulatório e, na forma mais grave, levar a um quadro de meningite fúngica (Figura 1).

Estima-se que anualmente cerca de 181.000 indivíduos vão a óbito devido à meningite criptocócica em nível mundial (Rajasingham *et al.*, 2017). No Brasil, a criptococose lidera, entre demais micoses sistêmicas, em número de óbitos. É fundamental enfatizar, entretanto, que dados epidemiológicos encontram-se subestimados devido à não notificação aos centros de vigilância ou mesmo a falta de diagnóstico de *causa mortis* (Alves Soares *et al.*, 2019).

1.1. Cryptococcus neoformans e Cryptococcus gattii

C. neoformans e *C. gattii* divergiram de um ancestral comum, sendo que as espécies se diferenciam por sua epidemiologia, características sorológicas e moleculares (Lin & Heitman, 2006). *C. neoformans* é predominantemente associado a quadros de infecção em indivíduos imunocomprometidos ou imunossuprimidos. Neste contexto, destacam-se indivíduos portadores do vírus HIV, transplantados e portadores de doenças malignas. *C. neoformans* pode ser facilmente isolado de excretas de pombos, sendo este seu principal reservatório urbano (Maziarz & Perfect, 2016).

C. gattii, por sua vez, pode acometer tanto indivíduos imunocomprometidos quanto imunocompetentes. Acreditava-se que C. gattii possuía distribuição limitada à região tropical e subtropical (Kwon-chung & Bennett, 1984), porém, um surto em Vancouver, Canadá mostrou que este patógeno também possui ampla distribuição em regiões de clima temperado (Kidd et al., 2004). C. gattii é frequentemente associado a cascas de árvores em decomposição, em especial destacam-se árvores pertencentes ao gênero Eucalyptus (Ellis & Pfeiffer, 1990).



Figura 1. Ciclo de infecção de *Cryptococcus gattii.* Células dessecadas ou esporos da levedura são encontrados no ambiente (1). A infecção ocorre através da inalação destas células ou esporos (2) que acabam se depositando no pulmão do hospedeiro (3). Ocorre a proliferação no tecido pulmonar com produção de uma cápsula polissacarídica protetora (4). Após colonização do tecido pulmonar, células podem se disseminar pelo sistema circulatório (5) onde, em sua forma mais grave, ocorre colonização do sistema nervoso central (6). Adaptado de Centers for Disease Control and Prevention, 2019. (https://www.cdc.gov/fungal/diseases/cryptococcosis-gattii/causes.html).

1.2. O primeiro contato com o hospedeiro

Como descrito previamente, a infecção por *Cryptococcus* sp. se inicia após inalação de células ou esporos dessecados seguida da colonização do tecido pulmonar. Desta forma, é fundamental uma compreensão do ambiente pulmonar do hospedeiro para entendermos o processo de infecção. O sistema respiratório humano possui uma complexa e especializada resposta imunológica devido a frequente exposição a particulados e microrganismos. Diversas barreiras justificam o limitado número de microrganismos capazes de infectar o trato respiratório inferior em condições normais (Esher, Zaragoza, & Alspaugh, 2018).

Dentre os limitantes prévios à resposta imunológica podemos destacar: a temperatura do hospedeiro (37 °C), representando uma primeira dificuldade para patógenos; a escassa quantia de nutrientes no ambiente pulmonar em condições normais (Dickson, Erb-Downward, Martines, & Huffnagle, 2016); e a presença de surfactantes pulmonares compostos de proteínas com atividade antimicrobiana e profagocíticas (Glasser & Mallampalli, 2012).

A primeira linha de defesa imunológica encontrada no hospedeiro são os macrófagos alveolares (Erwig & Gow, 2016). Para exercerem sua atividade, essas células fagocíticas necessitam reconhecer corpos estranhos para então fagocitá-los. Esse reconhecimento pode ser realizado através de padrões moleculares associados ao patógeno (PAMPs) por receptores de reconhecimento de padrão (PRR) ou através do reconhecimento de corpos estranhos/patógenos opsonizados por proteínas como as que compõe o surfactante pulmonar (Campuzano & Wormley, 2018). Após o processo de

fagocitose, o fagossomo é fundido ao lisossomo formando o fagolisossomo. O fagolisossomo é caracterizado por um pH ácido, presença de enzimas hidrolíticas e uma elevada concentração de radicais livres (García-Rodas & Zaragoza, 2012; Mansour, Reedy, Tam, & Vyas, 2014), sendo considerado um ambiente hostil para patógenos.

1.3. Determinantes de virulência

Para o sucesso da infecção, *C. neoformans* e *C. gattii* expressam determinantes de virulência que auxiliam na evasão das barreiras do hospedeiro supracitadas. Dentre eles, destaca-se a termotolerância, produção de melanina e produção de uma cápsula polissacarídica. O papel desses determinantes de virulência é fundamental para infecção e serão discutidos em detalhes a seguir.

1.3.1. Termotolerância

A capacidade de adaptação à temperatura do hospedeiro é essencial para o processo de infecção. Sendo assim, vias de sinalização que governam a termotolerância tornaram-se alvos extensivos de estudo (Yang *et al.*, 2017).

Dentre as vias de sinalização responsáveis pela termotolerância, é notável a importância da via da calcineurina. Esta via de sinalização é ativada pela detecção de alterações transitórias nas concentrações plasmáticas de cálcio (Ca²⁺). Ao serem ativados, sensores de estresses diversos promovem um influxo de cálcio para o citoplasma da levedura. Esse aumento na concentração de cálcio é detectado pela proteína calmodulina que, por sua vez, ativa a fosfatase calcineurina. A calcineurina ativada orquestra uma ramificada via de sinalização onde atuará em diferentes alvos, como na desfosforilação do fator de transcrição Crz1. Este, quando translocado para o núcleo, promove a

expressão de genes relacionados ao metabolismo e resposta ao estresse (Chow *et al.*, 2017; Cyert, 2003; Park *et al.*, 2016).

Em concordância com estes dados, a inativação da subunidade catalítica da calcineurina, tanto em *C. gattii* quanto em *C. neoformans,* mostraram-se capazes de levar um defeito no crescimento a 37 °C além de serem imprescindíveis para virulência das leveduras (Chen, Lehman, Lewit, Averette, & Heitman, 2013; Odom *et al.*, 1997).

1.3.2. Melanina

Melanina é um pigmento de coloração escura, carregado negativamente e insolúvel em meio aquoso e solventes orgânicos. Sua produção é mediada pela enzima lacase que promove a oxidação de catecolaminas (Casadevall, Rosas, & Nosanchuk, 2000). A melanina é importante durante o ciclo de vida de *Cryptococcus* sp. no meio ambiente. Sua atuação não se limita apenas à proteção contra radiação ultra violeta e ionizante, uma vez que possui um importante papel na defesa contra predadores como amebas (Dadachova *et al.*, 2007; Yulin Wang, Aisen, & Casadevall, 1995).

Protegido da radiação ultravioleta no interior do hospedeiro, *Cryptococcus* sp. passa a se beneficiar do efeito antioxidante da melanina. Células melanizadas são mais resistentes a espécies reativas de oxigênio e nitrogênio produzidas por células fagocíticas (Casadevall *et al.*, 2000; Steenbergen, Shuman, & Casadevall, 2001; Y. Wang & Casadevall, 1994; Yulin Wang *et al.*, 1995).

Além da atuação da melanina, a própria atividade da lacase tem importante papel na proteção contra estresse oxidativo. A lacase possui,

também, atividade de ferro oxidase que leva a oxidação de Fe (II) à Fe (III). Fe (III) por sua vez é reduzido e utilizado no balanço de espécies redutoras e oxidativas nas células de defesa do hospedeiro, sendo convertido à Fe (II) e novamente à Fe (III). Dessa forma, a lacase atua de forma protetora, juntamente com a melanina, durante situações de estresse redox e sua deleção leva a perda total da virulência de *Cryptococcus* sp. (Liu, Tewari, & Williamson, 1999; Zhu & Williamson, 2004).

1.3.3. Cápsula polissacarídica

A produção de uma cápsula polissacarídica é o fator de virulência mais notável de *Cryptococcus* sp. (Figura 2). Sua composição predominante é o polissacarídeo polimérico glucuronoxilomanana (GXM), correspondendo a 90% da massa capsular, seguido do polissacarídeo galactoxilomanana (GalXM) e manoproteínas em ínfima quantidade. Devido à sua grande massa molecular, GXM é sintetizado no interior da célula fúngica e posteriormente secretado para formação da cápsula na superfície celular (Agustinho, Miller, Li, & Doering, 2018; McFadden, De Jesus, & Casadevall, 2006; McFadden, Fries, Wang, & Casadevall, 2007).



Figura 2. Cápsula polissacarídica de *C. gattii*. Microscopia óptica de células de *C. gattii* na presença de tinta nanquim.

O processo de indução da cápsula polissacarídica é extremamente complexo e fenótipos variados são observados de forma estimulo-dependente (O'Meara & Alspaugh, 2012). Uma vez formada, seu papel é fundamental na patogênese de *Cryptococcus* considerando que mutantes desprovidos não foram capazes de causar infecção em modelo murino (Fromtling, Shadomy, & Jacobson, 1982).

A cápsula polissacarídica de *Cryptococcus* sp. possui papel diversificado durante a infecção. Atuando como um escudo e mascarando PAMPs, a cápsula polissacarídica reveste a célula, evitando a fagocitose (Erwig & Gow, 2016). A cápsula polissacarídica e o próprio GXM impedem a ligação de componentes da resposta imune inata presentes no surfactante pulmonar, evitando agregação e opsonização das células fúngicas (Giles, Zaas, Reidy, Perfect, & Wright, 2007; Van De Wetering, Coenjaerts, Vaandrager, Van Golde, & Batenburg, 2004). Além de sua função no impedimento do reconhecimento da célula fúngica por fagócitos do hospedeiro, GXM possui um importante caráter modulatório da resposta imunológica mediada por citocinas (Monari *et al.*, 2005; Vecchiarelli, 2000). Por outro lado, o material capsular é fundamental para a replicação da levedura dentro dos fagócitos, caracterizando *Cryptococcus* sp. como um patógeno intracelular facultativo (Feldmesser, Kress, Novikoff, & Casadevall, 2000).

A capacidade de *Cryptococcus* sp. de parasitar macrófagos, mediada pela produção da cápsula polissacarídica em conjunto com demais fatores de virulência descritos, é de grande importância para colonização do sistema nervoso central do hospedeiro. Uma vez dentro de macrófagos, *Cryptococcus*

sp. pode utilizá-los como veículo para atravessar a barreira hematoencefálica (Esher *et al.*, 2018; J. W. Kronstad *et al.*, 2011; O'Meara & Alspaugh, 2012).

1.4. Homeostase do metabolismo primário

Como descrito anteriormente, os fatores de virulência têm papel central na adaptação e manutenção da viabilidade das células fúngicas durante a infecção. Porém, modificações em seu metabolismo e em diversas funções são necessárias para atingir o sucesso da infecção.

Em uma análise dos transcritos de *C. neoformans* durante a fase inicial da infecção no ambiente pulmonar do hospedeiro Hu e colaboradores demonstraram a grande necessidade de adaptação da levedura para causar a infecção. Aumento na transcrição de genes de metabolismo alternativo de carbono demonstram o hospedeiro como um ambiente nutricionalmente pobre em glicose. Ainda, genes cujos produtos estão envolvidos na adaptação ao estresse aparecem induzidos, como a lacase e superóxido dismutase, responsáveis pela resposta a espécies reativas (Hu, Cheng, Sham, Perfect, & Kronstad, 2008).

Um perfil similar ao encontrado no ambiente pulmonar foi descrito em células internalizadas por fagócitos. *C. neoformans* também demonstrou profundas alterações na expressão de genes relacionados a transportadores em geral e vias metabólicas, sugerindo o fagolisossomo como um ambiente nutricionalmente pobre. A transcrição aumentada de genes de resposta ao estresse e cascatas de sinalização também demonstram o grande estresse ao qual as células fúngicas encontram-se submetidas (Fan, Kraus, Boily, & Heitman, 2005; J. Kronstad *et al.*, 2012).

1.5. Proteínas de choque térmico (HSP)

Dentre as alterações metabólicas e enzimáticas que atuam na manutenção da homeostase celular, podemos destacar as proteínas de choque térmico (Hsp). Hsps podem ser encontradas em praticamente todos compartimentos celulares (Kregel, 2002). Hsps são usualmente classificadas em, ao menos, 6 famílias de acordo com sua massa molecular: Hsp40, Hsp60, Hsp70, Hsp90, Hsp100 e pequenas Hsps (sHsp) (Hartl, Bracher, & Hayer-Hartl, 2011).

Embora amplamente associadas unicamente com a função característica de chaperonas, Hsps apresentam funções diversificadas. Processos como ubiquitinação, estabilização da membrana plasmática, composição do citoesqueleto e regulação de cascatas de sinalização são alguns exemplos de funções exercidas por Hsps em células fúngicas (Tiwari, Thakur, & Shankar, 2015).

1.5.1. Hsp40

São caracterizadas pela presença de um domínio J contendo 70 aminoácidos localizado de forma randômica na extensão da proteína. Esse domínio é responsável por regular a atividade hidrolítica de ATP de proteínas da família Hsp70. Hsp40 pode ligar-se ao substrato proteico e, através de seu domínio J, promover a hidrólise de ATP por Hsp70 e transferir o polipeptídio para Hsp70 (Qiu, Shao, Miao, & Wang, 2006).

1.5.2. Hsp60

Essencial durante o crescimento a elevadas temperaturas, a Hsp60 atua no dobramento de proteínas na matriz mitocondrial. Dependendo de qual

substrato será auxiliado no redobramento, Hsp10 se faz necessária de forma auxiliar. Ainda, a superexpressão de Hsp60 mostrou um efeito protetor durante o estresse oxidativo na presença de menadiona e peróxido de hidrogênio, demonstrando a versatilidade de contextos em que a função de Hsp60 tem efeito protetivo (Burnie, Carter, Hodgetts, & Matthews, 2006).

1.5.3. Hsp70

Como já descrito, Hsp70 possui atividade hidrolítica de ATP e em associação ou mesmo na ausência de Hsp40, atua na estabilização de regiões hidrofóbicas de segmentos de polipeptídios. Membros da família também possuem atividade na regulação de resposta ao choque térmico e translocação de proteínas através de membranas (Bukau & Horwich, 1998; Burnie *et al.*, 2006).

1.5.4. Hsp90

Com principal papel no dobramento de proteínas, com e sem o gasto de ATP, Hsp90 possui diversas outras funções e sua ausência afeta a virulência de fungos patogênicos. Hsp90 possui importante papel na tolerância e desenvolvimento de resistência a antifungos através da modulação da composição de biofilmes em *Candida albicans* e *Aspergillus fumigatus* (Lamoth, Juvvadi, & Steinbach, 2016; Robbins *et al.*, 2011; Xie, Polvi, Shekhar-Guturja, & Cowen, 2014).

1.5.5. Hsp100

Ao contrário da Hsp70 e Hsp40, membros da família Hsp100 não evitam a agregação de proteínas, porém, sua atividade central envolve reativação de proteínas que foram desnaturadas (Burnie *et al.*, 2006).

1.5.6. sHsp

Subdivididas de forma análoga às proteínas de choque térmico, sHsp também são agrupadas por sua massa molecular. Ao contrário das proteínas de choque térmico, sHsps são menos conservadas entre diferentes reinos (Haslbeck & Vierling, 2015). Entre os diversificados indivíduos que fazem parte deste grupo, Hsp12 destaca-se por sua distinta atividade na estabilização da membrana plasmática de fungos em condições de estresse osmótico e térmico (Burnie *et al.*, 2006; Tiwari *et al.*, 2015; Verghese, Abrams, Wang, & Morano, 2012).

1.5.6.1. Hsp12

Indetectável no crescimento exponencial, Hsp12 apresenta elevada expressão durante a fase estacionária de *S. cerevisiae* (Praekelt & Meacock, 1990). Em sua forma solúvel, encontra-se amplamente dispersa no citoplasma, porém, quando associada à membrana plasmática, assume sua estrutura terciária. Sua principal função é a manutenção da membrana plasmática, regulando sua fluidez e integridade em condições de estresse (Sales, Brandt, Rumbak, & Lindsey, 2000; Welker *et al.*, 2010).

Na levedura patogênica *C. albicans*, Hsp12 possui sua expressão regulada por estressores osmóticos, durante estresse oxidativo e na presença de CO₂, além de ser induzida pela molécula de *quorum sensing*, farnesol. Por atuar na membrana plasmática, sua inativação aumenta a sensibilidade aos antifúngicos da classe dos azoles e polienos (Fu, de Sordi, & Mühlschlegel, 2012; Sheth, Mogensen, Fu, Blomfield, & Mühlschlegel, 2008).

1.6. Hsps em Cryptococcus sp.

São limitados os dados encontrados sobre a caracterização de Hsps em *C. neoformans* e *C. gattii*. Hsp70 e Hsp90 são os dois grupos que apresentam maior detalhamento considerando sua ligação com a virulência demonstrada em outros fungos patogênicos.

1.6.1. Hsp70

Associada à cápsula de *C. neoformans*, Hsp70 é reconhecida por soro de camundongos infectados pela levedura e, como demonstrado em dois diferentes estudos, pelo soro de pacientes com criptococose (Kakeya *et al.*, 1997, 1999; Silveira *et al.*, 2013).

Membros da família Hsp70 em *C. neoformans* também tiveram sua função caracterizada, onde foi demonstrado que a Hsp70 possui capacidade imunomodulatória na fase inicial da infecção. Há favorecimento da replicação fúngica no sítio de infecção através da redução da produção de óxido nítrico por células do hospedeiro (Eastman *et al.*, 2015; Silveira *et al.*, 2013).

1.6.2. Hsp90

Em *Cryptococcus* sp., assim como em *C. albicans* e *A. fumigatus*, Hsp90 também é capaz de causar impacto durante a infecção. Em *C. neoformans*, Hsp90 possui envolvimento com termotolerância, indução e manutenção da cápsula polissacarídica e resistência à equinocandinas a 37 °C (Chatterjee & Tatu, 2017). A inibição de Hsp90, por sua vez, demonstrou promissores resultados na inibição da formação de biofilme quando associado às principais classes de antifúngicos, além de potencializar seu efeito. Além disso, em associação com a administração de fluconazol, a inibição farmacológica de Hsp90 demonstrou considerável aumento na taxa de sobrevivência de *Caenorhabditis elegans* infectados por *C. neoformans* e *C. gattii* (Cordeiro *et al.*, 2016).

Membros de ambas famílias Hsp70 e Hsp90, também foram descritos como componentes de vesículas extracelulares de *C. neoformans,* importantes carregadores de determinantes de virulência e conhecidos imunomoduladores (Rodrigues *et al.*, 2008).

1.6.3. Hsp12

Hsp12 também foi parcialmente caracterizada em *C. neoformans*, onde duas cópias deste gene podem ser encontradas, e sua expressão é regulada pelas vias clássicas de sinalização de estresse *High-osmolarity glycerol* (HOG) e AMP cíclico (cAMP). Com a construção de mutantes simples e duplo para estes genes, denominados *HSP12* e *HSP122*, foi demonstrado seu envolvimento na resistência a metais pesados e seu papel redundante na resistência à anfotericina B (Maeng *et al.*, 2010).

Como citado anteriormente, Hsps são fundamentais na resposta ao estresse, como no ambiente do hospedeiro. Também, Hsps já foram caracterizadas como moduladores de determinantes de virulência em fungos patogênicos (Burnie *et al.*, 2006). Dessa forma, associado com a falta de informações sobre Hsps e sHsps em *C. gattii*, e seu papel na patobiologia causada por este fungo, o presente trabalho tem por objetivo a caracterização de suas Hsp12. Para a caracterização funcional das duas Hsp12 codificadas pelos genes *HSP12.1* (CNBG_2441) e *HSP12.2* (CNBG_5853), realizou-se a caracterização fenotípica de linhagens deletadas para os genes citados.

2. Objetivos

2.1. Objetivo geral

Caracterizar a função das Hsp12 (codificadas pelos genes *HSP12.1* e *HSP12.2*) de *C. gattii* na resposta ao estresse e seu impacto na virulência.

2.2. Objetivos específicos

- 2.2.1. Identificação de genes codificadores de proteínas contendo o domínio de Hsp9/12 no genoma de *C. gattii*;
- 2.2.2. Determinação do perfil de expressão do gene *HSP12.1* durante o choque-térmico;
- 2.2.3. Construção de linhagens nulas e complementadas para os genes HSP12.1 e HSP12.2 de C. gattii;
- 2.2.4. Análises fenotípicas em condições de estresse das linhagens mutantes;
- 2.2.5. Ensaios de interação patógeno-hospedeiro das linhagens construídas com macrófagos murinos e em modelo invertebrado.

3. Manuscrito

"The Hsp12.1 has a major role in stress response and virulence of *Cryptococcus gattii*"

Manuscrito será submetido à revista mSphere.

1 "The Hsp12.1 has a major role in stress response and virulence of

2 Cryptococcus gattii"

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15 **ABSTRACT**

Cryptococcus gattii is one of the etiological agents of cryptococcosis, a disease 16 responsible for more than 180.000 deaths each year. In order to achieve a 17 successful infection, C. gattii have to adapt to the harsh environment found in the 18 host tissues, including a highly specialized immune system and a poor nutritional 19 condition. To circumvent such non-favorable conditions, C. gattii cells employ a 20 diversified set of tools to keep their proper homeostasis. The adaptation include the 21 22 expression of a remarkable and diversified group of heat shock proteins. One class of these proteins are the Hsp12, whose roles in fungal virulence is poorly 23 documented. In this study, C. gattii Hsp12.1 and Hsp12.2 had their function 24 25 characterized employing the generation of null mutants and complemented strains. 26 *hsp12.1* Δ mutant cells were more sensitive to membrane and oxidative stressors and also displayed intracellular ROS accumulation. In addition, hsp12.24 null strain 27 did not displayed detectable phenotype changes, suggesting a compensatory effect 28 29 by Hsp12.1. Also, HSP12.1 disruption altered the sensibility to phagocytosis by 30 macrophages and caused a reduction on *C. gattii* virulence. This data suggest that 31 Hsp12.1 has an important role in plasma membrane stabilization and it's important 32 for C. gattii virulence and host adaptation.

33 **IMPORTANCE**

Cryptococcus gattii cells have a set of tools to maintain its proper homeostasis during their distinct lifestyles, including infection. Among these, heat shock proteins are a large group of diversified, poorly understood, and stress response components. This work showed the importance to virulence and membrane stabilization of one Hsp12

- of *C. gattii*. This data contributes to a better understanding of this complex pathogen
- and may elect possible new targets to future therapeutics approach.

40 **KEYWORDS**

41 *Cryptococcus gattii*, Hsp12, Heat shock protein, Plasma membrane

42 **INTRODUCTION**

Cryptococcus neoformans and *Cryptococcus gattii* are the etiological agents of cryptococcosis, a disease responsible for 181,100 deaths worldwide (1). *C. neoformans* mainly affects immunocompromised hosts, being mostly associated with HIV-infected patients. In contrast, *C. gattii* infections predominate in immunocompetent hosts (2, 3). The infection generally begins with the inhalation of basidiospores or dissected yeast cells. After colonizing the lungs, fungal cells can disseminate through the bloodstream to the CNS, leading to meningitis (4).

50 In order to survive and replicate during infection, cryptococcal cells rely on the production of several virulence determinants to deal with a hostile environment and 51 a highly specialized immune system, including a polysaccharide capsule, 52 53 extracellular enzymes secretion, biofilm formation, melanin production and thermotolerance (5, 6). Whilst the mechanisms played by such virulence 54 determinants allow cryptococcal survival during infection, basic aspects of cell 55 homeostasis are also required for the yeast cells survival (7). Being part of a wide 56 range of biological process like ubiquitination, membrane stabilization, and protein 57 folding, heat shock proteins (Hsp) are remarkable components in stress response 58 (8). Hsps are usually categorized into at least 6 major distinct families according to 59 their molecular masses: Hsp40, Hsp60, Hsp70, Hsp90, Hsp100 and the small HSPs 60 (9). 61

Some cryptococcal proteins from the Hsp70 family, one of the most studied and characterized (10), were already described to be recognized by serum of infected mice. In addition, such proteins are capable to modulate the host immune

response, highlighting the importance of these proteins during the infection process (11–13). Cryptococcal Hsp90 also displays association with virulence, as it regulates capsule formation and alter the sensitivity to echinocandins (14). Pharmacological inhibition of Hsp90 led to an alteration of capsule size and virulence attenuation in *Caenorhabditis elegans* infection model, demonstrating that these proteins can be used as target for drug development (15).

71 Compared to well-studied fungal Hsp70 and Hsp90 families, little is known 72 about the class of small Hsps. In S. cerevisiae, Hsp12 stabilizes the plasma membrane like a lipid chaperone (16). However, the role of Hsp12 orthologs in 73 pathogenic fungi are only speculated (17, 18). In this study, we demonstrate that 74 75 the inactivation of one (Hsp12.1) of the two paralogs identified in C. gattii leads to 76 higher sensitivity to oxidative and membrane stressors, as well as impaired phagocytosis by J774.16 macrophage and hypovirulence, as evaluated in a non-77 78 mammalian host. The same phenotypes could not be observed when the other 79 paralog (Hsp12.2) was inactivated, suggesting functional divergence between these 80 two proteins.

82 **RESULTS**

83 **HSP12** identification and expression analysis

In order to characterize proteins from the small Hsp family in *C. gattii* R265, we performed a search for proteins containing the Heat shock protein 9/12 PFam domain (PF04119) using the FungiDB platform (19). Two predicted genes, named *HSP12.1* (CNBG_2441) and *HSP12.2* (CNBG_5853), were found and code for Hsps with predicted molecular masses of 8.8 and 7.7 kDa, respectively.

As *HSP* genes present a conserved response to heat shock stress (8), we evaluated the transcript levels of *HSP12.1* in a time course fashion after a temperature shift from 30 °C to 39 °C. A drastic increased expression could be noted after 40 minutes of heat-shock (Fig 1), resembling its orthologs from *S. cerevisiae* and *C. albicans* (17, 20).

94 Cryptococcal cells lacking *HSP12.1* displayed hypersensitivity to calcineurin 95 pharmacological inhibition

In order to access whether Hsp12.1 and Hsp12.2 could mediate the response 96 to stress in C. gattii, single and double null mutant strains were constructed by 97 replacing the HSP genes with a selection marker employing biolistics. Since the 98 calcineurin signaling pathway is pivotal in stress response and growth at higher 99 100 temperatures, we evaluated whether the inhibition of this pathway using FK506 101 would impact the fitness of cells lacking HSP12.1. While WT cells displayed a 102 minimum inhibitory concentration (MIC) higher than 2 μ g/mL, FK506 at 0.25 μ g/mL 103 was sufficient to inhibit completely the growth of $hsp12.1\Delta$ strain (Fig 2A). Despite the hypersensitivity to calcineurin inhibition observed in cells lacking *HSP12.1*, no differences could be found among the growth rate of WT and *hsp12.1* Δ strains at 37 °C (Fig 2B). In addition, the absence of *HSP12.1* or *HSP12.2* did not alter the survival rate after heat shock for distinct periods (10, 20, and 40 min) at 39 °C (Fig 2C), suggesting that *HSP12.1* and *HSP12.2* could be involved in the response to other types of stresses.

HSP12.1 absence leads to reactive oxygen species (ROS) accumulation and low tolerance to oxidative stress

112 As the calcineurin pathway also regulates the responses to oxidative stress, we sought to determine if Hsp12.1 and Hsp12.2 would be involved in this adaptation. 113 Sensitivity to oxidative stress was evaluated by spotting plate assay in YNB medium 114 115 added of hydrogen peroxide. The null mutant $hsp12.1\Delta$ and the double mutant $hsp12.1\Delta/hsp12.2\Delta$ displayed a consistent reduced growth rate compared to WT, a 116 phenotype not observed for *hsp12.2* mutant strain (Fig 3A). The reintroduction of 117 the gene sequence into the mutant strain reconstitutes the phenotype to those 118 observed in WT cells. To further investigate the hypersensitivity of $hsp12.1\Delta$ to 119 hydrogen peroxide, the intracellular ROS levels were determined by flow cytometry 120 employing the probe dichlorofluorescin diacetate. After exposure of WT, $hsp12.1\Delta$ 121 and *hsp12.1\Delta*::*HSP12.1* cells at 37 °C and 39 °C for 1 h, the proportion of cells with 122 123 a detectable signal of fluorescence was significantly higher in $hsp12.1\Delta$ compared to WT and *hsp12.1\Delta*::*HSP12.1* strains (Fig 3B), confirming that absence of Hsp12.1 124 led to accumulation of intracellular ROS. 125

We also evaluated whether Hsp12.1 could affected the production of melanin, a well know ROS scavenger (21). However, no differences in the pigmentation of cells could be found among the evaluated strains (Fig 3C), suggesting that the defects in ROS homeostasis observed in the absence of Hsp12.1 may not involve the participation of melanin.

Lack of Hsp12.1 leads to plasma membrane sensitivity and affects *C. gattii* response to fluconazole

As Hsp12 from S. cerevisiae and C. albicans act as membrane chaperones, 133 134 we evaluated whether this could be a conserved role for C. gattii Hsp12. Spotting plate assays employing the plasma membrane stressor SDS revealed that $hsp12.1\Delta$ 135 and $hsp12.1\Delta/hsp12.2\Delta$ double mutant strains displayed a drastic growth 136 137 impairment compared to WT and reconstituted strains (Fig 4A). Considering the possible plasma membrane defect in the $hsp12.1\Delta$ mutant, we also evaluated its 138 growth fitness in the presence of osmotic stressors (sorbitol and sodium chloride), 139 as well as cell wall stressors (congo red and calcofluor white). However, no 140 differences in the sensitivity to these stressors could be found in the mutant strain 141 (Fig 4B). Moreover, we evaluated the sensitivity of cells lacking HSP12.1 to 142 antifungal agents know to target the fungal cell membrane as main target (22, 23). 143 as amphotericin B and fluconazole. Using spotting plate assays, we found a slight 144 decrease in the growth rate of *hsp12.1* Δ mutant only in fluconazole (Fig 4C), which 145 was also confirmed using a fluconazole disk diffusion method where $hsp12.1\Delta$ 146 mutant (37 mm) displayed larger inhibition zone than WT strain (32 mm) (data not 147

shown). Collectively, these results confirm that cells lacking Hsp12.1 also displaydefects in cell membrane.

Hsp12.1 absence alters cryptococcal interaction with phagocytes and virulence

Alveolar macrophages are the first defense line against cryptococcal 152 infection, so the fungal loads of WT, $hsp12.1\Delta$, and complemented strains were 153 evaluated after interaction with macrophage-like cells. INF-y and LPS-primed 154 J774.16 macrophages displayed lower cryptococcal loads after 2h and 24h of 155 156 incubation with $hsp12.1\Delta$ strain compared to WT and complemented strains (Fig. 5A). In order to evaluate the mechanisms by which cells lacking *HSP12.1* displayed 157 decreased association with macrophages, we evaluated important modulators of 158 159 immune cells: cryptococcal capsular size and concentration of secreted polysaccharide (GXM). We could not found any significant differences in such 160 virulence determinants when comparing $hsp12.1\Delta$ to WT and $hsp12.1\Delta$::HSP12.1 161 strains (Fig 5B). 162

As Hsp12.1 alters the outcome from the interaction between *C. gattii* and macrophages, we sought to determine if Hsp12.1 influences the cryptococcal virulence. Using a non-mammalian host (*Tenebrio molitor* larvae), we found that the *hsp12.1* Δ has a hypovirulent phonotype, which returned to the WT levels by the reintroduction of the *HSP12.1* gene. However, the virulence of the *hsp12.1* Δ /*hsp12.2* Δ double mutant strain did not differ from the WT (Fig 5C).

169
170 **DISCUSSION**

During their distinct lifestyles, cryptococcal cells may found distinct types of 171 stresses, being the infection of mammalian host possibly the major harsh condition 172 to the yeast (24). To cope with these situations, cryptococcal cells have to develop 173 a response to adapt their metabolism (25), and Hsps could play a central role in this 174 process. These proteins belongs to a remarkable family conserved from yeasts to 175 humans with very diversified functions, including the role in response to several 176 177 stresses (8). Despite the well characterized mechanisms associated with the distinct 178 classes of Hsps, little is known about Hsp12 and its function in pathogenic fungi. Three lines of evidence allow us to conclude that Hsp12.1 is a component of the 179 180 stress response in C. gattii: (i) HSP12.1 displayed higher expression after a heat 181 shock condition; (ii) null mutants displayed hypersensitivity to membrane stressors 182 as SDS and fluconazole; and (iii) cells lacking HSP12.1 are hypovirulent in a nonmammalian model of cryptococcosis. 183

The higher expression of cryptococcal HSP12.1 under heat shock conditions 184 follows the pattern observed in its orthologs from S. 185 cerevisiae. Schizosaccharomyces pombe, and C. albicans (17, 20, 26). The Hsp12 from C. 186 albicans was already characterized as a component of the High Osmolarity Glycerol 187 (HOG) – MAPK pathway (17), a signaling cascade known to regulate the expression 188 189 of genes driven by multiple environmental stress conditions (27–29). Calcineurin pathway is highly conserved among eukaryotic cells (30). It is a central hub to govern 190 Ca²⁺ signaling cascades and it is also linked to stress response, elevated 191 192 temperature growth and virulence in Cryptococcus (31). The calcineurin-Crz1 stress

response transcriptional network was determined in *C. neoformans*. After thermal stress, both *HSP12* genes from *C. neoformans* were upregulated in a Crz1 independent way by calcineurin pathway (32). We found that *C. gattii* cells lacking *HSP12.1* displayed hypersensitivity to FK506, a calcineurin inhibitor. This relationship reinforces the Hsp12.1 association to stress responses, as calcineurin inhibition leads to an impaired stress response (31).

Despite this increased sensitivity of cells lacking *HSP12.1* to pharmacological inhibition of calcineurin, the susceptibility to growth at 37 °C or to heat shock stress was not altered. This contrasts with the phenotype observed for Hsp12.1 ortholog in *S. cerevisiae*, whose absence led to a drastic reduction in the survival at such temperature and after heat shock (33). This suggest that cryptococcal cells rely on a much complex mechanism to cope with thermal stress.

A striking feature of cryptococcal cells lacking *HSP12.1* is the higher intracellular levels of ROS and the higher sensitivity to hydrogen peroxide. These phenotypes suggest a redox balance impairment in the *hsp12.1* Δ strain. In addition, inactivation of *HSP12.2* does not lead to oxidative stress imbalance, and the double mutant strain displayed similar phenotypes to the *hsp12.1* null mutant strain in H₂O₂ presence. This suggest that the HSP12.2, regardless of being a *HSP12.1* paralogue, did not display a redundant function in *C. gattii* cells.

C. gattii HSP12.1 inactivation also leaded to cell membrane stressor hypersensitivity. The Hsp12 orthologs from *S. cerevisiae* and *C. albicans* have their function linked to plasma membrane stabilization (16, 17, 33, 34). Also, *S. cerevisiae* Hsp12 is only active when associated to the plasma membrane, where it folds into

216 an alpha helix structure due to its association with long chain phospholipids (16). 217 Absence of Hsp12 orthologs in S. cerevisiae and C. albicans also led to SDS hypersensitivity, suggesting that Hsp12 activity is conserved in C. gattii (17, 35, 36). 218 However, a drastic reduction of growth could be only observed when the two 219 220 paralogs were inactivated in C. albicans, unlike that HSP12.1 disruption alone in C. gattii was enough to induce these changes in SDS and H₂O₂, and the double mutant 221 222 had the same phenotype as $hsp12.1\Delta$ null strain. Therefore, we hypothesize that Hsp12.1 keep its function as a plasma membrane stabilizer while Hsp12.2 lost it. 223

HSP12.1 disrupted cells displayed lower phagocytosis index compared to WT 224 and reconstituted cells. In consideration of the importance of capsule and capsule 225 226 polysaccharide to virulence and *Cryptococcus* uptake by macrophages, we also 227 determine capsule size and secreted GXM (37, 38). Alterations in capsule size and 228 secreted GXM were not found between the mutant strain and WT. Pathogen-229 Associated Molecular Patterns (PAMPs) are recognized by Pattern Recognition 230 Receptors (PRRs) in macrophages. Structural alteration in cell surface can promote 231 PAMPs "camouflage" (39, 40). As the S. cerevisiae HSP12 null strain have altered 232 morphology after stress conditions, our first hypothesis is that alterations in cell 233 surface due to HSP12.1 disruption would alter the presence of PAMPs(11, 33).

In this study, Hsp12.1 was shown to be required for full cryptococcal virulence. Plasma membrane stressors hypersensitivity and an impaired redox balance are remarkable features of the *HSP12.1* disrupted strain. In addition, the expression of *HSP12* genes was extremely high during lung infection (41), a niche containing a specialized response/protection against particles and pathogens. In this way, it is

239 feasible to assume that cryptococcal Hsp12 may play a role also in virulence. This 240 attenuated virulence in the mutant strain was certainly associated with its abnormal phenotypes. T. molitor immune response, as well as those of more complex 241 organisms, is dependent of the PAMPs recognition. Release of cytotoxic reactive 242 243 oxygen and nitrogen species are also a *T. molitor* approach during infection (42). Unexpectedly, the double mutant displayed virulence levels compared to those 244 observed in WT cells, suggesting that cells evolve compensatory mechanisms to 245 cope with the high stress found during the infection in the absence of Hsp12 proteins. 246

Here we characterize both *HSP12* genes of *C. gattii* showing that *HSP12.1* is linked to plasma membrane stabilization and its absence lead to redox status impairment. *HSP12.2* appears to lose its function as its disruption does not led to phenotype alterations. Also, we demonstrated that *HSP12.1* disruption influences *C. gattii* virulence.

253 MATERIALS AND METHODS

Fungal strains, plasmids and media

The Cryptococcus gattii R265 strain was routinely grown in YPD media (1% yeast 255 256 extract, 2% peptone, and 2% dextrose) at 30°C and 200 rpm in rotation platform, added of 1,5% of agar when solid media was used. Plasmid pDNORNAT containing 257 the nourseothricin marker cassette, was previously constructed by our group (43). 258 Plasmid pJAF15 containing the hygromycin resistance marker cassette kindly given 259 260 by Joseph Heitman (Duke University, Durham, NC, USA). YPD plates containing nourseothricin (100 μ g/ml) were used to select *hsp12.1* Δ strain, and hygromycin 261 select hsp12.1::HSP12.1, 262 (200)µq/ml) were used to $HSP12.2\Delta$ and 263 $HSP12.1\Delta/HSP12.2\Delta$ strains.

264 **Disrupted and complemented HSP12 strains construction**

265 To construct the HSP12.1 null strain, the vector pDONR-NAT-HSP12.1 was 266 employed using DelsGate method (44). HSP12.1 flanking sequences (1 kb each of 267 one) were PCR-amplified and gel purified using PureLink[™] Quick Gel Extraction and PCR Purification Combo Kit (Invitrogen). The plasmid pDONR-NAT and purified 268 PCR products were mixed at equimolar ratios in a Gateway BP clonase™ II reaction 269 270 (Invitrogen). The pDONR-NAT-HSP12.1 construct was linearized by I-Scel digestion prior to C. gattii R265 biolistic (45). For gene reconstitution of $hsp12.1\Delta$, the coding 271 272 region along with same 1 kb flanking regions of the deletion construct were amplified 273 from C. gattii R265 DNA. The amplified fragment was purified in the same way as described above and subcloned into the EcoRV site of pJAF15. The plasmid was 274 linearized by Notl digestion and transformed into the $hsp12.1\Delta$ strain as described 275

above. To construct *hsp12.2* Δ and *hsp12.1* Δ */hsp12.2* Δ strains, the split marker method was employed (46). Flanking regions (5' and 3') of *HSP12.2* coding sequence were amplified (961 pb and 957 pb respectively), and double-joint PCR was used to fuse such fragments to the hemi-selection marker. Equimolar quantities of purified PCR products were used to transform *C. gattii* R265 and *hsp12.1* Δ strains by biolistic to generate *hsp12.2* Δ and *hsp12.1* Δ *hsp12.2* Δ , respectively.

282 **Quantitative RT-PCR analysis**

For the heat shock expression assay, WT strain was cultured overnight at standard 283 culture condition, the cells were washed with PBS, and 1X10⁷ cells/mL were 284 inoculated at high glucose Dulbecco's Modified Eagle Medium (DMEM). Cells were 285 maintained for 2 h at 30 °C at 200 rpm, then the temperature was raised to 39 °C 286 and cells were further incubated. The control group were maintained at 30 °C. After 287 10, 20, and 40 mins of incubation at 30 and 39 °C, the cells from each group were 288 collected, PBS-washed and frozen in liquid nitrogen and kept at -80 °C until RNA 289 extraction. RNA extraction with TRIzol[™] reagent following the standard protocol. 290 Total RNA samples were treated with Promega RQ1 RNase-Free DNase[™] and 291 reverse transcriptase was performed with ImProm-II Reverse transcriptase 292 293 (Promega) using oligo-dT primer. gPCR analyzes were performed at Fast 7500 realtime PCR system Applied Biosystem[™] platform with the following thermal cycling 294 conditions: initial step of 95°C for 10 min followed by 50 cycles of 95°C for 15 s, 55°C 295 296 for 15 s and 60°C for 60 s. Platinum SYBR green gPCR Supermix (Invitrogen) was used as a reaction mixture supplemented with 5 pmol of each primer, and 2 µl of 297 cDNA (4 ng) was added as template to a final volume of 20 µl. Each cDNA sample 298 was analyzed in triplicate with each primer pair. Melting curve analysis was 299

performed at the end of the reaction to confirm a single PCR product. Actin was used

301 as normalizer gene. Relative expression was determined by the $2^{-\Delta CT}$ method (47).

302 Spotting plate assay

The strains were grown overnight in YPD at 30°C and 200 rpm in a rotation platform.

After washing with PBS, cells suspensions with densities ranging from 10^3 to 10^7

cells/mL were prepared and 3 µL of each suspension were plated in Yeast Nitrogen

Base (YNB) containing 1% agar solid plates supplemented with the tested stressor

307 at described concentration.

308 MIC assay

Cells were grown overnight as described, PBS washed, and 10^4 cells were inoculated in YNB media at the final volume of 200 µL per well containing the FK506 at distinct concentrations. Cells were incubated at 30 °C for 48 h and cell growth were determined using OD₆₀₀ measurements.

313 Heat shock viability assay

The strains were grown and submitted at the same conditions described at the qRT-PCR analysis. Cells were diluted and then plated on YPD plates. After 48 h incubation at 30 °C, CFU were determined.

317 Intracellular ROS determination

Cells were grown overnight in YPD at 30 °C and 200 rpm in a rotation platform. After washing with PBS, a total of 10^7 cells were kept under agitation at 37 or 39 °C for 1 h in PBS. The cells were then pelleted and resuspended in PBS containing 10 μ M DFCH-DA (SigmaTM) and incubated at 37 °C with agitation for 1h. Cells were washed again with PBS and analyzed at Guava easyCyte Flow Cytometer (Merck Millipore) by measuring the green fluorescence of 5000 events.

324 **Disk diffusion assay**

325 YPD plates surface were inoculated with a swab dipped in a 10^7 cell suspension of 326 the tested strain. A 25 µg-fluconazole disk (BD Biosciences) was placed into the 327 center of the plate. The YPD plates were incubated at 30 °C for 48 h, then inhibition 328 zone was measured.

Capsule size measurement, secreted GXM quantification and supernatant melanin determination

Capsule size was determined in capsule induced cells and final measurements were presented and analyzed as capsule size/cell diameter ratios, as previously published elsewhere (48). Secreted GXM was quantified by ELISA (49). To induce melanin production, 4×10^6 cells/mL were incubated at 30 °C and 200 rpm agitation in minimum media supplemented with 1 mM of L-DOPA for 72 h, protected from light. The media was centrifuged to remove cells and the supernatant absorbance at 400 nm were determined.

338 Phagocytosis index assay and *Tenebrio molitor* virulence assay

In 96-well culture plates, 10⁵ J774.16 cells were seeded and activated overnight with 339 addition of Sigma[™] recombinant murine IFN-y (100 U/mI) and Sigma[™] Escherichia 340 coli lipopolysaccharides (500 ng/ml). C. gattii strains were grown in YPD at 30°C and 341 200 rpm in a rotation platform. After washing with PBS, a total of 1X10⁶ cells were 342 opsonized with anti-GXM 18B7 antibody (1 µg/mL 37 °C for 1 h) in PBS. These cells 343 344 were added to macrophage containing plates at a MOI of 1:10. Macrophage-Cryptococcus containing plates were incubated at 37 °C, 5% CO₂. After 2 or 24 h, 345 macrophage cells were PBS washed 3 times to remove non-internalized 346 Cryptococcus cells. Macrophages were lysed with addition of cold 0.1% Triton X-347

100 in water and plated on YPD media. YPD plates were incubated at 30 °C for 48 h and CFU was determined. Virulence in *T. molitor* was performed as described by de Souza and collaborators, using an inoculum of 10⁶ cells of each strain per larva and incubated at 37 °C for up to 10 days (50). Mortality was scored each day and survival curves were analyzed using Kaplan–Meier in GraphPad Prism software (version 8.0.2).

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515 **FIGURES**



516

Figure 1. *HSP12* expression increases after heat shock. Time course expression analysis of *HSP12.1* after 30 °C - 39 °C heat shock condition in 10, 20 and 40 minutes. Data are shown as the mean ± standard deviation from three experimental replicates of three biological replicates. Comparisons of means were performed using unpaired t-tests and those with significant differences were marked with (**p < 0.01).



Figure 2. HSP12.1 disruption leads to higher sensitivity to FK506 calcineurin 525 inhibitor but does not affect growth at 37 °C and cell viability after heat shock. 526 (A) Determination of FK506 minimum inhibitory concentration was performed in YNB 527 media. After 24 h of incubation, the OD_{600nm} was determined from three biological 528 replicates. Data are shown as the mean ± standard deviation (B) Spotting plate 529 assay of growth at 37 °C for 2 days. (C) Impact of heat shock upon cell viability. Cells 530 531 were submitted to 30 °C - 39 °C heat shock condition for 10, 20, and 40 minutes. Cryptococcal cells were plated in YPD to viability analysis through colony-forming 532 unity (CFU) counts. Data are shown as the mean from three biological replicates. 533



Figure 3. Absence of *HSP12.1* leads to redox impairment through reactive species accumulation despite normal melanin production. (A) Spotting plate assay in YNB added of hydrogen peroxide. (B) Intracellular ROS were evaluated by flow cytometry of DFCH-DA stained cells with previous 1 h incubation at 37 °C and

39 °C. Data are shown as the mean ± standard deviation (left panels) of geometric 540 (right fluorescence intensity panels) WT, $hsp12.1\Delta$, 541 mean of and *hsp12.1Δ*::*HSP12.1* strains. Comparisons of means were performed using ANOVA 542 tests and those with significant differences were marked with distinct letters. (C) 543 Released melanin in media was quantified using spectrophotometry (OD_{400nm}) from 544 cultures of WT, *hsp12.1*Δ, and *hsp12.1*Δ::*HSP12.1* strains in conditioned media. 545



Figure 4. *HSP12.1* disruption, but not *HSP12.2*, caused defects in plasma membrane and higher susceptibility to hydrogen peroxide. Spotting plate assay was performed by plating 3 μ L 10-fold serially diluted suspension of WT, *hsp12.1Δ*, and *hsp12.1Δ::HSP12.1* strains in YNB agar supplemented with (A) plasma membrane stressor (SDS), (B) osmotic stressors (NaCl and Sorbitol), cell wall stressors (Congo red, and Calcofluor white), and (C) Antifungal drugs (Amphotericin B, and Fluconazole) at the indicated concentrations.



Figure 5. Hsp12.1 inactivation affects cryptococcal interaction with 556 557 **phagocytes and virulence.** (A) J774.16 macrophage-like activated cells (IFN- γ and LPS) were incubated with opsonized WT, hsp12.1Δ, hsp12.1Δ::HSP12.1 cells for 2 558 h and 24 h. Macrophages were washed to remove non-internalized cells, lysed and 559 plated in YPD agar to CFU analysis. Data are shown as the mean ± SD. The letters 560 561 a, b and c denote significant difference between means (p<0.001) in comparison to the other conditions. Comparisons were analyzed by one-way analysis of variance 562 followed by Tukey's multicomparison test. (B) Relative capsule size was determined 563 by India ink microscopy from cultures in capsule inducing medium. Supernatant 564 GXM determination by ELISA. (C) T. molitor mortality curves. Larvae deaths were 565 registered daily and the median survival days were 4 (WT), 7.5 (hsp12.1 Δ), 3.5 566 (hsp12.1 Δ ::HSP12.1), 4 (hsp12.2 Δ), and 4 (hsp12.1 Δ /hsp12.2 Δ), as evaluated by 567 Kaplan Meier analysis. 568

SUPPLEMENTAL MATERIAL

Table S1. Primers used in the present work.

Primer	Sequence (5' – 3')	Use
ACT1 qRT-PCR F	CGGTATCGTCACAAACTGG	qRT-PCR Actin
ACT1 qRT-PCR R	GGAGCCTCGGTAAGAAGAAC	qRT-PCR Actin
CNBG_2441 qRT-PCR F	GACTGACTCTGCTGCTTCTAC	qRT-PCR HSP12.1
CNBG_2441 qRT-PCR R	GACTCCTGGTTGTCGTTCTT	qRT-PCR HSP12.1
CNBG_5853 qRT-PCR F	TTACCGACAAGGCTTCTTC	qRT-PCR HSP12.2
CNBG_5853 qRT-PCR R	GCATCTCCAATCTTCTGAG	qRT-PCR HSP12.2
CNBG_2441_5'F	AAAATAGGGATAACAGGGTAATGACCAAGGTTGGGATGCTTA	HSP12.1 disruption
CNBG_2441_5'R	GGGGACAAGTTTGTACAAAAAAGCAGGCTATGTGTTTGTGCTGTGGTTTGG	HSP12.1 disruption
CNBG_2441_3'F	GGGGACCACTTTGTACAAGAAAGCTGGGTAGCAGTTATCGCAACCATTGA	HSP12.1 disruption
CNBG_2441_3'R	AAAAATTACCCTGTTATCCCTAAAAGCGGATCTGTCGCCTAT	HSP12.1 disruption
CNBG_5853_5'F	CAGTTGTAGTTATTTCAGTCAATGC	HSP12.2 disruption
CNBG_5853_5'R	GCTCACTGGCCGTCGTTTTACGCTTGTGTTTGAGTTTGTTGTG	HSP12.2 disruption
CNBG_5853_3'F	CATGGTCATAGCTGTTTCCTGAAGAAGGAAATAGTCGCGTGAAG	HSP12.2 disruption
CNBG_5853_3'R	TTCAAGAGGTTGAGAGGATTGATAC	HSP12.2 disruption

4. Conclusões

- I. A expressão de HSP12.1 é responsiva ao choque térmico;
- II. A inativação de HSP12.1 acarreta em (a) aumento na sensibilidade à inibição da via da calcineurina por FK506; (b) aumento na sensibilidade ao estresse oxidativo; (c) acúmulo intracelular de espécies reativas de oxigênio; (d) aumento na sensibilidade ao estresse de membrana plasmática; (e) redução da taxa de fagocitose em macrófagos da linhagem J774.16;
- III. A linhagem nula para HSP12.1 apresenta fenótipo de hipovirulência em modelo invertebrado;
- IV. A função de Hsp12 em *C. gattii* é de potencial chaperona da membrana plasmática, assim como em *S. cerevisiae* e *C. albicans*;
- V. A inativação de HSP12.2 não apresentou diferenças quando comparado com a linhagem selvagem nos fenótipos testados, sugerindo perda de função ou efeito compensatório por HSP12.1.

5. Perspectivas

- I. Reproduzir os ensaios de quantificação de ROS, MIC de FK506 e taxa de fagocitose para a linhagem $hsp12.2\Delta$ e $hsp12.1\Delta/hsp12.2\Delta$;
- II. Determinar a localização de Hsp12.1 e Hsp12.2 empregando a imunolocalização das proteínas marcadas com HA-flag;
- III. Avaliar a permeabilidade de membrana plasmática nas linhagens mutantes;
- IV. Avaliar a quantidade de esteróis presente na membrana plasmática das linhagens construídas;
- V. Avaliar a virulência das linhagens mutantes em camundongos da linhagem BALB/c.

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